

#### SILVER-CATALYZED CASCADE CONVERSIONS OF CO2 INTO HETEROCYCLES

#### **Xuetong Li**

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# Silver-Catalyzed Cascade Conversions of CO<sub>2</sub> into Heterocycles

**Xuetong Li** 



**DOCTORAL THESIS** 

2023

PhD Thesis

# "Silver-Catalyzed Cascade Conversions of CO<sub>2</sub> into Heterocycles"

**Xuetong Li** 

Supervised by Prof. Dr. Arjan W. Kleij

Tarragona

September 2023







Prof. Dr. Arjan W. Kleij, Group Leader at the Institute of Chemical Research of Catalonia (ICIQ) and Research Professor at the Catalan Institution for Research and Advanced Studies (ICREA):

I STATE that the present Doctoral Thesis, entitled "Silver-Catalyzed Cascade Conversions of CO<sub>2</sub> into Heterocycles" presented by Xuetong Li to receive the degree of Doctor, has been carried out under my supervision at the Institute of Chemical Research of Catalonia (ICIQ).

Tarragona, September 2023

Doctoral Thesis Supervisor

Prof. Dr. Arjan W. Kleij

#### **Curriculum Vitae**

Xuetong Li was born on the 25<sup>th</sup> of May in 1993 in Shaanxi province, China. She started studying chemistry at Xianyang Normal University and obtained her BSc degree in July 2016. Then she moved to Shaanxi Normal University in Xi'an, where she received her MSc degree in 2019 with a major in organic chemistry under the supervision of Prof. Tao Wang. During the MSc period, her main research focus was on cascade reactions of (iso)quinoline *N*-oxides with alkynones, resulting in the formation of (iso)quinoline derivatives. Hereafter, she successfully applied for a predoctoral fellowship awarded by Chinese Scholarship Council (CSC). From November 2019 until September 2023, she performed her PhD studies in the group of Prof. Arjan W. Kleij at the Institute of Chemical Research of Catalonia. Here she focused on silver-catalyzed cascade conversions of CO<sub>2</sub> to produce new types of heterocycles, and the results are presented in this thesis. Part of this PhD research was communicated as a poster at the XXV Conference on Organometallic Chemistry (EuCOMC XXV, 2023) in Alcalá de Henares, Spain.

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Xuetong Li, 2023

#### **List of Publications**

The results described in this doctoral thesis are based on the following publications:

- X. Li, A. Villar-Yanez, C. Ngassam Tounzoua, J. Benet-Buchholz, B. Grignard, C. Bo, C. Detrembleur, A. W. Kleij, "Cascade Transformation of Carbon Dioxide and Alkyne-1,*n*-diols into Densely Substituted Cyclic Carbonates". *ACS. Catal.* 2022, *12*, 2854–2860.
- X. Li, J. Benet-Buchholz, E. C. Escudero-Adán, A. W. Kleij, "Silver-Mediated Cascade Synthesis of Functionalized 1,4-dihydro-2*H*-Benzo-1,3-Oxazin-2-Ones from Carbon Dioxide". *Angew. Chem. Int. Ed.* 2023, 62, e202217803.

Other contribution from the PhD period:

 J. Xie, X. Li, A. W. Kleij, "Pd-Catalyzed Stereoselective Tandem Ring-Opening Amination/Cyclization of Vinyl Gamma-Lactones: Access to Caprolactam Diversity". *Chem. Sci.* 2020, *11*, 8839–8845.

## List of Abbreviations

In this doctoral thesis, the abbreviations and acronyms most commonly used in organic chemistry are based on the recommendations of the ACS "Guidelines for authors" which can be found and consulted at:

https://www.cas.org/support/documentation/references/cas-standard-abbreviations#listinga.

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Chapter 1.

Introduction

#### 1.1 Carbon dioxide

The concentration of carbon dioxide, considered to be a greenhouse gas, has been constantly increasing in the atmosphere over a period of hundreds of years. This has been largely associated to anthropogenic activities, and recently also linked to the occurrence of a more extreme climate.<sup>1</sup> According to the Global Energy &  $CO_2$  Status Report 2019, the emissions of  $CO_2$  into the atmosphere have reached a detrimental level of 33.1 Gt per annum.<sup>2</sup> This dramatic rise of carbon dioxide emissions endangers the balance within our natural ecosystems and threatens the survival of globally occurring species. To address this escalating amount of  $CO_2$ , various initiatives have been proposed among which are "carbon" capture and storage. These are regarded as promising strategies to mitigate  $CO_2$  emissions. While the use of carbon dioxide in chemistry as a carbon feedstock will not have any significant effect on these emissions due to a large off-set between both scales, it may act as a cheap and renewable one-carbon (C1) source to provide high-value-added organic molecules.<sup>3</sup>

Recently, in the realm of green and sustainable chemistry, carbon dioxide has become a prime source of attention. Compared to products based on petrochemical feedstock, production of chemicals from  $CO_2$  could lead to efficient and economical alternatives to existing chemical intermediates and products and result into a significate contribution to social awareness.<sup>3a,4,5,6</sup>

 <sup>&</sup>lt;sup>1</sup> (a) A. E. Creamer, B. Gao, *Environ. Sci. Technol.* 2016, *50*, 7276–7289; (b) M. Meinshausen, N. Meienshausen, W. Hare, S. C. B. Raper, K. Frieler, R. Knutti, D. J. Frame, M. R. Allen, *Nature* 2009, *458*, 1158–1162; (c) D. Keith, *Science* 2009, *325*, 1654–1655; (d) M. Cokoja, C. Bruckmeier, B. Rieger, W. A. Herrmann and E. Fritz, *Angew. Chem. Int. Ed.* 2011, *50*, 8510–8537; (e) L. M. Alsarhan, A. S. Alayyar, N. B. Alqahtani and N. H. Khdary, *Sustainability* 2021, *13*, 11625–11650; (f) A. Saravan, P. S. Kumar, D. -V. N. Vo, S. Jeevanantham, V. Bhuvaneswari, V. A. Narayanan, P. R. Yaashikaa, S. Wetha, B. Reshma, *Chem. Eng. Sci.* 2021, *236*, 116515–116531.

<sup>&</sup>lt;sup>2</sup> See: <u>https://www.iea.org/reports/global-energyco2-statusreport-2019</u>.

<sup>&</sup>lt;sup>3</sup> (a) M. Aresta, *Carbon Dioxide as Chemical Feedstock*, Wiley-VCH, Weinheim, 2010, pp. 9–13; (b) K. Huang, C.-L. Sun, Z.-J. Shi, *Chem. Soc. Rev.* 2011, 40, 2435-2452; (c) R. Martin, A. W. Kleij, *ChemSusChem* 2011, 4, 1259-1263; (d) Y. Tsuji, T. Fujihara, *Chem. Commun.* 2012, 48, 9956-9964; (e) W. Zhang, X. Lu, *Chin. J. Catal.* 2012, 33, 745-756; (f) L. Zhang, Z. Hou, *Chem. Sci.* 2013, 4, 3395-3403; (g) Q. Liu, L. Wu, R. Jackstell, M. Beller, *Nat. Commun.* 2015, 6, 5933; (h) B. Yu, L. N. He, *ChemSusChem* 2015, 8, 52-62; (i) D. Yu, S. P. Teong, Y. Zhang, *Coord. Chem. Rev.* 2015, 293–294, 279-291; (j) S. Wang, G. Du, C. Xi, *Org. Biomol. Chem.* 2016, 14, 3666-3676; (k) Q. Zhu, L. Wang, C. Xia, C. Liu, *Chin. J. Org. Chem.* 2016, 36, 2813-2821; (l) G. Yuan, C. Qi, W. Wu, H. Jiang, *Curr. Opin. Green Sust. Chem.* 2017, 3, 22-27; (m) A. W. Kleij, M. North, A. Urakawa, *ChemSusChem* 2017, 10, 1036-1038; (n) Z. Zhang, T. Ju, J.-H. Ye, D.-G. Yu, *Synlett* 2017, 28, 741-750.

<sup>&</sup>lt;sup>4</sup> L.-N. He, *Carbon Dioxide Chemistry*, Chinese Science Press, Beijing, **2013**.

<sup>&</sup>lt;sup>5</sup> D. Riemer, S. Das, in: *CO*<sub>2</sub> as a Building Block in Organic Synthesis, Wiley-VCH, Weinheim, **2020**, pp. 253–285

<sup>&</sup>lt;sup>6</sup> L.-N. He, Chin. Sci. Bull. 2021, 66, 713–715.

For these reasons, this "greenhouse gas" has been long considered an attractive carbon building block in organic synthesis. However, activation of  $CO_2$  represents a huge challenge because of its thermodynamic stability and kinetic inertness and, therefore, suitable methods are required to transform it into useful chemicals.<sup>5,6</sup>

Faced with this challenging problem and inspired by Nature's efficiency converting this C1 building block into carbohydrates through photosynthesis, direct activation of carbon dioxide by organometallic was initially explored decades ago. Through the course of time, efficient catalytic methods for C–C and C–O coupling reactions have emerged based on the combination of CO<sub>2</sub> and high-free energy substrates.<sup>3g,5</sup> In this regard, it is crucial to develop suitable catalysts<sup>7</sup> that are able to lower the kinetic requirements for the coupling of the involved reaction partners and accelerate the reaction by lowering the activation barrier.

#### **1.2** Formation of heterocycles compounds

Heterocyclic compounds constitute a major class of organic compounds, which are characterized by the fact that some or all of the atoms are joined in rings containing at least one atom of an element other than carbon. The most common heteroatoms are nitrogen, oxygen and sulfur, but heterocyclic rings containing other heteroatoms are also widely known.<sup>8</sup> Importantly, heterocyclic rings are found in many naturally occurring compounds and pharmaceutically active ingredients. Notably, heterocyclic units can be found in mono- and polysaccharides and in the four DNA bases that make up for genetic codes. According to statistics, more than 85% of all biologically-active chemical compounds contain at least one heterocycle. These observation clearly reflect the key role of heterocycles in modern drug design, biochemistry and natural products.<sup>9</sup> Furthermore, heterocyclic derivatives are also

 <sup>&</sup>lt;sup>7</sup> (a) T. Sakakura, J.-C. Choi, H. Yasuda, *Chem. Rev.* 2007, *107*, 2365–2387; (b) J. Artz, T. E. Müller, K. Thenert, J. Kleinekorte, R. Meys, A. Sternberg, A. Bardow, W. Leitner, *Chem. Rev.* 2018, *118*, 434–504. See also ref. 3g.

<sup>&</sup>lt;sup>8</sup> (a) T. Eicher, S. Hauptmann, A. Speicher, *The Chemistry of Heterocycles: Structures, Reactions, Synthesis, and Applications*, Wiley, Weinheim **2013**; (b) J. A. Joule, K. Mills, *Heterocyclic Chemistry at a Glance*, Wiley, Weinheim **2012**; (c) V. A. Petrov, *Fluorinated Heterocyclic Compounds: Synthesis, Chemistry, and Applications*, Wiley, Weinheim **2009**.

 <sup>&</sup>lt;sup>9</sup> (a) Q. Jin, X. Wang, S. Li, H. Mikulčić, T. Bešenić, S. Deng, M. Vujanović, H. Tan, B. M. Kumfer, J. Energy Inst. 2019, 92, 108–117; (b) J. Jampilek, *Molecules* 2019, 24, No. 3839; (c) P. N. Kalaria, S. C. Karad, D. K. Raval, *Eur. J. Med. Chem.* 2018, 158, 917–936; (d) M. Kidwai, R. Venktaramanan, R. Mohan, P. Sapra, *Curr. Med. Chem.* 2002, 9, 1209–1228.

widely applied in agriculture, plastic production, new polymer development and in other fields.<sup>10</sup>

CO<sub>2</sub>-based cyclic carbonates and carbamates have become a major heterocyclic focus in the area of non-reductive carbon dioxide valorization catalysis, and their relevance and use will be discussed in more detail (*vide infra*).

#### **1.2.1** CO<sub>2</sub>-based cyclic carbonates

Cyclic carbonates as small heterocycles that have received increasing attention both at an academic and industrial level, because their synthesis and applications have several attractive features (Figure 1.1). For instance, these heterocyclic structures have been considered as monomers for polycarbonate synthesis. In addition, organic cyclic carbonates also can be applied as green solvents, electrolytes for lithium batteries, and as synthetic intermediates in phenobarbital synthesis.<sup>11</sup> Taking the conventional synthesis of cyclic carbonates from diols and triphosgene as a reference, some critical challenges still needed to be solved in terms of (a) finding effective catalysis approaches, (b) increasing process sustainability, and (c) the limitations in the scope of (functional) cyclic carbonates. These challenges have been the focal point of many research groups contributing to the area thereby resulting in a vibrant community. Some of these developments will be discussed below as a prelude to the results described in this thesis.

Until now, the most prominent and effective method for the preparation of cyclic carbonates also at an industrial level is the (3+2) cycloaddition of CO<sub>2</sub> to epoxides. For this reaction, there are several advantages including the use of a renewable, nontoxic and widely available reactant (CO<sub>2</sub>), a 100% atom efficiency as all reactants are incorporated in the product, and the possibility to run these processes solvent-free (Figure1.1).

 <sup>&</sup>lt;sup>10</sup> R. Merten, *Angew. Chem. Int. Ed. Engl.* 1971, *10*, 294–301; (b) A. F. Pozharskii, A. R. Katritzky, A. T. Soldatenkov, *Heterocycles in Life and Society*, Wiley, Chichester, 2011.

<sup>&</sup>lt;sup>11</sup> For selected reviews on CO<sub>2</sub>-related cyclic carbonate synthesis and utilization, see: (a) L. Guo, K. J. Lamb, M. North, *Green Chem.* **2021**, *23*, 77–118; (b) P. P. Pescarmona, *Curr. Opin. Green Sustain. Chem.* **2021**, *29*, No. 100457; (c) P. Rollin, L. K. Soares, A. M. Barcellos, D. R. Araujo, E. J. Lenardão, R. G. Jacob, G. Perin, *Appl. Sci.* **2021**, *11*, No. 5024; (d) M. Ghasemlou, F. Daver, E. P. Ivanova, B. Adhikari, *Eur. Polym. J.* **2019**, *118*, 668–684; (e) A. J. Kamphuis, F. Picchioni, P. P. Pescarmona, *Green Chem.* **2019**, *21*, 406–448; (f) S. Wang, C. Xi, *Chem. Soc. Rev.* **2019**, *48*, 382–404.



Figure 1.1 Synthesis of cyclic carbonates from CO<sub>2</sub> and epoxides and selected applications.

In order to expand the synthetic repertoire of  $CO_2$ -derived cyclic carbonates, additional approaches have been presented by Trost,<sup>12</sup> Gagosz,<sup>13</sup> and Nishizawa<sup>14</sup> individually (Scheme 1.1). Whereas these approaches are not necessarily atom-economic or sustainable, unique classes of unexplored cyclic alkylidene carbonates could be prepared by using silver catalysis empowered by bulky ligands such as DavePhos or *N*-heterocyclic carbenes<sup>15</sup> and using propargylic alcohols and  $CO_2$  as starting materials. These specific catalytic systems achieved the direct carboxylation cyclization of propargylic alcohols. Apart from using silver catalysts,

<sup>&</sup>lt;sup>12</sup> B. M. Trost, D. M. T. Chan, J. Org. Chem. **1983**, 48, 3346–3347.

 <sup>&</sup>lt;sup>13</sup> (a) A.Buzas, F. Gagosz, Org. Lett. 2006, 8, 515–518; (b) A. K. Buzas, F. M. Istrate, F. Gagosz, *Tetrahedron* 2009, 65, 1889–1901.

<sup>&</sup>lt;sup>14</sup> H. Yamamoto, M. Nishiyama, H. Imagawa, M. Nishizawa, *Tetrahedron Lett.* **2006**, *47*, 8369–8373.

<sup>&</sup>lt;sup>15</sup> (a) S. Dabral, B. Bayarmagnai, M. Hermsen, J. Schießl, V. Mormul, A. S. K. Hashmi, T. Schaub, *Org. Lett.* **2019**, *21*, 1422–1425. For some other Ag-based catalytic processes related to the coupling of propargylic alcohols and CO<sub>2</sub>, see (b) Q.-W. Song, L.-N. He, *Adv. Synth. Catal.* **2016**, *358*, 1251–1258; (c) S. Kikuchi, S. Yoshida, Y. Sugawara, W. Yamada, H.-M. Cheng, K. Fukui, K. Sekine, I. Iwakura, T. Ikeno, T. Yamada, *Bull. Chem. Soc. Jpn.* **2011**, *84*, 698–717, (d) Q. Song, W. Chen, R. Ma, A. Yu, Q. Li, Y. Chang, L. He, *ChemSusChem* **2015**, *8*, 821–827; (e) W. Yamada, Y. Sugawara, H. Cheng, T Ikeno, T. Yamada, *Eur. J. Org. Chem.* **2007**, 2604–2607; (f) X. Yu, Z. Yang, F. Zhang, Z. Liu, P. Yang, H. Zhang, B. Yu, Y. Zhao, Z. Liu, *Chem. Commun.*, **2019**, *55*, 12475– 12478.

copper complexes,<sup>16</sup> ionic liquids<sup>17</sup> and organic bases<sup>18</sup> also promote the formation of this type of 5-membered cyclic alkylidene carbonate.



Scheme 1.1 Synthetic routes to 5-membered cyclic alkylidene carbonates. TMU stands for tris(tetramethylurea).

Compared to the well-studied formation of *5-membered* cyclic carbonates, literature examples on the preparation of *larger-ring* homologues are relatively scarce. Traditional routes towards the preparation of 6-membered or even larger cyclic carbonates are related to the utilization of phosgene<sup>19</sup> and CO (Scheme 1.2a).<sup>20</sup> Due to the toxic nature of these reagents, these processes are not sustainable in terms of environmental impact and safety. With an increasing need for green, economical and sustainable chemical processes, using CO<sub>2</sub> as a C1-

<sup>&</sup>lt;sup>16</sup> (a) A. Cervantes-Reyes, K. Farshadfar, M. Rudolph, F. Rominger, T. Schaub, A. Ariafard, A. S K. Hashmi, *Green Chem.* 2021, 23, 889–897; (b) H. Jiang, A. Wang, H. Liu, C. Qi, *Eur. J. Org. Chem.* 2008, 2309–2312; (c) L. Ouyang, X. Tang, H. He, C. Qi, W Xiong, Y Ren, H. Jiang, *Adv. Synth. Catal.* 2015, 357, 2556–2565; (d) Y. Hu, J. Song, C. Xie, H. Wu, T. Jiang, G. Yang, B. Han, *ACS Sustainable Chem. Eng.* 2019, 7, 5614–5619.

<sup>&</sup>lt;sup>17</sup> Y. Wu, Y. Zhao, R. Li, B. Yu, Y. Chen, X. Liu, C. Wu, Xi. Luo, Z. Liu, ACS Catal. 2017, 7, 6251–6255.

<sup>&</sup>lt;sup>18</sup> N. Della Ca, B. Gabriele, G. Ruffolo, L. Veltri, T. Zanetta, M. Costaa, Adv. Synth. Catal. 2011, 353, 133–146

 <sup>&</sup>lt;sup>19</sup> (a) A.-A. G. Shaikh, S. Sivaram, Chem. Rev. 1996, 96, 951–976; (b) G. Rokicki, *Prog. Polym. Sci.* 2000, 25, 259–342; (c) A. G. Davies, P. Hua-de, J. A. A. Hawari, *J. Organomet. Chem.* 1983, 256, 251–260.

<sup>&</sup>lt;sup>20</sup> (a) B. Gabriele, R. Mancuso, G. Salerno, L. Veltri, M. Costa, A. Dibenedetto, *ChemSusChem* 2011, 4, 1778–1786; (b) D. M. Pearson, N. R. Conley, R. M. Waymouth, *Adv. Synth. Catal.* 2011, 353, 3007–3013; (c) B. Gabriele, R. Mancuso, G. Salerno, G. Ruffolo, M Costa, A. Dibenedetto, *Tetrahedron Lett.* 2009, 50, 7330–7332.

carbonation agent is an attractive alternative. In this context, efficient procedures have been reported for the synthesis of larger cyclic carbonates which build on the use of  $CO_2$  as a carbonation agent instead of phosgene and CO, see Scheme 1.2b and 1.2c. The examples provided under Scheme 1.2b combine 1,n-diols<sup>21</sup> and  $CO_2$  in the presence of stoichiometric additives, whereas in Scheme 1.2c the preparation of cyclic carbonates with iodo substituents attached to sp<sup>3</sup>-hybridized carbon atoms is reported using either *N*-iodo-succinimide (NIS) or *tert*-butyl hypoiodite a stoichiometric reactants.<sup>22</sup> The final example in Scheme 1.2d is a synthetic methodology that uses oxetanes which are coupled with  $CO_2$  at elevated reaction temperatures/pressures under Al-catalysis.<sup>23</sup>

Based on the success attained in the formation of cyclic carbonates from  $CO_2$  as a reagent, cyclic carbamates also appeared on the radar of synthetic chemists as a result of their wide presence in natural compounds and pharmaceuticals. In this sub-area of carbon dioxide valorization space, also new concepts were required to advance the access to these interesting synthons (see section 1.2.2 below).



Scheme 1.2 Reported methods for the synthesis of larger ring cyclic carbonates.

 <sup>&</sup>lt;sup>21</sup> (a) G. L. Gregory, M. Ulmann, A. Buchard, *RSC Adv.* 2015, *5*, 39404–39408; (b) M. Honda, M. Tamura, K. Nakao, K. Suzuki, Y. Nakagawa, K. Tomishige, *ACS Catal.* 2014, *4*, 1893–1896; (c) F. D. Bobbink, W. Gruszka, M. Hulla, S. Das, P. J. Dyson, *Chem. Commun.* 2016, *52*, 10787–10790.

 <sup>&</sup>lt;sup>22</sup> (a) S. Minakata, I. Sasaki, T. Ide, *Angew. Chem. Int. Ed.* 2010, 49, 1309–1311; (b) B. A. Vara, T. J. Struble, W. Wang, M. C. Dobish, J. N. Johnston, *J. Am. Chem. Soc.* 2015, *137*, 7302–7305.

<sup>&</sup>lt;sup>23</sup> J. Rintjema, W. Guo, E. Martin, E. C. Escudero-Adán, A. W. Kleij, *Chem. Eur. J.* 2015, 21, 10754– 10762.

#### **1.2.2** CO<sub>2</sub>-based cyclic carbamates

Cyclic carbamates represent another family of heterocyclic compounds which are not only useful as building blocks in organic synthesis, but also as small-molecule therapeutics in medicinal chemistry.<sup>24,25</sup> These scaffolds offer a constrained hydrogen-bond acceptor that is both polar and sterically small. In particular, five-membered and six-membered cyclic carbamates such as five-membered oxazolidinones and six-membered benzoxazine-2-one derivatives exhibit potent biological activity and some examples are shows in Scheme 1.3.<sup>26</sup> Benzoxazine-2-one derivatives (i.e., six-membered cyclic carbamates with a fused aryl group) are a specific class of cyclic carbamates with high pharmaceutical relevance as these cores are frequently found in drug molecules such as Efivarenz and Droxicam. Because of their high chemical and proteolytic stability together with the ability to easily penetrate into cells, the carbamate motif has been considered as a very important peptide bond surrogate in drug discovery and development processes.<sup>27</sup>



Scheme 1.3 Selected drugs containing a cyclic carbamate unit.

 <sup>&</sup>lt;sup>24</sup> (a) D. J. Ager, I. Prakash, D. R. Schaad, *Chem. Rev.* 1996, *96*, 835-876; (b) L. Aurelio, R. T. C. Brownlee, A. B. Hughes, *Chem. Rev.* 2004, *104*, 5823-5846; (c) T. A. Mukhtar, G. D. Wright, *Chem. Rev.* 2005, *105*, 529-542.

 <sup>&</sup>lt;sup>25</sup> (a) M. R. Barbachyn, Oxazolidinone Antibacterial Agents, Springer, Boston, 2012; (b) A. K. Ghosh, M. Brindisi, J. Med. Chem. 2015, 58, 2895-2940.

 <sup>&</sup>lt;sup>26</sup> (a) M. R. Barbachyn, C. W. Ford, *Angew. Chem. Int. Ed.* 2003, *42*, 2010-2023; (b) C. A. Correia, K. Gilmore, D. T. McQuade, P. H. Seeberger, *Angew. Chem. Int. Ed.* 2015, *54*, 4945-4948; (c) M. G. Russell and T. F. Jamison, *Angew. Chem. Int. Ed.* 2019, *58*, 7678-7681.

<sup>&</sup>lt;sup>27</sup> A. K. Ghosh, M. Brindisi, J. Med. Chem. 2015, 58, 2895–2940.

There have been many synthetic efforts to establish such heterocyclic structures. These compounds are commonly prepared by using hazardous and/or expensive reagents such as isocyanides and phosgene.<sup>28</sup> As a convenient alternative to these highly toxic starting materials, carbon dioxide has also been successfully used as a carbonylating agent toward the synthesis of cyclic carbamates. Notable progress was reported for the structurally more basic 1,3-oxazinan-2-one analogues using 1,3-aminoalcohols as starting materials as shown in Scheme 1.4a.<sup>29</sup> Apart from these partially stoichiometric conversions, a carboxylative cyclization of propargylic amines with CO<sub>2</sub> under metal catalysts (M = Ru,<sup>30</sup> Pd,<sup>31</sup> Au,<sup>32</sup> Ag<sup>33</sup> or Cu<sup>34</sup>) were also developed by various groups (Scheme 1.4b). The utilization of CO<sub>2</sub> to generate similar heterocycles with concomitant incorporation of a CF<sub>3</sub> group has additionally been reported. The Yu group reported a copper-catalyzed highly selective oxy-trifluoromethylation of diverse allylamines with carbon dioxide to allow access to CF<sub>3</sub>-containing 2-oxazolidones under redox-

<sup>&</sup>lt;sup>28</sup> (a) S. J. Brickner, *Curr. Pharm. Des.* **1996**, *2*, 175–194; (b) V. Famiglini, R. Silvestri, *Molecules* **2016**, *21*, 1–18; (c) M. S. Newman, A. Kutner, *J. Am. Chem. Soc.* **1951**, *73*, 4199–4204; (d) M. E. Dyen, D. Swern, *Chem. Rev.* **1967**, *67*, 197–246.

 <sup>&</sup>lt;sup>29</sup> (a) J. Paz, C. Pérez-Balado, B. Iglesias, L. Muñoz, J. Org. Chem. 2010, 75, 3037–3046; (b) T. Niemi, I. Fernández, B. Steadman, J. K. Mannistoa, T. Repo, *Chem. Commun.* 2018, 54, 3166–3169; (c) J. R. Khusnutdinova, J. A. Garg, D. Milstein, *ACS Catal.* 2015, 5, 2416–2422; (d) M. Tamura, M. Honda, K. Noro, Y. Nakagawa, K. Tomishige, *J. Catal.* 2013, 305, 191–203; (e) R. Juárez, P. Concepción, A. Corma, H. García, *Chem. Commun.* 2010, 46, 4181–4183; (f) C. J. Dinsmore, S. P. Mercer, Org. Lett. 2004, 6, 17, 2885–2888.

<sup>&</sup>lt;sup>30</sup> T. Mitsudo, Y. Hori, Y. Yamakawa, Y. Watanabe, *Tetrahedron Lett.* 1987, 28, 4417–4418.

<sup>&</sup>lt;sup>31</sup> (a) M. Oschmann, C. Placais, A. Nagendiran, J.-E. Backvall, O. Verho, *Chem. Eur. J.* 2019, 25, 6295–6299; (b) P. García-Domínguez, L. Fehr, G. Rusconi, C. Nevado, *Chem. Sci.* 2016, 7, 3914–3918; (c) M. Shi, Y.-M. Shen, *J. Org. Chem.* 2002, 67, 16–21; (d) A. Bacchi, G. Paolo Chiusoli, M. Costa, B. Gabriele, C. Righi, G. Salerno, *Chem. Commun.* 1997, 1209–1212.

<sup>&</sup>lt;sup>32</sup> (a) R. Yuan, Z. Lin, ACS Catal. 2015, 5, 2866–2872; (b) S. Hase, Y. Kayaki, T. Ikariya, Organometallics 2013, 32, 5285–5288; (c) R. Robles-Machín, J. Adrio, J. C. Carretero, J. Org. Chem. 2006, 71, 5023–5026; (d) F. Inagaki, K. Maeda, K. Nakazawa, C. Mukai, Eur. J. Org. Chem. 2018, 2972–2976; (e) E.-S. Lee, H.-S. Yeom, J.-H. Hwang, S. Shin, Eur. J. Org. Chem. 2007, 3503–3507; (f) K.-i. Fujita, K. Inoue, J. Sato, T. Tsuchimoto, H. Yasuda, Tetrahedron 2016, 72, 1205–1212; (g) K. Sekine, Gold Bull. 2017, 50, 203–209.

 <sup>&</sup>lt;sup>33</sup> (a) J.-F. Qin, B. Wang, G.-Q. Lin, *Green Chem.* 2019, 21, 4656–4661; (b) X.-T. Gao, C.-C. Gan, S.-Y. Liu, F. Zhou, H.-H. Wu, J. Zhou, ACS Catal. 2017, 7, 8588–8593; (c) R. Yuan, B. Wei, G. Fu, J. Org. Chem. 2017, 82, 3639–3647; (d) Q.-W. Song, L.-N. He, Adv. Synth. Catal. 2016, 358, 1251–1258; (e) M. Yoshida, T. Mizuguchi, K. Shishido, Chem. Eur. J. 2012, 18, 15578–15581; (f) T. Ishida, S. Kikuchi, T. Tsubo, T. Yamada, Org. Lett. 2013, 15, 848–851; (g) A. Cervantes-Reyes, T. Saxl, P. M. Stein, M. Rudolph, F. Rominger, A. M. Asiri, A. S. K Hashmi, ChemSusChem 2021, 14, 2367–2374.

<sup>&</sup>lt;sup>34</sup> (a) F. Chen, S. Tao, Q.-Q. Deng, D. Wei, N. Liu, B. Dai, J. Org. Chem. 2020, 85, 15197–15212; (b)
W.-B. Huang, F.-Y. Ren, M.-W. Wang, L.-Q. Qiu, K.-H. Chen, L.-N. He, J. Org. Chem. 2020, 85, 14109–14120; (c) A. L. Gu, Y.-X. Zhang, Z.-L. Wu, H.-Y. Cui, T. D. Hu, B. Zhao, Angew. Chem. Int. Ed. 2022, 61, e202114817; (d) Y. Zhao, J. Qiu, L. Tian, Z. Li, M. Fan, J. Wang, ACS Sustainable Chem. Eng. 2016, 4, 5553–5560.

neutral and mild reaction conditions (Scheme 1.4c).<sup>35</sup> In this latter process, carbon dioxide was used to extend the difunctionalization of alkenes from amino- to oxy-trifluoromethylation.



Scheme 1.4 Various synthetic methods to 5-membered cyclic carbamates.

Different from the 5-menbered cyclic carbamates, larger ring cyclic carbamates represents more rare structures, and efforts have been made to establish, *inter alia*, benzo-fused cyclic carbamate structures. The most conventional method to construct the carbamate motif in these heterocycles is by using hazardous phosgene and its derivates,<sup>36</sup> which is environmentally unattractive. Alternatively, carbamates can be accessed by reacting CO with amino alcohols such as *o*-aminophenols enabled by transition-metal catalysis.<sup>37</sup> In addition, other greener approaches toward carbamate formation have also been developed through the application of

<sup>&</sup>lt;sup>35</sup> J.-H. Ye, L. Song, W.-J. Zhou, T. Ju, Z.-B. Yin, S.-S. Yan, Z. Zhang, J. Li, D.-G. Yu, Angew. Chem. Int. Ed. 2016, 55, 10022–10026.

 <sup>&</sup>lt;sup>36</sup> (a) B. Lagu, B. Pio, R. Lebedev, M. Yang, P. D. Pelton, *Bioorg. Med. Chem. Lett.* 2007, *17*, 3497-3503; (b) E. Hernández, J. M. Vélez, C. P. Vlaar, *Tetrahedron Lett.* 2007, *48*, 8972-8975.

<sup>&</sup>lt;sup>37</sup> (a) L. Troisi, C. Granito, S. Perrone, F. Rosato, *Tetrahedron Lett.* **2011**, *52*, 4330-4332; (b) Y. Nishiyama, Y. Naitoh, N. Sonoda, *Synlett* **2006**, 109-111; (c) L. Ren, N. Jiao, *Chem. Commun.* **2014**, *50*, 3706-3709.

azide derivatives. In Scheme 1.5b, cyclic carbamate formation is realized under Co-catalysis.<sup>38</sup> CO<sub>2</sub> was involved as a key reagent in the reaction depicted in Scheme 1.5c.<sup>39</sup> However, the instability of organic azides hampered the realization of a wide product scope. Driven by the inherent synthetic potential of CO<sub>2</sub> as an abundant carbon reagent, a new synthetic method was reported by Yamada and coworkers that produces benzoxazine-2-one derivatives possessing a *Z*-configured *exo*-olefin (Scheme 1.5d).<sup>40</sup> These benzo-fused, six-membered carbamate heterocycles were made from *o*-alkynylanilines and carbon dioxide in the presence of a silver catalyst. This latter process is a rare example of a catalytic protocol that enables larger ring cyclic carbamate formation.



[Ag]-catalyzed (Z)-selective 6-*exo-dig* cyclization

Scheme 1.5 Synthetic methods for building carbamate skeletons.

 <sup>&</sup>lt;sup>38</sup> J. Lee, J. Lee, H. Jung, D. Kim, J. Park, S. Chang, J. Am. Chem. Soc. 2020, 142, 12324–12332; (b)
 P. Dahiya, A. Sarkar, B. Sundararaj, Adv. Synth. Catal. 2022, 364, 2642–2647.

<sup>&</sup>lt;sup>39</sup> A. Del Vecchio, A. Talbot, F. Caillé, A. Chevalier, A. Sallustrau, O. Loreau, G. Destro, F. Taran, D. Audisio, *Chem. Commun.* **2020**, *56*, 11677–11680.

<sup>&</sup>lt;sup>40</sup> T. Ishida, S. Kikuchi, T. Tsubo, T. Yamada, Org. Lett. 2013, *15*, 848–851.

The lack of apparent success while constructing larger ring cyclic carbonates and carbamates calls for new concepts to advance the access to these synthons, and preferably through catalytic approaches. Such methodologies could also accelerate a further development of CO<sub>2</sub>-based valorization thereby expanding on structural portfolio. In this sense, the rise of visible-light-driven catalytic carboxylation and the design of multi-step (cascade) processes based on carbon dioxide have the potential for upstream developments into this direction. In the following section, the latter (cascade approaches) are shortly discussed.

#### **1.3** Cascade processes with CO<sub>2</sub>

As mentioned,  $CO_2$  can be used for relatively simple transformations such as the formation of cyclic carbonates and carbamates from epoxide and azirines. As a logical extension *en route* to more complex organic carbonates in our group's research program, we focused recently on two approaches that involve multiple steps towards the target products.

In the first approach, more complex five-membered cyclic carbonates can be obtained using an organocatalyzed domino [3+2] cycloaddition/Payne-type rearrangement. Unlike in the classical mechanism for the cycloaddition of CO<sub>2</sub> to epoxides that builds on the presence of an external (halide) nucleophile, in 2016 our group reported a substrate-controlled divergent synthesis of cyclic carbonates and carbamates from epoxy alcohols and amines,<sup>41</sup> respectively, under Al-catalysis. In this catalytic process, carbon dioxide is initially activated through either the amine or alcohol unit, thereby providing internal nucleophiles for intramolecular epoxy ring opening under mild reaction conditions. Inspired by the Payne rearrangement of epoxy alcohols,<sup>42</sup> an organocatalytic strategy towards highly substituted cyclic carbonates/carbamates from tri- and tetra-substituted oxiranes and carbon dioxide was eventually achieved (Scheme 1.6).<sup>43</sup> A less substituted carbonate/carbamate product is initially formed in this process, which is in equilibrium with a more substituted one through activation of the pendent alcohol that thus enables a thermodynamic mixture of both carbonates. The most substituted carbonate features a primary alcohol which can be selective protected providing a kinetically controlled process that gives access to the most substituted cyclic carbonate with high level of synthetic efficiency. This process constituted a unique example in which epoxy-based substrates are

<sup>&</sup>lt;sup>41</sup> J. Rintjema, R. Epping, G. Fiorani, E. Martín, E. C. Escudero-Adán, A. W. Kleij, *Angew. Chem. Int. Ed.* **2016**, *55*, 3972–3976.

<sup>&</sup>lt;sup>42</sup> G. B. Payne, J. Org. Chem. **1962**, 27, 3819–3822.

<sup>&</sup>lt;sup>43</sup> S. Sopeña, M. Cozzolino, C. Maquilón, E. C. Escudero-Adán, Marta Martínez Belmonte, A. W. Kleij, *Angew. Chem. Int. Ed.* 2018, 57, 11203–11207.

formally coupled with CO<sub>2</sub> leading to tri- and even tetra-substituted cyclic carbonates/carbamates.



Scheme 1.6 Epoxy alcohol/amine-based cascade processes with a Payne-type cyclic carbonate isomerization step.

The second example of a cascade process is a transformation mediated by Ag-catalysis, and more specifically a reaction between a propargylic alcohol and carbon dioxide which have received increasingly attention over the years. More recently, various groups reported that an intermediate  $\alpha$ -alkylidene carbonate (furnished by a known carboxylative cyclization) can be further reacted with nucleophiles such as alcohols (Scheme 1.7) thereby enabling a series of steps culminating into the formation of a final carbonate or carbamate heterocycle and an  $\alpha$ hydroxy-ketone as a secondary product. The combination of terminal propargylic alcohols, carbon dioxide and vicinal diols or 2-aminoethanol (Scheme 1.7)<sup>44,45</sup> in the presence of a Ag(I)catalyst leads to either substituted cyclic carbonates or carbamates. These processes, compared with direct condensation of CO<sub>2</sub> with diols/2-aminoethanols, provide thermodynamically favorable routes to cyclic carbonates/carbamates and  $\alpha$ -hydroxyl ketones without the addition of dehydration agents. Up to the moment of the development of this thesis work, only *intermolecular* versions of these cascades had been reported and we are not aware of any example where an *internal* nucleophile enables carbonate isomerization by attacking the activated carbonate ring.

 <sup>&</sup>lt;sup>44</sup> (a) Z.-H. Zhou, Q.-W. Song, L.-N. He, ACS Omega 2017, 2, 337–345; (b) J.-Y. Li, L.-H. Han, Q.-C. Xu, Q.-W. Song, P. Liu, K. Zhang, ACS Sustainable Chem. Eng. 2019, 7, 3378–3388; (c) H. Zhou, H. Zhang, S. Mu, W.-Z. Zhang, W.-M. Ren, X.-B. Lu, Green Chem. 2019, 21, 6335–6341.

<sup>&</sup>lt;sup>45</sup> Q.-W. Song, Z.-H. Zhou, M.-Y. Wang, K. Zhang, P. Liu, J.-Y. Xun, L.-N. He, *ChemSusChem* 2016, 9, 2054–2058.



Scheme 1.7 Reported intermolecular cascade reactions leading to cyclic carbonates or carbamates under Ag catalysis.

#### **1.4** Main objective and outline of this thesis

Section 3 shows that in the last five years, catalytic cascade reactions using  $CO_2$  as a reagent have gained interest as they can lead to more complex structures resembling (parts of) pharmarelevant compounds. Cascade processes offer a way to combine several process steps into a one-pot procedure while having the opportunity to quickly assemble complex and functional scaffolds of interest in pharmaceutical discovery and development studies. Another observation in the area of  $CO_2$  catalysis is the privileged nature of Ag-catalysis to convert propargylic alcohols and  $CO_2$  into activated  $\alpha$ -alkylidene carbonates which have potential to undergo further reactions provided that suitable nucleophiles are present that can induce isomerization of the heterocyclic structure. In view of these features and notions, we envisioned that combining all these aspects would deliver a powerful approach to new types of heterocyclic compounds derived from  $CO_2$ . The **main objective** of this doctoral thesis is the development of novel Ag-catalyzed cascade conversions of carbon dioxide into new types of heterocycles with a high level of functionality, substitution degree and further extending these procedures to larger ring carbonates and carbamates.

This thesis consists of four chapters. In **Chapter 1**, a general background is given for the experimental chapters of the thesis illustrating the most pertinent aspects of  $CO_2$  utilization, the synthetic and application potential of  $CO_2$ -based heterocycles and generic features of some

examples of cascade processes carried out with CO<sub>2</sub>. These introductionary remarks are followed by two experimental chapters and a summary/general conclusion section.

In **Chapter 2** a new and efficient domino process promoted by a silver/phosphine-based catalyst is reported that involves the use of modular alkyne-1,*n*-diols and carbon dioxide providing access to keto-functionalized cyclic carbonates. The scope of these carbonates included the preparation of functional di-, tri- and even tetra-substituted heterocyclic rings. Detailed computational analysis (DFT) of this complex manifold showed, among other aspects, that the key feature of this keto-carbonate formation is the thermodynamically induced isomerization of an *enol-into-keto* fragment after nucleophilic ring-opening. This specific cascade protocol allows for the construction of larger ring carbonates (i.e., six- and seven-membered ones) through the intermediacy of an  $\alpha$ -alkylidene carbonate, and expands the synthetic potential of carbon dioxide.

In **Chapter 3**, a conceptually novel catalytic cascade approach towards the formation of highly functional 1,4-dihydro-2*H*-1,3-benzoxazine-2-one derivatives is presented. In particular, the chemo-selectivity was greatly influenced by the structure of the substrate precursor. We finally found that the presence of a tertiary propargylic carbonate in the precursor is key to override parasitic cyclization processes while empowering the formation of the desired product through Thorpe–Ingold (angle-compression) effects. The formation of a wide range of CO<sub>2</sub>-based benzo-fused six-membered cyclic carbonates demonstrates the synthetic diversity of this domino process. The isolation of one of the reaction intermediates and its separately studied conversion into the final product supports an unusual ring-expansion sequence from an  $\alpha$ -alkylidene, five-membered cyclic carbonate to a six-membered cyclic carbonate by *N*-induced isomerization.

Finally, in **Chapter 4** a short summary of the silver catalytic cascade processes reported in chapters 2 and 3 is provided together with a general conclusion of the thesis work that puts the entire content into context. A future outlook and remaining challenges are also briefly discussed to serve as an inspiration for follow-up research activities in this area.

Chapter 2.

## Cascade Transformation of Carbon Dioxide and Alkyne-1,n-diols into Densely Substituted Cyclic Carbonates

The results described in this chapter have been published in:

X. Li, A. Villar-Yanez, C. N. Tounzoua, J. Benet-Buchholz, B. Grignard, C. Bo, C. Detrembleur, A. W. Kleij, *ACS Catal.* **2022**, *12*, 2854–2860.
UNIVERSITAT ROVIRA I VIRGILI SILVER-CATALYZED CASCADE CONVERSIONS OF CO2 INTO HETEROCYCLES Xuetong Li

# 2.1 Introduction

The reutilization of carbon-containing waste into value-added products through catalysis provides an attractive route in the context of circular chemistry.<sup>1</sup> The implication of circular principles will realize an improved usage of our natural resources, thereby embracing a sustainable future and a more efficient carbon management.<sup>2</sup> Carbon dioxide (CO<sub>2</sub>) represents the most simple carbon-based reagent available for the fabrication of various products including pharmaceuticals,<sup>3</sup> polymerizable monomers,<sup>4</sup> synthetic intermediates,<sup>5</sup> and bulk chemicals.<sup>6</sup> Despite the difficulties encountered in the catalytic transformation of CO<sub>2</sub>, much progress has been noted in the last 10 years with outstanding advances in both reductive <sup>7</sup> and nonreductive conversions. <sup>8</sup> A prominent, nonreductive conversion process is (3 + 2) cycloaddition of CO<sub>2</sub> to epoxides providing cyclic carbonates as products. These compounds have gained a great deal of synthetic importance over the last few years as suitable starting

 <sup>&</sup>lt;sup>1</sup> a) T. Keijer, V. Bakker, J. C. Slootweg, *Nat. Chem.* 2019, *11*, 190–195; b) J. Cantzler, F. Creutzig, E. Ayargarnchanakul, A. Javaid, L. Wong, W. Haas, *Environ. Res. Lett.* 2020, *15*, No. 123001; c) E. C. D. Tan, P. Lamers. *Front. Sustainability* 2021, *2*, No, 701509.

<sup>&</sup>lt;sup>2</sup> Advances in Carbon Management Technologies, In S. K. Sikdar, F. Princiotta, Eds., CRC Press: Boca Raton, 2020.

<sup>&</sup>lt;sup>3</sup> a) C. Lescot, D.U. Nielsen, I. S. Makarov, A. T. Lindhardt, K. Daasbjerg, T. Skrydstrup, J. Am. Chem. Soc. 2014, 136, 6142–6147; b) W. Guo, V. Laserna, J. Rintjema, A. W. Kleij, Adv. Synth. Catal. 2016, R. Epping, G. Fiorani, E. Martín, E. C. Escudero-Adán, A. W. Kleij, Angew. Chem., Int. Ed. 2016, 55, 3972–3976.

<sup>&</sup>lt;sup>4</sup> T. M. McGuire, C. Pérale, R. Castaing, G. I. Kociok-Köhn, A. Buchard, J. Am. Chem. Soc. 2019, 141, 13301–13305; b) T. M. McGuire, E. M. López-Vidal, G. L. Gregory, A. Buchard, J. CO<sub>2</sub> Util. 2018, 27, 283–288; c) C. Qiao, A. Villar-Yanez, J. Sprachmann, B. Limburg, C. Bo, A. W. Kleij, Angew. Chem., Int. Ed. 2020, 59, 18446–18451; d) C. Maquilón, F. Della Monica, B. Limburg, A. W. Kleij, Adv. Synth. Catal. 2021, 363, 4033–4040; e) J. Vaitla, Y. Guttormsen, J. K. Mannisto, A. Nova, T. Repo, A. Bayer, ACS Catal. 2017, 7, 7231–7244.

<sup>&</sup>lt;sup>5</sup> a) Q. Liu, L. Wu, R. Jackstell, M. Beller, *Nat. Commun.* **2015**, *6*, No. 5933; b) W. Guo, J. E. Gómez, À. Cristòfol, J. Xie, A. W. Kleij, *Angew. Chem., Int. Ed.* **2018**, *57*, 13735–13747; c) L. Zuo, T. Liu, X. Chang, W. Guo, *Molecules* **2019**, *24*, No. 3930; d) S. Dabral, T. Schaub, *Adv. Synth. Catal.* **2019**, *361*, 223–246; e) V. Laserna, E. Martin, E. C. Escudero-Adán, A. W. Kleij, *ACS Catal.* **2017**, *7*, 5478–5482.

 <sup>&</sup>lt;sup>6</sup> a) J. Klankermayer, S. Wesselbaum, K. Beydoun, W. Leitner, *Angew. Chem., Int. Ed.* 2016, 55, 7296–7343; b) M. Aresta, A. DiBenedetto, A. Angelini, *Chem. Rev.* 2014, 114, 1709–1742.

<sup>&</sup>lt;sup>7</sup> a) A. Tortajada, F. Juliá-Hernández, M. Börjesson, T. Moragas, R. Martin, *Angew. Chem., Int. Ed.* 2018, 57, 15948–15982; b) S. Nitopi, E. Bertheussen, S. B. Scott, X. Liu, A. K. Engstfeld, S. Horch, B. Seger, I. E. L. Stephens, K. Chan, C. Hahn, J. K. Nørskov, T. F. Jaramillo, I. Chorkendorff, *Chem. Rev.* 2019, 119, 7610–7672; c) S. R. Lingampalli, M. M. Ayyub, C. N. R. Rao, *ACS Omega* 2017, 2, 2740–2748; d) Q.-W. Song, Z.-H. Zhou, L.-N. He, *Green Chem.* 2017, 19, 3707–3728.

<sup>&</sup>lt;sup>8</sup> a) R. R. Shaikh, V. Pornpraprom, et al., ACS Catal. 2018, 8, 419–450; b) J. W. Comerford, I. D. V. Ingram, M. North, X. Wu, Green Chem. 2015, 17, 1966–1987; c) M. Alves, B. Grignard, R. Mereau, C. Jérôme, T. Tassaing, C. Detrembleur, Catal. Sci. Technol. 2017, 7, 2651–2684; d) B. Yu, L.-N He. ChemSusChem 2015, 8, 52–62; e) A. J. Kamphuis, F. Picchioni, P. P. Pescarmona, Green Chem. 2019, 21, 406–448; f) F. Della Monica, A. W. Kleij, Catal. Sci. Technol. 2020, 10, 3483–3501; g) B. Limburg, A. Cristòfol, F. Della Monica, A. W. Kleij, ChemSusChem 2020, 13, 6056–6065.

points for decarboxylative formation of compounds with elusive stereocenters<sup>9</sup> and the creation of more sustainable CO<sub>2</sub>-based polymers and materials.<sup>10</sup> The [3 + 2] cycloaddition strategy for the generation of cyclic carbonates generally works well for mono- and disubstituted epoxides but shows important limitations for even more sterically demanding oxiranes. To overcome this challenge, new conceptual designs have emerged that capitalize on alternative reactivity patterns. For instance, the use of substrate-controlled manifolds such as the one presented in Scheme 2.1a allows for the design of highly elusive and complex carbonate structures through unique cascade processes, provided that a suitable trapping mechanism is available.<sup>11</sup>

Similar though different in its design is the interception of reactive in or ex situ prepared  $\alpha$ -alkylidene carbonates by diol reagents in a formal domino transesterification process (Scheme 2.1b) giving a 1:1 mixture of a new cyclic carbonate and an  $\alpha$ -hydroxy ketone.<sup>12</sup> Whereas these cascade designs are able to provide some though a rather limited degree of structural diversity, the conformation of a ketone byproduct renders them atom-inefficient. To expedite new types of cascade processes providing new types of carbonate structures, we sought to merge the presence of an intramolecular alcohol (pro)nucleophile and a reactive exocyclic double bond to promote a new rearrangement process that would give access to keto-functionalized cyclic carbonates while enabling an ample scope in substitution and functionality (Scheme 2.1c).

# 2.2 Aims of the work

The main objective of the work presented in this chapter was to productively use alkyne-1,2diol under appropriate reaction conditions thereby inducing a skeletal rearrangement of an

<sup>&</sup>lt;sup>9</sup> a) A. Cai, A. W. Kleij, *Angew. Chem., Int. Ed.* **2019**, *58*, 14944–14949; b) I. Cristòfol, B. Limburg, A. W. Kleij, *Angew. Chem., Int. Ed.* **2021**, *60*, 15266–15270.

<sup>&</sup>lt;sup>10</sup> a) T(a) B. Grignard, S. Gennen, C. Jérôme, A. W. Kleij, C. Detrembleur, *Chem. Soc. Rev.* 2019, 48, 4466–4514; b) N. Yadav, F. Seidi, D. Crespy, V. D'Elia, *ChemSusChem* 2019, 12, 724–754; c) F. Monie, B. Grignard, J.-M. Thomassin, R. Mereau, T. Tassaing, C. Jérôme, C. Detrembleur, *Angew. Chem., Int. Ed.* 2020, 59, 17033–17041; d) G. L. Gregory, G. Kociok-Köhn, A. Buchard, *Polym. Chem.* 2017, 8, 2093–2104; e) A. Gomez-Lopez, S. Panchireddy, B. Grignard, I. Calvo, C. Jérôme, C. Detrembleur, H. Sardon, *ACS Sustainable Chem. Eng.* 2021, 9, 9541–9562.

<sup>&</sup>lt;sup>11</sup> a) R. Huang, J. Rintjema, J. González-Fabra, E. Martín, E. C. Escudero-Adán, C. Bo, A. Urakawa, A. W. Kleij, *Nat. Catal.* **2019**, *2*, 62–70; b) S. Sopeña, M. Cozzolino, C. Maquilón, E. C. Escudero-Adán, M. Martínez Belmonte, A. W. Kleij, *Angew. Chem., Int. Ed.* **2018**, *57*, 11203–11207. See also refs 3, 5, 8.

<sup>&</sup>lt;sup>12</sup> a) Z.-H. Zhou, Q.-W. Song, L.-N. He, ACS Omega 2017, 2, 337–345; b) J.-Y. Li, L.-H. Han, Q.-C. Xu, Q.-W. Song, P. Liu, K. Zhang, ACS Sustainable Chem. Eng. 2019, 7, 3378–3388; c) H. Zhou, H. Zhang, S. Mu, W.-Z. Zhang, W.-M. Ren, X.-B. Lu, Green Chem. 2019, 21, 6335–6341. See also ref 10.

initially formed  $\alpha$ -alkylidene carbonate with the formation of the keto group as a thermodynamic driving force (Scheme 2.1c).



**Scheme 2.1.** (a) Substrate-controlled cascade reaction leading to highly substituted cyclic carbonates. (b) Domino transesterification process involving external diols. (c) This work: a novel cascade process.

Here, we illustrate the development and mechanistic rationale for this conceptual novel catalytic domino process, thus expanding the current portfolio of highly substituted (saturated) cyclic carbonates. The protocol is characterized by operational simplicity, excellent scope of carbonate-based heterocycles, and mild reaction conditions. *In situ* IR studies, various control experiments, and detailed DFT (density functional theory) analysis of these manifolds reveal the intermediacy of an  $\alpha$ -alkylidene cyclic carbonate that is captured by an intramolecular alcohol nucleophile.

# 2.3 Results and discussion

At the onset of our studies, we selected an alkyne-1,2-diol reagent (**2.1a**) as a model substrate (Tables 2.1)<sup>13</sup> and AgOAc/DavePhos (*rac*-**L2.1**) as catalyst precursors using CH<sub>3</sub>CN as a solvent.<sup>14</sup> Both the Ag salt and phosphine ligand alone are not effective (Table 2.2), but their combination (Table 2.1, entry 1: 10 mol% each) provides a high level of substrate conversion at 35 °C with a moderate yield of **2.2a** (56%). Since the NMR and IR analyses (v = 1803 and 1729 cm<sup>-1</sup>) of an isolated sample of **2.2a** were not conclusive and indicated the formation of a product different from an  $\alpha$ -alkylidene carbonate, X-ray analysis was performed. This unambiguously confirmed the formation of a keto-substituted cyclic carbonate (*vide infra*, Scheme 2.2).<sup>15</sup> The transformation of **2.1a** into **2.2a** can also be performed at 25 °C (entry 2) but requires a longer reaction time (48 h) to afford a similar yield of **2.2a**. The nature of the phosphine ligand is crucial as simple ligands such as PPh<sub>3</sub>, dppe (entries 3 and 4, Table 2.1), and DPEPhos (entry 14, Table 2.2) proved to be unproductive. Then, we decided to examine other bulky monophosphine ligands (**L2.2–L2.6**, entries 5–9), with BrettPhos **L2.6** providing the best performance with **2.2a** produced in excellent yield (91% by NMR, 85% isolated; entry 9, Table 2.1).

To make the protocol more attractive, we investigated the use of lower loadings of both the Ag precursor and **L2.6** (entries 10–13, 5.0 mol%). By slightly increasing the reaction temperature to 40 °C, full conversion of **2.1a** could be realized within 6 h while using AgF as a precursor (entry 13), giving **2.2a** in high isolated yield (88%). Lower loadings of AgF/**L2.6** or changing the solvent (entries 14–16, Table 2.1, and Table 2.2) did not further improve the process outcome.<sup>16</sup>

<sup>&</sup>lt;sup>13</sup> J. E. Gómez, À. Cristòfol, A. W. Kleij, *Angew. Chem., Int. Ed.* **2019**, *58*, 3903–3907.

<sup>&</sup>lt;sup>14</sup> The combination of AgOAc and DavePhos L2.1 was previously reported as a highly effective catalyst system for α-alkylidene carbonate formation, see (a) S. Dabral, B. Bayarmagnai, M. Hermsen, J. Schießl, V. Mormul, A. S. K. Hashmi, T. Schaub, *Org. Lett.* 2019, *21*, 1422–1425. For some other Ag-based catalytic processes related to the coupling of propargylic alcohols and CO<sub>2</sub>, see (b) Q.-W. Song, L.-N. He, *Adv. Synth. Catal.* 2016, *358*, 1251–1258; (c) S. Kikuchi, S. Yoshida, Y. Sugawara, W. Yamada, H.-M. Cheng, K. Fukui, K. Sekine, I. Iwakura, T. Ikeno, T. Yamada, *Bull. Chem. Soc. Jpn.* 2011, *84*, 698–717.

<sup>&</sup>lt;sup>15</sup> For further details of the structure of **2.2a**, please consult CCDC 2088491. For structure **2.4a**, see CCDC 2088492. For structure **2.7**, see CCDC 2112335.

<sup>&</sup>lt;sup>16</sup> In the last two reactions of Table 2.1, a much lower chemoselectivity towards the keto-carbonate **2.2a** was observed, with various unidentified products in the crude mixture.

**Table 2.1** Screening and optimization of the Ag-catalyzed conversion of alkyne-1,2-diol **2.1a** and CO<sub>2</sub> into keto-substituted cyclic carbonate **2.2a**.<sup>[a]</sup>

_	Ph OH [Ac 2.1a	2], L 2, T, t bar) Me Ph 2.2a		P(Cy) <sub>2</sub>	P( <i>t</i> -Bu) <sub>2</sub>
iP	P(Cy) <sub>2</sub> <i>i</i> Pr <i>i</i> Pr <i>L</i> 2.3	P(Cy) <sub>2</sub> OMe L2.4	<i>i</i> PrO L2.5	<sup>2</sup> MeO <i>i</i> Pr	OMe P(Cy) <sub>2</sub> /Pr r L2.6
entry	t, T <sup>[b]</sup>	[Ag] <sup>[b]</sup>	$[\mathbf{L}]^{[b]}$	$C^{[b,c]}$	Yield <sup>[b,c]</sup>
1	24, 35	AgOAc, 10	<b>L2.1</b> , 10	96	56
2	48, 25	AgOAc, 10	<b>L2.1</b> , 10	83	62
3	24, 25	AgOAc, 10	PPh <sub>3</sub> , 10	<10	<10
4	24, 25	AgOAc, 10	dppe, 10	0	0
5 <sup>[d]</sup>	24, 25	AgOAc, 10	<b>L2.2</b> , 10	77	66(63)
6 <sup>[d]</sup>	24, 25	AgOAc, 10	<b>L2.3</b> , 10	82	74(66)
7 <sup>[d]</sup>	24, 25	AgOAc, 10	<b>L2.4</b> , 10	84	73(68)
8	24, 25	AgOAc, 10	<b>L2.5</b> , 10	85	63
9 <sup>[d]</sup>	24, 25	AgOAc, 10	<b>L2.6</b> , 10	>99	91(85)
10	24, 25	AgOAc, 5	<b>L2.6</b> , 5.0	73	69
11	24, 40	AgOAc, 5	<b>L2.6</b> , 5.0	93	85
12	24, 40	AgF, 5	<b>L2.6</b> , 5.0	>99	90
13 <sup>[d]</sup>	6, 40	AgF, 5	<b>L2.6</b> , 5.0	>99	91(88)
14	6, 40	AgF, 2.5	L2.6, 2.5	12	<5
15 <sup>[e]</sup>	6, 40	AgF, 5	<b>L2.6</b> , 5.0	>99	18
16 <sup>[f]</sup>	6, 40	AgF, 5	<b>L2.6</b> , 5.0	>99	17

[a] General conditions: **2.1a** (0.30 mmol), CH<sub>3</sub>CN (0.60 mL), [Ag]/[L], and T/t as indicated. [b] Time in h, temperature in °C, [Ag] and [L] in mol%, and conversion (C) of **2.1a** and the yield of **2.2a** in %. [c] Conversion and yield based on <sup>1</sup> H NMR (CDCl<sub>3</sub>) analysis, using mesitylene as the internal standard. [d] In brackets, the isolated yield of **2.2a**. [e] THF as a solvent. [f] MeOH as a solvent. The scope of this transformation (Scheme 2.2) was then further explored using the optimized conditions reported in entry 13 of Table 2.1. In general, good-to-excellent isolated yields of the keto-carbonates were achieved under mild temperature (40 °C) and pressure (1 bar) conditions. A wide range of 3-aryl-3-keto-carbonates could be produced (2.2a-2.2k) with electronically diverse *para-* and *meta-*substituents. The presence of other (hetero)aryl groups (2.2l-2.2o) in the keto-carbonate product is also tolerated, though for naphthyl-substituted **2.2l**, a reaction temperature of 60 °C was required to allow for an appreciable product yield, most likely as a result of increased steric congestion in the intermediate of Scheme 2.1c. Apart from aryl groups, various primary, secondary, and tertiary alkyl groups can also be introduced as illustrated by the successful preparation of **2.2p-2.2s**, with the adamantyl-based **2.2r** (57%) being particularly noteworthy.



Scheme 2.2 Scope of disubstituted keto-based cyclic carbonates (2.2a–2.2s) derived from alkyne-1,2-diols 2.1a–2.1s. [a] The reaction was performed at 60 °C.

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> Next, we decided to challenge the developed protocol further using substituted (cf., R<sup>2</sup>) alkynyl-1,2-diols 2.3a-2.3m. Trisubstituted, aryl-functionalized keto-carbonates 2.4a-2.4d<sup>15</sup> were obtained in good isolated yields (76–90%) and under high diastereocontrol (dr > 95:5), whereas methyl-substituted products 2.4e-2.4g (62-77%) were produced with lower dr values, which is ascribed to an apparent lower degree of diastereocontrol in the intramolecular attack of the secondary alcohol on the  $\alpha$ -alkylidene carbonate intermediate (Scheme 2.1c). Encouraged by the low-temperature formation of typically challenging trisubstituted ketocarbonates,<sup>5e</sup> we then considered alkyne 1,2-diols with three substitutions (R<sup>1</sup>–R<sup>3</sup>, Scheme 2.3, top) to forge sterically more demanding keto-carbonates. Under relatively mild conditions (40 °C, 1 bar), the formation of tetrasubstituted carbonate heterocycles 2.4h–2.4m could be accommodated in typical good isolated yields of up to 91%. Both spiro-fused cycloalkyl rings (2.4h and 2.4i) and different combinations of aryl/alkyl substituents (2.4j-2.4m) are tolerated in the product skeletons, further highlighting the excellent scope of this transformation. It should be noted that the formation of highly substituted cyclic carbonates such as those in Scheme 2.3 represents a huge challenge, and the results described so far thus demonstrate a substantial advance in this area.

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Scheme 2.3 Scope of tri- and tetrasubstituted keto-based cyclic carbonates (2.4a-2.4m) derived from substituted alkyne-1,2-diols 2.3a-2.3m. [a] The reaction time was 48 h. The *dr* values were determined by <sup>1</sup>H NMR.

We then further examined whether the use of higher homologues of the alkyne-1,2-diols would serve as suitable reagents toward larger ring carbonates (Scheme 2.4) and their synthetic utility. The treatment of alkyne-1,3-diol **2.5a** with CO<sub>2</sub> (15 bar) at 25 °C in the presence of the binary catalyst AgI/TBAOPh in DMSO (2.2 M) gave the six-membered keto-carbonate 2.6 with high chemo-selectivity (98%) and appreciable isolated yield (51%, see the Experimental Section for further details; entry 1, Table 2.6).<sup>17</sup> Interestingly, we found that under similar conditions while raising the reaction temperature and changing the solvent to CH<sub>3</sub>CN (4.4 M), the chemo-selectivity changed toward a unique, bicyclic tetrasubstituted five-membered cyclic carbonate 2.7 (70%; entry 14, Table 2.6), which was unambiguously identified by X-ray crystallography.<sup>15</sup> Although its formation mechanism is yet unclear, we believe that **2.6** is a viable precursor for 2.7 and ring-opening of 2.6 by the phenolate salt is likely involved, followed by rearrangement into the thermodynamically more stable product 2.7. To further examine the utility of our cascade protocol, we also subjected alkyne-1,4-diol 2.5b to a similar carboxylation process. After some optimization (see the Experimental Section, Table 2.7), we found that a bicyclic, tetrasubstituted carbonate 2.10 could be produced in 45% NMR yield (33% isolated) in the presence of AgI/DBU as a binary catalyst (entry 12, Table 2.7). Analogous to the formation of 2.6, product 2.10 requires the in situ formation of the sevenmembered keto-carbonate 2.9 with the alkylidene carbonate 2.8 being the precursor for 2.9. Both could indeed be observed and identified by both <sup>1</sup> H NMR and operando IR spectroscopy (see the Experimental Section for details). These combined findings indeed suggest further potential of our cascade protocol to access otherwise elusive cyclic carbonate scaffolds.

We then probed whether the keto-based carbonate 2.2a could be transformed while maintaining the carbonate ring intact (Scheme 2.4, lower part). The scale-up of 2.2a was easily performed to gram quantities allowing for postsynthetic transformations to be examined. The ketone group could be reduced in the presence of NaBH<sub>4</sub> to afford the corresponding alcohol 2.11 in 67% yield, where the ketone could also be converted into an imine (2.12: 85% yield). These results suggest that the carbonate rings in the five-membered keto-carbonates are rather stable.

<sup>&</sup>lt;sup>17</sup> The choice for AgI/TBAOPh as catalyst came from a screening study, that showed better performance of this system compared to AgF/ L2.6 that was utilized for the product scope phase. Further to this, carbonate 2.6 proved to be less stable than the five-membered keto-carbonates under the chromatographic conditions and some loss of product was thus noticed upon work up. See the Experimental Section for more details. For the previous use of this catalyst see: C. Ngassam Tounzoua, B. Grignard, A. Brege, C. Jérôme, T. Tassaing, R. Mereau, C. Detrembleur, ACS Sustainable Chem. Eng. 2020, 8, 9698–9710.

Scheme 2.4 Conversion of alkyne-1,3- and alkyne-1,4-diols 2.5a and 2.5b into derivatives 2.6–2.10 with their diagnostic IR data.<sup>[a]</sup>



[a] Reaction conditions: (i) AgI/TBAOPh (5 mol%), DMSO (2.2 M), 25 °C, 24 h, 15 bar CO<sub>2</sub>; (ii) AgI/TBAOPh (5 mol%), ACN (4.4 M), 80 °C, 24 h, 15 bar CO<sub>2</sub>; (iii) AgI/DBU (5 mol%), ACN, 80 °C, 24 h, 15 bar CO<sub>2</sub>; (iv) AgI/TBAOPh (5 mol%), DMSO (2.2 M), 25 °C, 24 h, 15 bar CO<sub>2</sub>; (v) NaBH<sub>4</sub> (1.1 equiv), THF/MeOH (4:1), 0 °C, 1 h; and (vi) H<sub>2</sub>NOH·HCl (2 equiv), pyridine (2 equiv), EtOH, r.t., 16 h.

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# 2.4 DFT studies

To shed light on the formation mechanism of these keto-carbonates, DFT analysis<sup>18</sup> of the benchmark reaction involving **2.1a** and CO<sub>2</sub> was performed using a Ag-catalyst derived from **L2.1** (Figure 2.1).<sup>19,20,21,22</sup> The reason for this catalyst choice was to be able to directly compare the first part of the manifold with that computed previously<sup>14</sup> in the conversion of a more simple propargylic alcohol precursor by AgOAc/**L2.1**. In our calculations, we used therefore the same complex as a starting point together with substrate **2.1a** and CO<sub>2</sub> as a zero reference (Figure 2.1, denoted as **Reactants**). The phosphine substituents are not symmetric and can rotate at room temperature. All structures were therefore calculated with the same fixed phosphine chiral conformation. Note that substrate **2.1a** has a chiral center giving rise to diastereoisomeric intermediates and transition states for the chosen conformation of the Ag complex derived from **L2.1**. For simplicity, we provide in Figure 2.1 *only* the lowest energetic pathway based on (*R*)-**2.1a** (see the Experimental Section for full details and comments).

The overall mechanistic pathway for the conversion of (*R*)-**2.1a** includes different key stages: initial CO<sub>2</sub> activation by propargylic diol followed by an attack on the triple bond, a carbonate isomerization step involving a pendant alcohol, and a tautomerization step. Notably, all of these steps are facilitated by several proton transfer/H-abstraction sequences that advance the reaction manifold. First, the tertiary alcohol in substrate (*R*)-**2.1a** is deprotonated by the acetate anion bound to Ag(**L2.1**), thereby obtaining an alkoxide species while activating CO<sub>2</sub> via a concerted **TS-1** (19.7 kJ·mol<sup>-1</sup>) producing the first intermediate **A** located at 15.6 kJ·mol<sup>-1</sup> together with a molecule of acetic acid.<sup>22</sup> The latter is not involved during the formation of the subsequent intermediates **B**, **C**, and **D**. In intermediate **B** (at -0.2 kJ·mol<sup>-1</sup>), the initial alkyne coordination is replaced by an *O*-coordination of the formed linear carbonate after CO<sub>2</sub> activation. Then, the alkyne coordination is restored in intermediate **C** (-4.1 kJ·mol<sup>-1</sup>) giving rise to a bidentate coordination mode. Through intermediate **C**, the system is set up toward the formation of an alkylidene cyclic carbonate going through **TS-2**: in this transition state, the

<sup>&</sup>lt;sup>18</sup> The Gaussian 16 program was used with implemented functional and basis set PBE0-D3(BJ)/SDD/def2tzv being chosen<sup>14,18,19</sup> using dispersion correction with Becke–Johnson damping.<sup>20,21</sup> All calculations were carried out at 298 K using an acetonitrile solvent model SMD. Full access to the computational data is provided through: <u>http://dx.doi.org/10.19061/iochem-bd-1-214</u>

<sup>&</sup>lt;sup>19</sup> T. G. Williams, A. K. Wilson, J. Chem. Phys. 2008, 129, No. 054108.

<sup>&</sup>lt;sup>20</sup> M. Ernzerhof, G. E. Scuseria, J. Chem. Phys. **1999**, 110, 5029–5036.

<sup>&</sup>lt;sup>21</sup> S. Grimme, S. Ehrlich, L. Goerigk, J. Comput. Chem. 2011, 32, 1456–1465.

<sup>&</sup>lt;sup>22</sup> S. Grimme, J. Antony, S. Ehrlich, H. Krieg, J. Chem. Phys. 2010, 132, No. 154104.

cyclic carbonate ring that is formed can have two mutual orientations with respect to the fixed conformation of the Ag(L2.1) complex, thus producing two different diastereoisomers.<sup>23</sup>

In **TS-2** (at 63.0 and 55.1 kJ·mol<sup>-1</sup>), both **TS-2A** and **TS-2B** differ in the double-bond configuration being *Z* and *E*, respectively. The resultant isomers **D-A** (at  $-5.0 \text{ kJ} \cdot \text{mol}^{-1}$ ) and **D-B** (at  $-19.6 \text{ kJ} \cdot \text{mol}^{-1}$ ) mimic the structures reported by Schaub, Hashmi, and co-workers before final protodemetalation affording alkylidene carbonates. Our pathway aligns well with the possibility of having *Z*- and *E*-configured TSs, and the energetic spans related to this first part of the mechanism for the conversion of (*R*)-**2.1a** are 67.1 and 59.2 kJ·mol<sup>-1</sup>, with the (*E*) isomer being most favored.<sup>24</sup>

For the other intermediate **E**, located between **TS-2** and **TS-3**, also the *Z* and *E* isomers (designated **A** and **B**) were calculated. For intermediates *E* (at 27.2 and 12.1 kJ·mol<sup>-1</sup>) also the *Z* isomer is energetically less favored. The only difference compared to intermediates **D** is the presence of a molecule of acetic acid, which enables a protodemetalation through **TS-3A** and **TS-3B** (at 39.3 and 19.2 kJ·mol<sup>-1</sup>, respectively). The following intermediate **F** located at –61.6 kJ·mol<sup>-1</sup> has an OAc ligand coordinating to the metal center, and the stereogenic information around the double bond is erased from this stage on. In **F**, the pendant alcohol of the cyclic carbonate is prepared for deprotonation by the OAc ligand, providing intermediate **G** (at –5.0 kJ·mol<sup>-1</sup>). It was not possible to determine a transition state structure for this uphill process.

Subsequent decoordination of the carbonate-*O* through intermediate **H** (at  $-38.8 \text{ kJ} \cdot \text{mol}^{-1}$ ) and rotation and re-coordination of the carbonate via the *O*-atom next to the olefin unit give intermediate **I** (at  $-20.6 \text{ kJ} \cdot \text{mol}^{-1}$ ). An isomerization process occurs via tetrahedral **TS-4** (at  $-8.2 \text{ kJ} \cdot \text{mol}^{-1}$ ), and a new five-membered cyclic carbonate is produced with an enol substituent coordinated to the metal via the *O*-center (i.e., intermediate **J** at  $-93.3 \text{ kJ} \cdot \text{mol}^{-1}$ ). A more stable intermediate **K** (at $-103.8 \text{ kJ} \cdot \text{mol}^{-1}$ ) is obtained via an *O*-to-*C* rearrangement that involves the coordinated enolate.

To form the final product, first, a molecule of HOAc approaches the Ag complex in intermediate L (-83.1 kJ·mol<sup>-1</sup>) and a proton transfer from HOAc to the C-atom of the initial

<sup>&</sup>lt;sup>23</sup> Since the Ag-complex is chiral, diastereoisomeric TSs and intermediates are formed with distinct energies. Though for the chosen chiral conformation of the Ag-complex the conversion of (R)-**2.1a** is energetically favored, this is obviously reversed for the other chiral conformation of the Ag-complex making overall the conversion of both substrate enantiomers equally favored.

<sup>&</sup>lt;sup>24</sup> The (*E*)-isomer in **TS-2** and the subsequent intermediate **D** has the carbonate substituents pointing away from the biaryl section of the ligand **L2.1**, and the Ag and carbonate-O atom reside on the same side of the C=C bond.





enolate fragment through **TS-5** (at  $-21.6 \text{ kJ} \cdot \text{mol}^{-1}$ ) releases the ensemble based on the ketocarbonate **2.2a** and the catalyst Ag(**L2.1**)OAc (at  $-140.5 \text{ kJ} \cdot \text{mol}^{-1}$ ). From Figure 2.1, the determining transition state (TDTS) is **TS-2**, with a maximum energetic span of 67.1 kJ $\cdot$ mol<sup>-1</sup> (16.0 kcal $\cdot$ mol<sup>-1</sup>). This data corroborates well with the experimental finding that substrate (*R*)-**2.1a** can be converted into keto-carbonate **2.2a** under ambient conditions, while the same profile holds for (*S*)-**2.1a** using the other catalyst enantiomer.

# 2.5 Conclusions

In summary, in this chapter we discuss an efficient and new cascade process promoted by a Ag catalyst that involves the use of alkyne-1,2-diols as modular substrates providing access to a wide range of keto-substituted five-membered carbonates with different and unique degrees of substitutional complexity. Detailed computational analysis has shown the key rationale for the formation of these keto-carbonates, which are the most thermodynamically stable products. Preliminary investigations focusing on applying the cascade protocol for the creation of larger ring carbonates demonstrate that these less stable analogues of their five-membered congeners can be used as intermediates of otherwise elusive tetrasubstituted carbonate structures and therefore expand the synthetic importance of cascade approaches in the valorization of carbon dioxide.

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# 2.6 Experimental section

# 2.6.1 General information

Unless otherwise noted, all commercially available reagents and solvents were purchased from Sigma-Aldrich, TCI, Strem Chemicals, ABCR GmbH, Acros Organics or Alfa Aesar and were used without further purification. Solvents were dried using an Innovative Technology PURE SOLV solvent purification system. Carbon dioxide was purchased from PRAXAIR and used without further purification. Reactions were performed in a Schlenk tube or a stainless-steel HEL-multireactor under CO<sub>2</sub> atmosphere. Products were purified by flash chromatography or by preparative thin-layer chromatography on silica gel. NMR spectra were recorded on Bruker 400 MHz and Bruker 500 MHz at room temperature (25 °C). The residual solvent signals were used as references for <sup>1</sup>H and <sup>13</sup>C spectra (CDCl<sub>3</sub>:  $\delta_{\rm H} = 7.26$  ppm,  $\delta_{\rm C} = 77.16$  ppm, DMSO-d<sub>6</sub>:  $\delta_{\rm H} = 2.50$  ppm,  $\delta_{\rm C} = 39.52$  ppm). <sup>19</sup>F NMR spectra were obtained with <sup>1</sup>H decoupling unless stated otherwise. FT-IR measurements were carried out on a Bruker Optics FTIR-ATR TRO spectrometer. Exact mass analyses and X-ray diffraction studies were performed by the Research Support Area (RSA) at ICIQ.

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#### **Table 2.2** OH [Ag], **L** P(Cy)<sub>2</sub> P(*t*-Bu)<sub>2</sub> OH CO<sub>2</sub>, T, t Me<sub>2</sub>N Ρh M Ш (1 bar) 2.1a 2.2a L2.1 L2.2 .OMe MeO P(Cy)<sub>2</sub> P(Cy)<sub>2</sub> P(Cy)<sub>2</sub> P(Cy)<sub>2</sub> *i*Pr *i*Pr .OMe *i*PrO. .O*i*Pr *i*Pr *∙i*Pr MeO. L2.3 L2.4 L2.5 L2.6 *i*Pr T/[°C] Conv. [%]<sup>[b]</sup> Yield [%]<sup>[b]</sup> entry<sup>[a]</sup> [L]/[mol%] **time**/[h] [Ag]/[mol%] 1 AgOAc, 10 24 35 0 0 \_

# **2.6.2** Further screening data of the reaction conditions

2	_	L <b>2.1</b> , 10	24	35	0	0
3	AgOAc, 10	L2.1, 5	24	35	50	12
4	AgOAc, 5	L <b>2.1</b> , 10	24	35	80	50
5	AgOAc, 10	L <b>2.1</b> , 10	24	35	96	56
6	AgOAc, 10	L2.1, 10	48	25	83	62
7	AgOAc, 10	PPh <sub>3</sub> , 10	24	25	<10	<10
8	AgOAc, 10	P(OPh) <sub>3</sub> , 10	24	25	0	0
9	AgOAc, 10	L2.2, 10	24	25	77	66(63) <sup>[c]</sup>
10	AgOAc, 10	<b>L2.3</b> , 10	24	25	82	74(66) <sup>[c]</sup>
11	AgOAc, 10	L2.4, 10	24	25	84	73(68) <sup>[c]</sup>
12	AgOAc, 10	<b>L2.5</b> , 10	24	25	85	63
13	AgOAc, 10	<b>L2.6</b> , 10	24	25	100	91(85) <sup>[c]</sup>
14	AgOAc, 10	L <b>2.7</b> , 5	24	25	0	0

Table 2.2 continued								
15	AgOAc, 10	L2.8, 5	24	25	0	0		
16	AgOAc, 5	L2.6, 5	24	25	73	69		
17	AgOAc, 25	L <b>2.6</b> , 25	24	25	88	87		
18	AgOAc, 5	L2.6, 5	24	40	93	85		
19	AgOAc, 2.5	L2.6, 2.5	24	40	58	49		
20	AgOAc, 2.5	L2.6, 2.5	24	50	83	68		
21	AgF, 5	L2.6, 5	24	40	100	90		
22	AgOTf, 5	L2.6, 5	24	40	-	Not detected		
23	AgNO <sub>3</sub> , 5	L2.6, 5	24	40	0	0		
24	Ag <sub>2</sub> CO <sub>3</sub> , 5	L2.6, 5	24	40	67	37		
25	AgBF <sub>4</sub> , 5	L2.6, 5	24	40	-	Not detected		
26	AgF <sub>6</sub> Sb, 5	L2.6, 5	24	40	0	0		
27	AgF, 5	L2.6, 5	6	40	100	91(88) <sup>[c]</sup>		
28	AgF, 5	L2.6, 5	12	40	100	89		
29	AgF, 5	L2.6, 5	18	40	100	87		
30	AgF, 2.5	L2.6, 2.5	6	40	12	<5		
31	AgF, 5	L2.6, 5	6	40	100	17 <sup>[d]</sup>		
32	AgF, 5	L2.6, 5	6	40	100	Not detected <sup>[e]</sup>		
33	AgF, 5	L2.6, 5	6	40	100	Not detected <sup>[f]</sup>		
34	AgF, 5	L2.6, 5	6	40	100	18 <sup>[g]</sup>		
35	AgF, 5	L2.6, 5	6	40	100	Not detected <sup>[h]</sup>		

[a] Reaction condition: **2.1a** (0.3 mmol), CH<sub>3</sub>CN (0.6 mL), [Ag]/[L] as indicated. [b] Determined by <sup>1</sup>H NMR (CDCl<sub>3</sub>) analysis using mesitylene as an internal standard. [c] Isolated yield of **2.2a**. [d] Using MeOH as solvent. [e] Using DMF as solvent. [f] Using toluene as solvent. [g] Using THF as solvent. [h] Using DCM as solvent. **L2.7** stands for DPEPhos, **L2.8** for dppe.

OH Ph	∠OH <u>[Ag], L</u> CO <sub>2</sub> , 1a <sup>T, t, P</sup>	Me Ph 2.2a	Me <sub>2</sub> N	P(C)	y) <sub>2</sub> MeO <i>i</i> Pr	OMe P(Cy) <sub>2</sub> <i>i</i> Pr L2.6
entry <sup>[a]</sup>	[Ag]	[L]	t, P	Т	Conv. <sup>[b]</sup>	Yield <sup>[b]</sup>
1	AgF, 5	L2.6, 5	6, 1	40	52	29
2	AgF, 5	L2.6, 5	6, 1	60	22	No detected
3	AgF, 5	L2.6, 5	24, 1	40	83	10
4	AgF, 5	L2.6, 5	24, 10	rt	100	85(90) <sup>[c]</sup>
5	AgOAc, 10	<b>L2.1</b> , 10	24, 1	rt	37	18
6	AgOAc 10	<b>L2.1</b> , 10	24, 1	50	30	<10
7	AgOAc, 10	<b>L2.1</b> , 10	48, 1	50	77	<10
8	AgOAc, 10	<b>L2.1</b> , 10	24, 10	rt	100	25(19) <sup>[c]</sup>

**Table 2.3** 

[a] Reaction conditions: **2.1a** (0.3 mmol), CH<sub>3</sub>CN (0.6 mL), [Ag]/[L], t, P and T as indicated: [Ag] and [L] in mol%, time in h, pressure in bar, temperature in °C, conversion of **2.1a** and the yield of **2.2a** in %. [b] Determined by <sup>1</sup>H NMR (CDCl<sub>3</sub>) analysis, using mesitylene as the internal standard. [c] Isolated yield of **2.2a** in brackets.





[a] Reaction conditions: **2.1a** (0.3 mmol), CH<sub>3</sub>CN (0.6 mL), [Ag]/[L], t, P and T as indicated, [Ag] and [L] in mol%, time in h, pressure in bar, temperature in °C, conversion of **2.1a** and the yield of **2.2a** in %. [b] Determined by <sup>1</sup>H NMR (CDCl<sub>3</sub>) analysis using mesitylene as an internal standard.

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#### **Table 2.5**

Screening data with substrate 2.1h.



[a] Reaction conditions: **2.1h** (0.3 mmol), CH<sub>3</sub>CN (0.6 mL), [Ag]/[L], t, P and T as indicated, [Ag] and [L] in mol%, time in h, pressure in bar, temperature in °C, conversion of **2.1h** and the yield of **2.4h** in %. [b] Determined by <sup>1</sup>H NMR (CDCl<sub>3</sub>) analysis, using mesitylene as the internal standard. [c] Isolated yield of **2.4h**.

# 2.6.3 Experimental procedures and characterization data for substrates

The known alkyne-1,2-diols were synthesized as reported in the literature.<sup>25</sup> Below the preparation of new alkyne-1,2-diols.

#### General Procedure A for alkyne-1,2-diol synthesis:



**Step1: 2.S1-2.S3** were synthesized according to a literature procedure.<sup>26</sup> To a solution of the ketone derivative (30.0 mmol, 1.0 equiv) in MeOH (60 mL), (diacetoxyiodo)benzene (33.0 mmol, 1.1 equiv) and KOH (165.0 mmol, 5.5 equiv) were slowly added at 0 °C in an open round-bottomed flask. After the mixture had been stirred for 0.5 h at the same temperature it was allowed to reach room temperature over night after which TLC analysis showed complete consumption of the starting material. The reaction mixture was concentrated, water (100 mL) was added and the mixture was extracted with EtOAc (3 × 100 mL). The combined organic extracts were evaporated in vacuo and the residue was dissolved in a mixture of MeOH (20 mL) and aqueous 3 M HCl (20 mL). After stirring overnight at rt, the crude product was concentrated and further purified by column chromatography on silica gel to afford the corresponding *a*-hydroxy ketones.

**Step2:** The alkyne-1,2-diols **2.1d**, **2.1k** and **2.1r** in this section were prepared according to a literature procedure.<sup>25</sup> In an oven-dried Schleck flask conditioned under a nitrogen atmosphere was introduced the appropriate  $\alpha$ -hydroxy carbonyl compound (5 mmol, 1.0 equiv) in anhydrous THF (40 mL). The solution was cooled down to 0 °C (ice/water) and ethynyl magnesium bromide (0.5 M in THF, 3.0 equiv, 30 mL) was added dropwise via a syringe. The reaction mixture was allowed to warm to room temperature and further stirred for 16 h. Then, the reaction mixture was quenched with saturated aqueous NH<sub>4</sub>Cl. The organic phase was extracted with EtOAc (3 × 50 mL). All the organic fractions were combined, dried with anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated. The crude products were purified by flash chromatography on silica gel to afford the corresponding alkyne-1,2 diols.

<sup>&</sup>lt;sup>25</sup> J. E. Gómez, A. Cristòfol, A. W. Kleij, *Angew. Chem. Int. Ed.* **2019**, *58*, 3903–390.

<sup>&</sup>lt;sup>26</sup> Z. Liu, W. Zhang, S. Guo, J. Org. Chem. 2019, 84, 11945–11957.

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#### 2-(4-(tert-Butyl)phenyl)but-3-yne-1,2-diol (2.1d)

Yellow solid (794.0 mg, 73% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta$  7.56 – 7.55 (m, 2H), 7.42 – 7.40 (m, 2H), 3.77 (d, J = 11.3 Hz, 1H), 3.68 (d, J = 11.3 Hz, 1H), 2.70 (s, 1H), 2.25 (brs, 2H), 1.32 (s, 9H); <sup>13</sup>C NMR (126 MHz, CDCl<sub>3</sub>)  $\delta$  151.6, 137.1, 125.6, 125.5, 84.6, 74.8, 73.6, 72.1, 34.7, 31.4. HRMS (ESI/TOF) *m*/*z* Calcd for C<sub>14</sub>H<sub>18</sub>NaO<sub>2</sub> [M + Na]<sup>+</sup> 241.1199; Found 241.1203.

## 2-(*m*-Tolyl)but-3-yne-1,2-diol (2.1k)

Yellow solid (537.0 mg, 61% yield). Eluent hexanes/EtOAc = 3/1. <sup>1</sup>H Me OH NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.44 – 7.42 (m, 2H), 7.30 – 7.26 (m, 1H), 7.16 – 7.14 (m, 1H), 3.77 (d, J = 11.2 Hz, 1H), 3.66 (d, J = 11.2 Hz, 1H), 2.70 (s, 1H), 2.38 (s, 3H), 2.17 (s, 1H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  140.1, 138.3, 129.3, 128.5, 126.5, 123.0, 84.6, 74.9, 73.7, 72.1, 21.7. HRMS (ESI/TOF) m/z Calcd for C<sub>11</sub>H<sub>12</sub>NaO<sub>2</sub> [M + Na]<sup>+</sup> 199.0730; Found 199.0735.

## 2-(Adamantan-1-yl)-but-3-yne-1,2-diol (2.1r)



White solid (511.0 mg, 50% yield). Eluent hexanes/EtOAc = 3/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  3.75 (d, J = 10.9 Hz, 1H), 3.67 (d, J = 10.9, 1H), 2.49 (s, 1H), 2.04 – 2.01 (m, 4H), 1.77 – 1.65 (m, 13H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  84.4, 77.8, 74.7, 65.6, 38.5, 37.1, 36.8, 28.5. HRMS (ESI/TOF)

m/z Calcd for C<sub>14</sub>H<sub>20</sub>NaO<sub>2</sub> [M + Na]<sup>+</sup> 243.1356; Found 243.1352.

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#### General Procedure B for alkyne-1,2-diol synthesis:



**2.S4** and **2.S5** were synthesized according to the literature procedure.<sup>27</sup> Bromine (1.0 mL, 1.0 equiv) was added dropwise to a solution of the respective ketone derivative (20.0 mmol, 1.0 equiv) in Et<sub>2</sub>O (30 mL) at 0 °C in a round-bottomed flask. Then the mixture was allowed to warm to room temperature and stirred for 3 h. When the starting material had disappeared (followed by TLC), the reaction mixture was quenched with ice water (20 mL) and extracted with Et<sub>2</sub>O (3 × 20 mL). The combined organic layers were washed with saturated aqueous NaHCO<sub>3</sub> solution (40 mL), and dried over Na<sub>2</sub>SO<sub>4</sub>. After being filtered and concentrated, the residue was dissolved in a mixture of EtOH (80 mL) and H<sub>2</sub>O (40 mL), and sodium formate (120.0 mmol, 6.0 equiv) was added. This mixture was stirred for 16 h at 70 °C, then concentrated under vacuum, diluted with H<sub>2</sub>O (20 mL) and extracted with EtOAc (3 × 20 mL). The combined layers were dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated in *vacuo*. The crude product was purified by flash chromatography on silica gel to obtain the respective *a*-hydroxy ketone.

**Note:** The alkyne-1,2-diols **2.1h** and **2.1s** were obtained according to the final one step from **General Procedure A**.

<sup>&</sup>lt;sup>27</sup> K. Guo, A. W. Kleij, Angew. Chem. Int. Ed. **2021**, 60, 4901 –4906.

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#### 2-(4-Iodophenyl)but-3-yne-1,2-diol (2.1h)

#### 2-Benzyl-but-3-yne-1,2-diol (2.1s)

Yellow solid (474.1 mg, 52% yield). Eluent hexanes/EtOAc = 3/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.36 – 7.28 (m, 5H), 3.72 (d, J = 11.2 Hz, 1H), 3.60 (d, J = 11.2 Hz, 1H), 3.01 (dd, J = 16.3, 13.4 Hz, 2H), 2.52 (s, 1H), 2.22 (brs, 2H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  135.3, 130.9, 128.4, 127.3, 84.3, 75.0, 71.6, 69.2, 43.8. HRMS (ESI/TOF) m/z Calcd for C<sub>11</sub>H<sub>12</sub>NaO<sub>2</sub> [M + Na]<sup>+</sup> 199.0730; Found 199.0723.





**2.S6** was synthesized according to the literature procedure. <sup>28</sup> To a solution of 2bromopropiophenone (16.4 mmol, 1.0 equiv) in MeOH (17 mL) was added sodium formate (64.8 mmol, 4.0 equiv), and the reaction mixture was stirred for 16 h at 70 °C. Then, it was concentrated under vacuum, diluted with H<sub>2</sub>O (20 mL) and extracted with EtOAc (3 × 20 mL). The combined layers were dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated in *vacuo*. The crude product was purified by flash chromatography on silica gel to obtain the corresponding  $\alpha$ -hydroxy ketone **2.S6**.

Note: Alkyne-1,2-diol 2.3b was obtained according to the final step General Procedure A.

## 3-Phenyl-pent-4-yne-2,3-diol (2.3b)

OH Vellow oil (485.6 mg, 55% yield). Eluent hexanes/EtOAc = 3/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.62-7.59 (m, 2H), 7.40-7.30 (m, 3H), 4.04 (q, *J* = 6.3 Hz, 1H), 2.68 (s, 1H), 1.06 (d, *J* = 6.3 Hz, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  140.0, 128.29, 128.27, 126.3, 85.5, 75.9, 74.9, 74.7, 16.2. HRMS (ESI/TOF) *m/z* Calcd for C<sub>11</sub>H<sub>12</sub>NaO<sub>2</sub> [M + Na]<sup>+</sup> 199.0730; Found 199.0733.

<sup>&</sup>lt;sup>28</sup> S. Kang, J. Han, E. S. Lee, *Org. Lett.* **2010**, *12*, 4184–4187.

#### General procedure D for alkyne-1,2-diol synthesis:



**2.S7-2.S10** were synthesized according to the literature procedure.<sup>29</sup> To a solution of the respective ketone (10.0 mmol, 1.0 equiv) in DMSO (10 mL) was added I<sub>2</sub> (2.0 mmol, 20 mol %) under air, and the reaction mixture was stirred for 24 h at 60 °C while followed by TLC. When complete consumption of the starting material was observed, the mixture was allowed to cool down to room temperature, and the solution was diluted with ethyl acetate (200 mL), washed with 0.1 M Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> (100 mL) aqueous solution and extracted with EtOAc (3 × 100 mL). The combined organic layers were dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated in *vacuo*. The crude product was purified by flash chromatography on silica gel to obtain the respective  $\alpha$ -hydroxy ketone.

Note: Alkyne-1,2-diols 2.3c, 2.3d, 2.3e and 2.3g were obtained according to the final of General Procedure A.

## 3-Phenylhex-1-yne-3,4-diol (2.3c)

Yellow oil (855.7 mg, 90% yield). Eluent hexanes/EtOAc = 3/1. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta$  7.62 – 7.60 (m, 2H), 7.40 – 7.36 (m, 2H), 7.34 – 7.31 (m, 1H), 3.75 (dd, J = 10.3, 2.3 Hz, 1H), 2.93 (brs, 1H), 2.68 (s, 1H), 1.53 – 1.45 (m, 1H), 1.31 – 1.22 (m, 1H), 0.93 (t, J = 7.5 Hz, 3H); <sup>13</sup>C NMR (126 MHz, CDCl<sub>3</sub>)  $\delta$ 140.2, 128.30, 128.26, 126.3, 85.7, 80.3, 75.9, 74.6, 23.4, 10.9. HRMS (ESI/TOF) m/z Calcd for C<sub>12</sub>H<sub>14</sub>NaO<sub>2</sub> [M + Na]<sup>+</sup> 213.0886; Found 213.0887.

## 6-Chloro-3-phenyl-hex-1-yne-3,4-diol (2.3d)



Brown oil (940.1 mg, 84% yield). Eluent hexanes/EtOAc = 3/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.62 – 7.59 (m, 2H), 7.43 – 7.32 (m, 3H), 4.09 (dd, J = 9.4, 3.2 Hz, 1H), 3.68 – 3.58 (m, 2H), 2.94 (brs, 1H), 2.71

<sup>&</sup>lt;sup>29</sup> Y. F. Liang, K. Wu, S. Song, Org. Lett. **2015**, 17, 876–879.

(s, 1H), 2.40 (brs, 1H), 1.90 – 1.76 (m, 2H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  139.7, 128.6, 128.5, 126.2, 85.1, 75.54, 75.50, 75.1, 42.0, 33.1. **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>12</sub>H<sub>13</sub>ClNaO<sub>2</sub> [M + Na]<sup>+</sup> 247.0496; Found 247.0498.

#### 3-Methyl-1-phenyl-pent-4-yne-2,3-diol (2.3e)

Yellow solid (287.1 mg, 30% yield, 77:23 *dr*). Eluent hexanes/EtOAc = 3/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) (major)  $\delta$  7.36 – 7.31 (m, 2H), 7.29 – 7.23 (m, 3H), 3.84 (dd, *J* = 10.5, 2.3 Hz, 1H), 3.09 (dd, *J* = 14.0, 2.3 Hz, 1H), 2.65 (dd, *J* = 14.0, 10.5 Hz, 1H), 2.54 (s, 1H), 2.34 (brs, 2H), 1.55 (s, 3H); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) (minor)  $\delta$  7.36 – 7.31 (m, 2H), 7.29 – 7.23 (m, 3H), 3.70 (dd, *J* = 10.3, 2.7 Hz, 1H), 3.07 (dd, *J* = 14.0, 2.7 Hz, 1H), 2.80 (dd, *J* = 14.0, 10.3 Hz, 1H), 2.56 (s, 1H), 2.34 (brs, 2H), 1.55 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) (major)  $\delta$  138.7, 129.4, 128.7, 126.7, 86.0, 78.5, 73.2, 70.8, 37.7, 24.1; <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) (minor)  $\delta$  138.2, 129.5, 128.8, 126.8, 85.0, 78.8, 73.6, 71.0, 38.9, 26.0. HRMS (ESI/TOF) *m*/*z* Calcd for C<sub>12</sub>H<sub>14</sub>NaO<sub>2</sub> [M + Na]<sup>+</sup> 213.0886; Found 213.0889.

## 3-Methyl-non-1-yne-3,4-diol (2.3g)

Yellow oil (299.5 mg, 35% yield, 4:1 *dr*). Eluent hexanes/EtOAc = 3/1. <sup>I</sup>H NMR (400 MHz, CDCl<sub>3</sub>) (major)  $\delta$  3.60 – 3.58 (m, 1H), 2.48 (s, 1H), 2.41 (brs, 1H), 2.23 (brs, 1H), 1.69 – 1.49 (m, 3H), 1.44 (s, 3H), 1.38 – 1.30 (m, 5H), 0.90 (t, *J* = 6.8 Hz, 3H); <sup>I</sup>H NMR (400 MHz, CDCl<sub>3</sub>) (minor)  $\delta$  3.41 – 3.38 (m, 1H), 2.96 (brs, 1H), 2.47 (s, 1H), 1.87 (brs, 1H), 1.69 – 1.49 (m, 3H), 1.45 (s, 3H), 1.38 – 1.30 (m, 5H), 0.90 (t, *J* = 6.8 Hz, 3H); <sup>II</sup>C NMR (101 MHz, CDCl<sub>3</sub>) (major)  $\delta$  86.4, 77.6, 72.9, 71.1, 31.90, 30.8, 26.3, 23.6, 22.7, 14.2; <sup>II</sup>C NMR (101 MHz, CDCl<sub>3</sub>) (minor)  $\delta$ 85.1, 78.5, 73.4, 71.6, 32.3, 31.87, 26.0, 25.6, 22.7, 14.2. HRMS (ESI/TOF) *m/z* Calcd for C<sub>10</sub>H<sub>18</sub>NaO<sub>2</sub> [M + Na]<sup>+</sup> 193.1199; Found 193.1198.

#### **General Procedure E for alkyne-1,2-diol synthesis:**



The  $\alpha$ -hydroxy ketones of this section were synthesized according to the literature procedure.<sup>30</sup> Cs<sub>2</sub>CO<sub>3</sub> (2.0 mmol, 20 mol %), P(OEt)<sub>3</sub> (20.0 mmol, 2.0 equiv), the respective ketone (10.0 mmol, 1.0 equiv.) were added to a 100 mL Schlenk tube under air. DMSO (40 mL) was added, the reaction mixture was stirred for 24-72 h at room temperature under air (1 atm). When complete consumption of the starting material had been observed (TLC), the solution was diluted with ethyl acetate (200 mL), washed with brine (50 mL), and extracted with EtOAc (3 × 100 mL). The combined organic layers were dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated in *vacuo*. The crude product was purified by flash chromatography on silica gel to obtain the respective  $\alpha$ -hydroxy ketone.

Note: Alkyne-1,2-diols (2.3i–2.3l) were obtained according to the final one step of General Procedure A.

## 1-(1-Hydroxy-1-phenyl-prop-2-yn-1-yl)cyclopentan-1-ol (2.3i)

Brown solid (872.0 mg, 80% yield). Eluent hexanes/EtOAc = 3/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.70 – 7.67 (m, 2H), 7.38 – 7.29 (m, 3H), 2.67 (s, 1H), 2.17 – 2.10 (m, 1H), 1.92 – 1.85 (m, 1H), 1.84 – 1.72 (m, 2H), 1.65 – 1.47 (m, 3H), 1.45 – 1.37 (m, 1H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  140.4, 128.2, 127.8,

127.3, 87.8, 85.9, 77.8, 74.3, 36.2, 34.9, 24.13, 24.07. **HRMS** (ESI/TOF) m/z Calcd for C<sub>14</sub>H<sub>16</sub>NaO<sub>2</sub> [M + Na]<sup>+</sup> 239.1043; Found 239.1051.

<sup>&</sup>lt;sup>30</sup> Y. F. Liang, N. Jiao, Angew. Chem. Int. Ed. 2014, 53, 548-552.

# 3-Methyl-2-phenyl-pent-4-yne-2,3-diol (2.3k)

Yellow solid (446.7 mg, 47% yield). Eluent hexanes/EtOAc = 3/1. <sup>1</sup>H NMR HO  $\rightarrow$  (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.62 – 7.59 (m, 2H), 7.36 – 7.28 (m, 3H), 4.98 (brs, 1H), 2.71 (brs, 1H), 2.56 (s, 1H), 1.80 (s, 3H), 1.31 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  142.5, 127.8, 127.4, 126.8, 86.3, 77.9, 74.0, 73.9, 25.5, 25.0; HRMS (ESI/TOF) m/zCalcd for C<sub>12</sub>H<sub>14</sub>NaO<sub>2</sub> [M + Na]<sup>+</sup> 213.0886; Found 213.0885.

# 2-Methyl-1,1-diphenylbut-3-yne-1,2-diol (2.3l)

# 2,3-Dimethylpent-4-yne-2,3-diol (2.3m)

 $\begin{array}{c|c} & \mathsf{Me} & \mathsf{Yellow} \ oil (448.9 \text{ mg}, 70\% \text{ yield}). \ \mathsf{Eluent} \ \mathsf{hexanes/EtOAc} = 3/1. \ {}^{1}\mathbf{H} \ \mathbf{NMR} \ (500 \ \mathsf{Me} & \mathsf{MHz}, \mathsf{CDCl}_3) \ \delta \ 2.48 \ (\mathrm{s}, 1\mathrm{H}), \ 2.21 \ (\mathrm{brs}, 2\mathrm{H}), \ 1.47 \ (\mathrm{s}, 3\mathrm{H}), \ 1.40 \ (\mathrm{s}, 3\mathrm{H}), \ 1.28 \ (\mathrm{s}, 3\mathrm{H}); \ {}^{13}\mathbf{C} \ \mathbf{NMR} \ (126 \ \mathsf{MHz}, \ \mathsf{CDCl}_3) \ \delta \ 86.3, \ 75.3, \ 74.0, \ 73.0, \ 25.7, \ 24.3, \ 22.8. \\ \mathbf{HRMS} \ (\mathsf{ESI/TOF}) \ m/z \ \mathsf{Calcd} \ \mathrm{for} \ \mathsf{C}_7\mathrm{H}_{12}\mathrm{NaO}_2 \ [\mathsf{M} + \mathrm{Na}]^+ \ 151.0730; \ \mathsf{Found} \ 151.0729. \end{array}$ 

# **2.6.4** Procedures and characterization data for the carbonate products

General Procedure F for the synthesis of the cyclic carbonates 2.2a-2.2s:



The respective 1,2-diol (0.3 mmol, 1.0 equiv), AgF (0.015 mmol, 5 mol %), BrettPhos (0.015 mmol, 5 mol%) were added to a 25 mL reaction tube. The tube was purged three times with CO<sub>2</sub> and then charged with a CO<sub>2</sub> balloon (1 bar). Hereafter, MeCN (0.6 mL) was added using a syringe. The reaction mixture was stirred at 40 °C for 6 h. When complete consumption of the starting material had been observed by TLC, the mixture was transferred to a round-bottom flask, concentrated and purified by flash column chromatography on silica to afford the corresponding cyclic carbonate product. **Note**: only the characteristic *carbonyl/carbonate* IR frequencies are provided in the analytical data descriptions.

**Gram-scale reaction**: The 1,2-diol **2.1a** (6.5 mmol, 1.0 equiv), AgF (0.325 mmol, 5 mol%), BrettPhos (0.325 mmol, 5 mol%) were added to an oven-dried Schleck flask. The tube was purged three times with  $CO_2$  and then charged with a  $CO_2$  balloon (1 bar). Hereafter, MeCN (13.0 mL) was added using a syringe. The reaction mixture was stirred at 40 °C for 6 h. When complete consumption of the starting material had been observed by TLC, the mixture was transferred to a round-bottom flask, concentrated and purified by flash column chromatography on silica to afford the corresponding cyclic carbonate product **2.2a** with a 85% isolated yield.

## 4-Acetyl-4-phenyl-1,3-dioxolan-2-one (2.2a)



Colorless solid (54.5 mg, 88% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.47 – 7.42 (m, 3H), 7.40 – 7.36 (m, 2H), 5.26 (d, *J* = 8.6 Hz, 1H), 4.38 (d, *J* = 8.6 Hz, 1H), 2.26 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$ 202.9, 153.1, 135.0, 129.8, 129.6, 124.1, 88.6, 72.5, 24.9; **IR (neat)**: *v* = 1803,

1729 cm<sup>-1</sup>; **HRMS** (ESI/TOF) m/z Calcd for C<sub>11</sub>H<sub>10</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 229.0471; Found 229.0474. This compound was further characterized by X-ray crystallography.

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# 4-Acetyl-4-(p-tolyl)-1,3-dioxolan-2-one (2.2b)



243.0628; Found 243.0626.

#### 4-Acetyl-4-(4-methoxyphenyl)-1,3-dioxolan-2-one (2.2c)

White solid (64.0 mg, 91% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.31 – 7.27 (m, 2H), 6.97 – 6.93 (m, 2H), 5.22 (d, J = 8.6 Hz, 1H), 4.36 (d, J = 8.6 Hz, 1H), 3.82 (s, 3H), 2.26 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  203.1, 160.7, 153.2, 126.7, 125.6, 115.0, 88.6, 72.6, 55.6, 24.8; **IR (neat)**: v = 1796, 1721 cm<sup>-1</sup>; **HRMS** (ESI/TOF) m/z

Calcd for  $C_{12}H_{12}NaO_5 [M + Na]^+ 259.0577$ ; Found 259.0575.

## 4-Acetyl-4-(4-(tert-butyl)phenyl)-1,3-dioxolan-2-one (2.2d)



MeC

Yellow solid (56.3 mg, 71% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.47 – 7.44 (m, 2H), 7.31 – 7.29 (m, 2H), 5.23 (d, *J* = 8.6 Hz, 1H), 4.38 (d, *J* = 8.6 Hz, 1H), 2.27 (s, 3H), 1.32 (s, 9H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  203.1, 153.2, 153.1, 131.9, 126.6, 123.9, 88.8, 72.5, 34.9, 31.3, 24.9; **IR (neat)**: *v* = 1809, 1725 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>15</sub>H<sub>18</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 285.1097; Found 285.1085.

## 4-Acetyl-4-(4-fluorophenyl)-1,3-dioxolan-2-one (2.2e)



White solid (59.1 mg, 87% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>**H** NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.40 – 7.36 (m, 2H), 7.17 – 7.13 (m, 2H), 5.23 (d, *J* = 8.6 Hz, 1H), 4.36 (d, *J* = 8.6 Hz, 1H), 2.27 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  203.0, 163.5 (d, *J* = 250.2 Hz), 152.9, 130.8 (d, *J* = 3.1 Hz), 126.2 (d, *J* = 8.3 Hz), 116.8 (d, *J* = 22.1 Hz), 88.2, 72.6, 24.9; <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>)  $\delta$ 

-110.96; **IR (neat)**: *v* = 1809, 1736 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m*/*z* Calcd for C<sub>11</sub>H<sub>9</sub>FNaO<sub>4</sub> [M + Na]<sup>+</sup> 247.0377; Found 247.0383.

# 4-Acetyl-4-(4-chlorophenyl)-1,3-dioxolan-2-one (2.2f)

White solid (62.2 mg, 86% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.44 – 7.42 (m, 2H), 7.35 – 7.32 (m, 2H), 5.22 (d, J = 8.6 Hz, 1H), 4.35 (d, J = 8.6 Hz, 1H), 2.27 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  202.8, 152.8, 136.2, 133.4, 129.9, 125.6, 88.2, 72.5, 24.9; **IR (neat)**:  $v = 1810, 1727 \text{ cm}^{-1}$ ; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>11</sub>H<sub>9</sub>ClNaO<sub>4</sub> [M +

Na]<sup>+</sup> 263.0082; Found 263.0084.

# 4-Acetyl-4-(4-bromophenyl)-1,3-dioxolan-2-one (2.2g)



White solid (71.2 mg, 83% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>**H NMR** (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.54 – 7.50 (m, 2H), 7.22 – 7.19 (m, 2H), 5.15 (d, *J* = 8.6 Hz, 1H), 4.28 (d, *J* = 8.6 Hz, 1H), 2.20 (s, 3H); <sup>13</sup>**C NMR** (101 MHz, CDCl<sub>3</sub>)  $\delta$  202.7, 152.7, 134.0, 132.9, 125.8, 124.3, 88.2, 72.4, 24.9; **IR (neat)**: *v* = 1809, 1725 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>11</sub>H<sub>9</sub>BrNaO<sub>4</sub> [M + 206.0581

Na]<sup>+</sup> 306.9576; Found 306.9581.

# 4-Acetyl-4-(4-iodophenyl)-1,3-dioxolan-2-one (2.2h)



White solid (89.2 mg, 80% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.81 – 7.77 (m, 2H), 7.15 – 7.11 (m, 2H), 5.21 (d, *J* = 8.7 Hz, 1H), 4.34 (d, *J* = 8.7 Hz, 1H), 2.26 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  202.6, 152.8, 138.8, 134.7, 125.9, 96.0, 88.2, 72.3, 24.9; **IR (neat)**: *v* = 1805, 1725 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m*/*z* Calcd for C<sub>11</sub>H<sub>9</sub>INaO<sub>4</sub> [M + Na]<sup>+</sup> 354.9438;

Found 354.9433.

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## 4-Acetyl-4-(4-(trifluoromethyl)phenyl)-1,3-dioxolan-2-one (2.2i)



White solid (48.6 mg, 59% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.74 – 7.72 (m, 2H), 7.57 – 7.54 (m, 2H), 5.26 (d, *J* = 8.7 Hz, 1H), 4.38 (d, *J* = 8.7 Hz, 1H), 2.29 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  202.5, 152.6, 138.8, 132.3 (d, *J* = 32.9 Hz), 126.7 (d, *J* = 3.7 Hz), 124.8, 123.6 (d, *J* = 272.5 Hz), 88.2, 72.4, 25.1; <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>)  $\delta$  -63.10; **IR (neat)**: *v* = 1813, 1727 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m/z* 

Calcd for  $C_{12}H_9F_3NaO_4$  [M + Na]<sup>+</sup> 297.0345; Found 297.0351.

# Methyl 4-(4-acetyl-2-oxo-1,3-dioxolan-4-yl)benzoate (2.2j)



White solid (61.6 mg, 84% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>**H NMR** (400 MHz, CDCl<sub>3</sub>)  $\delta$  8.10 – 8.08 (m, 2H), 7.48 – 7.45 (m, 2H), 5.25 (d, *J* = 8.7 Hz, 1H), 4.37 (d, *J* = 8.7 Hz, 1H), 3.91 (s, 3H), 2.25 (s, 3H); <sup>13</sup>**C NMR** (101 MHz, CDCl<sub>3</sub>)  $\delta$  202.4, 166.1, 152.7, 139.4, 131.7, 130.9, 124.3, 88.4, 72.3, 52.6, 25.0; **IR** (**neat**): *v* = 1811, 1714 cm<sup>-1</sup>;

**HRMS** (ESI/TOF) m/z Calcd for C<sub>13</sub>H<sub>12</sub>NaO<sub>6</sub> [M + Na]<sup>+</sup> 287.0526; Found 287.0531.

# 4-Acetyl-4-(*m*-tolyl)-1,3-dioxolan-2-one (2.2k)



Yellow solid (62.1 mg, 95% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.34 – 7.30 (m, 1H), 7.24 – 7.20 (m, 1H), 7.18 – 7.15 (m, 2H), 5.24 (d, *J* = 8.5 Hz, 1H), 4.36 (d, *J* = 8.5 Hz, 1H), 2.38 (s, 3H), 2.26 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  202.9, 153.2, 139.7, 134.9, 130.5, 129.5, 124.5, 121.1, 88.7, 72.5, 24.9, 21.6; **IR (neat)**:

v = 1805, 1726 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>12</sub>H<sub>12</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 243.0628; Found 243.0623.

# 4-Acetyl-4-(naphthalen-2-yl)-1,3-dioxolan-2-one (2.2l)



Obtained at 60 °C, white solid (42.9 mg, 57% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>**H NMR** (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.95 – 7.86 (m, 4H), 7.59 – 7.56 (m, 2H), 7.38 – 7.35 (m, 1H), 5.34 (d, *J* = 8.6 Hz, 1H), 4.47 (d, *J* = 8.6 Hz, 1H), 2.30 (s, 3H); <sup>13</sup>C NMR (101 MHz,

CDCl<sub>3</sub>)  $\delta$  202.9, 153.1, 133.6, 133.1, 132.1, 130.0, 128.4, 128.0, 127.6, 127.5, 123.8, 120.8, 88.8, 72.4, 24.9; **IR (neat)**: v = 1820, 1720 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>15</sub>H<sub>12</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 279.0628; Found 279.0633.

## 4-Acetyl-4-(benzo[d][1,3]dioxol-5-yl)-1,3-dioxolan-2-one (2.2m)



Yellow solid (67.9 mg, 90% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  6.83 – 6.82 (m, 3H), 6.00 – 5.99 (m, 2H), 5.18 (d, *J* = 8.6 Hz, 1H), 4.33 (d, *J* = 8.6 Hz, 1H), 2.25 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  202.8, 153.0, 148.95, 148.93, 128.5, 118.0, 109.2, 104.7, 101.9, 88.4, 72.5, 24.7; **IR (neat)**: *v* = 1796, 1721 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m/z* 

Calcd for  $C_{12}H_{10}NaO_6 [M + Na]^+ 273.0370$ ; Found 273.0374.

# 4-Acetyl-4-(thiophen-2-yl)-1,3-dioxolan-2-one (2.2n)



Brown solid (52.1 mg, 81% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.42 – 7.41 (m, 1H), 7.08 – 7.04 (m, 2H), 5.11 (d, *J* = 8.8 Hz, 1H), 4.49 (d, *J* = 8.8 Hz, 1H), 2.38 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  202.2, 152.7, 137.4, 128.0, 127.7, 125.8, 87.0, 73.0, 25.1; **IR (neat)**: *v* = 1791, 1719 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m*/*z* Calcd for C<sub>9</sub>H<sub>8</sub>SNaO<sub>4</sub> [M + Na]<sup>+</sup> 235.0036;

Found 235.0039.

# 4-Acetyl-4-(furan-2-yl)-1,3-dioxolan-2-one (2.20)



Yellow solid (45.5 mg, 77% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.52 – 7.51 (m, 1H), 6.54 – 6.53 (m, 1H), 6.46 – 6.45 (m, 1H), 4.91 (d, *J* = 9.1 Hz, 1H), 4.71 (d, *J* = 9.1 Hz, 1H), 2.48 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  201.4, 152.9, 146.6, 145.2, 111.2, 110.9, 84.0, 69.9, 26.3; IR

(**neat**): v = 1784, 1726 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m*/*z* Calcd for C<sub>9</sub>H<sub>8</sub>NaO<sub>5</sub> [M + Na]<sup>+</sup> 219.0264; Found 219.0260. UNIVERSITAT ROVIRA I VIRGILI SILVER-CATALYZED CASCADE CONVERSIONS OF CO2 INTO HETEROCYCLES Xuetong Li  $$Chapter\,2$$ 

# 4-Acetyl-4-methyl-1,3-dioxolan-2-one (2.2p)



Colorless oil (34.8 mg, 78% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  4.65 (d, *J* = 8.9 Hz, 1H), 4.17 (d, *J* = 8.9 Hz, 1H), 2.36 (s, 3H), 1.61 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  206.2, 153.5, 85.9, 72.0, 25.2, 22.3; **IR (neat)**: *v* = 1776, 1720 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m*/*z* Calcd for C<sub>6</sub>H<sub>9</sub>O<sub>4</sub> [M +

H]<sup>+</sup> 145.0495; Found 145.0494.

# 4-acetyl-4-cyclohexyl-1,3-dioxolan-2-one (2.2q)



Colorless oil (55.3 mg, 86% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  4.48 (d, *J* = 9.1 Hz, 1H), 4.32 (d, *J* = 9.1 Hz, 1H), 2.34 (s, 3H), 1.91 – 1.81 (m, 3H), 1.73 – 1.64 (m, 3H), 1.28 – 1.15 (m, 4H), 1.04 – 0.98 (m, 1H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  207.6, 153.8, 90.7, 69.0, 43.4, 27.4, 26.2, 25.83, 25.77, 25.6; **IR (neat)**: *v* = 1802, 1718 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m/z* 

Calcd for  $C_{11}H_{16}NaO_4 [M + Na]^+ 235.0941$ ; Found 235.0941.

# 4-Acetyl-4-(adamantan-1-yl)-1,3-dioxolan-2-one (2.2r)



White solid (45.3 mg, 57% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  4.47 (d, *J* = 9.3 Hz, 1H), 4.35 (d, *J* = 9.3 Hz, 1H), 2.34 (s, 3H), 2.07 – 2.05 (m, 3H), 1.75 – 1.71 (m, 6H), 1.65 – 1.61 (m, 3H), 1.54 – 1.49 (m, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  208.9, 153.9, 92.7, 68.1,

38.7, 36.4, 35.5, 29.5, 27.8; **IR (neat)**: v = 1789, 1717 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>15</sub>H<sub>20</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 287.1254; Found 287.1259.

# 4-Acetyl-4-benzyl-1,3-dioxolan-2-one (2.2s)



White solid (63.0 mg, 95% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.36 – 7.32 (m, 3H), 7.23 – 7.21 (m, 2H), 4.54 (d, *J* = 9.0 Hz, 1H), 4.29 (d, *J* = 9.0 Hz, 1H), 3.24 (d, *J* = 14.3 Hz, 1H), 3.04 (d, *J* = 14.3 Hz, 1H), 2.15 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  207.5, 153.4, 132.0, 130.4,

129.1, 128.3, 88.2, 70.4, 41.8, 26.9; **IR** (**neat**): v = 1807, 1719 cm<sup>-1</sup>; **HRMS** (ESI/TOF) m/zCalcd for C<sub>12</sub>H<sub>12</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 243.0628; Found 243.0631.
## General Procedure G for synthesis of cyclic carbonates 2.4a-2.4g:



In a stainless-steel HEL-multireactor, the respective 1,2-diol (0.3 mmol, 1.0 equiv), AgF (0.015 mmol, 5 mol%), BrettPhos (0.015 mol%) were dissolved in CH<sub>3</sub>CN (0.2 mL). The reactor was purged three times with  $CO_2$  (10 bar) and then charged with  $CO_2$  (10 bar). The reaction mixture was stirred at room temperature for 24 h. The mixture was then transferred to a round-bottom flask, concentrated and purified by flash column chromatography on silica to afford the corresponding carbonate product.

## 4-Acetyl-4,5-diphenyl-1,3-dioxolan-2-one (2.4a)

White solid (77.5 mg, 90% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.17 – 7.10 (m, 6H), 7.04 – 6.98 (m, 4H), 6.33 (s, 1H), 2.28 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  203.2, 153.0, 133.0, 131.3, 129.2, 129.1, 128.6, 128.3, 127.3, 125.2, 92.4, 83.3, 25.6; **IR (neat)**: v = 1798, 1719 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m*/*z* Calcd for C<sub>17</sub>H<sub>14</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 305.0784; Found 305.0790.

This compound was further characterized by X-ray crystallography.

## 4-Acetyl-5-methyl-4-phenyl-1,3-dioxolan-2-one (2.4b)



Colorless oil (56.0 mg, 85% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.46 – 7.41 (m, 3H), 7.34 – 7.31 (m, 2H), 5.47 (q, J = 6.6 Hz, 1H), 2.23 (s, 3H), 1.02 (d, J = 6.6 Hz, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  203.7, 152.8, 131.2, 129.7, 129.3, 125.1, 91.5, 78.3, 25.4, 17.4; IR

(neat): v = 1807, 1722 cm<sup>-1</sup>; HRMS (ESI/TOF) m/z Calcd for C<sub>12</sub>H<sub>12</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 243.0628; Found 243.0626.

## 4-Acetyl-5-ethyl-4-phenyl-1,3-dioxolan-2-one (2.4c)



129.3, 125.1, 91.4, 83.1, 25.4, 25.3, 9.8; **IR (neat)**: v = 1807, 1722 cm<sup>-1</sup>; **HRMS** (ESI/TOF) m/z Calcd for C<sub>13</sub>H<sub>14</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 257.0784; Found 257.0790.

## 4-Acetyl-5-(2-chloroethyl)-4-phenyl-1,3-dioxolan-2-one (2.4d)

White solid (64.4 mg, 77% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.48 – 7.44 (m, 3H), 7.34 – 7.30 (m, 2H), 5.58 (dd, J = 10.9, 3.0 Hz, 1H), 3.60 – 3.52 (m, 2H), 2.24 (s, 3H), 1.63 – 1.48 (m, 2H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  203.0, 152.3, 130.8, 130.1, 129.6, 125.0, 91.0, 78.4, 39.8, 34.8, 25.4; **IR (neat)**: v = 1811, 1726 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>13</sub>H<sub>13</sub>ClNaO<sub>4</sub> [M + Na]<sup>+</sup> 291.0395; Found 291.0394.

## 4-Acetyl-5-benzyl-4-methyl-1,3-dioxolan-2-one (2.4e)



Yellow oil (54.4 mg, 77% yield, 76:24 *dr*). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) (major)  $\delta$  7.35 – 7.24 (m, 5H), 4.85 – 4.77 (m, 1H), 3.03 – 2.99 (m, 2H), 2.37 (s, 3H), 1.57 (s, 3H); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) (minor)  $\delta$  7.35 – 7.24 (m, 3H), 7.21 – 7.19 (m, 2H), 4.62 (dd, *J* = 9.5, 3.0 Hz,

1H), 3.03 - 2.99 (m, 1H), 2.73 (dd, J = 14.8, 9.5 Hz, 1H), 2.29 (s, 3H), 1.61 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) (major)  $\delta$  206.68, 152.7, 135.4, 129.2, 128.9, 127.5, 88.1, 81.8, 36.2, 25.4, 17.8; <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) (minor)  $\delta$  206.72, 153.1, 134.9, 129.6, 128.9, 127.6, 88.5, 85.9, 36.3, 28.1, 23.1; **IR** (**neat**): v = 1802, 1720 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>13</sub>H<sub>14</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 257.0784; Found 257.0784.

## 4-Acetyl-4,5-dimethyl-1,3-dioxolan-2-one (2.4f)



Colorless oil (35.7 mg, 73% yield, 68:32 *dr*). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>**H** NMR (400 MHz, CDCl<sub>3</sub>) (major)  $\delta$  4.79 (q, *J* = 6.6 Hz, 1H), 2.35 (s, 3H), 1.45 (s, 3H), 1.43 (d, *J* = 6.6 Hz, 3H); <sup>1</sup>**H** NMR (400 MHz, CDCl<sub>3</sub>) (minor)  $\delta$  4.54 (q, *J* = 6.6 Hz, 1H), 2.33 (s, 3H), 1.57 (s, 3H), 1.29 (d, *J* = 6.6 Hz, 3H);

<sup>13</sup>**C NMR** (101 MHz, CDCl<sub>3</sub>) (major)  $\delta$  206.9, 152.9, 88.2, 77.5, 25.4, 17.4, 15.3; <sup>13</sup>**C NMR** (101 MHz, CDCl<sub>3</sub>) (minor)  $\delta$  206.5, 153.3, 88.9, 81.6, 28.0, 22.5, 16.0; **IR** (**neat**): v = 1800, 1721 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>7</sub>H<sub>10</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 181.0471; Found 181.0471.

## 4-Acetyl-4-methyl-5-pentyl-1,3-dioxolan-2-one (2.4g)



Colorless oil (40.8 mg, 62% yield, 76:24 *dr*). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>**H** NMR (400 MHz, CDCl<sub>3</sub>) (major)  $\delta$  4.57 (dd, *J* = 10.1, 3.1 Hz, 1H), 2.35 (s, 3H), 1.74 – 1.53 (m, 3H), 1.45 (s, 3H), 1.34 – 1.25 (m, 5H), 0.89 (t, *J* = 6.9 Hz, 3H); <sup>1</sup>**H** NMR (400 MHz, CDCl<sub>3</sub>) (minor)

δ 4.35 (dd, J = 10.1, 3.1 Hz, 1H), 2.33 (s, 3H), 1.74 – 1.53 (m, 3H), 1.57 (s, 3H), 1.34 – 1.25 (m, 5H), 0.89 (t, J = 6.9 Hz, 3H); <sup>13</sup>**C NMR** (101 MHz, CDCl<sub>3</sub>) (major) δ 207.0, 153.0, 88.2, 81.4, 31.4, 29.9, 25.53, 25.4, 22.5, 17.6, 14.0; <sup>13</sup>**C NMR** (101 MHz, CDCl<sub>3</sub>) (minor) δ 206.4, 153.5, 88.7, 85.7, 31.3, 30.3, 28.0, 25.51, 22.7, 22.4, 13.97; **IR** (**neat**): v = 1805, 1722 cm<sup>-1</sup>; **HRMS** (ESI/TOF) m/z Calcd for C<sub>11</sub>H<sub>18</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 237.1097; Found 237.1092.

## General Procedure H for synthesis of cyclic carbonates 2.4h-2.4m:



The respective 1,2-diol (0.3 mmol, 1.0 equiv), AgF (0.015 mmol, 5 mol%), BrettPhos (0.015 mmol, 5 mol%) were added to a 25 mL reaction tube. The tube was purged three times with  $CO_2$  and then charged with a  $CO_2$  balloon (1 bar). Hereafter, MeCN (0.6 mL) was added using a syringe. The reaction mixture was stirred at 40 °C for 24 h. When complete consumption of the starting material had been observed by TLC, the mixture was transferred to a round-bottom flask, concentrated and purified by flash column chromatography on silica to afford the corresponding carbonate product.

## 4-Acetyl-4-phenyl-1,3-dioxaspiro[4.5]decan-2-one (2.4h)



White solid (72.0 mg, 87% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.49 – 7.44 (m, 2H), 7.42 – 7.37 (m, 3H), 2.29 (s, 3H), 1.92 – 1.77 (m, 2H), 1.74 – 1.53 (m, 4H), 1.50 – 1.34 (m, 2H), 1.24 – 1.14 (m, 2H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  204.6, 152.8, 132.0, 129.4, 128.8,

126.1, 93.6, 90.1, 33.1, 32.9, 28.7, 24.7, 22.2, 22.0; **IR** (**neat**): v = 1798, 1712 cm<sup>-1</sup>; **HRMS** (ESI/TOF) m/z Calcd for C<sub>16</sub>H<sub>18</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 297.1097; Found 297.1094.

## 4-Acetyl-4-phenyl-1,3-dioxaspiro[4.4]nonan-2-one (2.4i)



Yellow oil (69.5 mg, 89% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.52 – 7.48 (m, 2H), 7.44 – 7.38 (m, 3H), 2.32 (s, 3H), 2.29 – 2.21 (m, 1H), 1.98 – 1.74 (m, 3H), 1.72 – 1.52 (m, 3H), 1.46 – 1.40 (m, 1H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  204.7, 152.9, 132.8, 129.4, 129.0, 125.3, 99.3,

91.3, 35.6, 34.0, 28.0, 23.2, 22.1; **IR (neat)**: *v* = 1805, 1720 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>15</sub>H<sub>16</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 283.0941; Found 283.0932.

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## 4-Acetyl-5,5-dimethyl-4-phenyl-1,3-dioxolan-2-one (2.4j)



cm<sup>-1</sup>; **HRMS** (ESI/TOF) m/z Calcd for C<sub>13</sub>H<sub>14</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 257.0784; Found 257.0779.

### 4-Acetyl-4,5-dimethyl-5-phenyl-1,3-dioxolan-2-one (2.4k)



White solid (58.4 mg, 83% yield, dr > 95:5). Eluent hexanes/EtOAc = 4/1. <sup>4</sup> <sup>1</sup>**H** NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.54 – 7.51 (m, 2H), 7.43 – 7.34 (m, 3H), <sup>2</sup>.48 (s, 3H), 1.69 (s, 3H), 1.10 (s, 3H); <sup>13</sup>**C** NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  207.2, 152.2, 137.5, 128.8, 128.7, 125.4, 91.7, 88.8, 28.3, 25.3, 22.3; **IR** (neat): v

= 1803, 1723 cm<sup>-1</sup>; **HRMS** (ESI/TOF) m/z Calcd for C<sub>13</sub>H<sub>14</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 257.0784; Found 257.0778.

## 4-Acetyl-4-methyl-5,5-diphenyl-1,3-dioxolan-2-one (2.4l)



White solid (84.3 mg, 95% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.75 – 7.72 (m, 2H), 7.46 – 7.36 (m, 3H), 7.34 – 7.30 (m, 5H), 1.87 (s, 3H), 1.48 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  206.2, 152.7, 137.6, 136.2, 129.1, 129.0, 128.8, 128.6, 127.0, 126.4, 93.8, 91.3, 27.5,

22.8; **IR** (neat): v = 1809, 1720 cm<sup>-1</sup>; **HRMS** (ESI/TOF) m/z Calcd for C<sub>18</sub>H<sub>16</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 319.0941; Found 319.0934.

## 4-Acetyl-4,5,5-trimethyl-1,3-dioxolan-2-one (2.4m)



48 h reaction time, white solid (46.0 mg, 91% yield). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>**H NMR** (400 MHz, CDCl<sub>3</sub>)  $\delta$  2.37 (s, 3H), 1.52 (s, 3H), 1.49 (s, 3H), 1.35 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) δ 207.1, 152.7, 91.0, 85.8, 27.8, 23.8, 22.4, 19.7; **IR** (neat): v = 1783, 1715 cm<sup>-1</sup>; **HRMS** (ESI/TOF) m/z

Calcd for C<sub>8</sub>H<sub>12</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 195.0628; Found 195.0629.

## 2.6.5 Catalytic screening towards larger ring cyclic carbonates

General procedure for the synthesis of 1,3- and 1,4-diols 2.5a and 2.5b



**For 2.5a**: In a clean dry double-neck 2L round bottom flask conditioned under an inert atmosphere was introduced ethynyl magnesium bromide (800 mL, 0.5 M in THF, 0.4 mole). Then, 4-hydroxy-2-butanone (13.8 mL, 0.16 mole) was added dropwise using a syringe. The reaction mixture was stirred at room temperature for 48 h, during which the conversion of the ketone was monitored by ATR-IR. Then, a saturated solution of NH<sub>4</sub>Cl was added and the mixture was transferred into a separating funnel to recover the organic phase. The aqueous phase was extracted with diethyl ether (200 + 150 mL). The combined organic fractions were dried with anhydrous MgSO<sub>4</sub> and filtered. Then the organic phase was evaporated in vacuo and the residue purified by fractional distillation. A transparent to light yellow oil was recovered in a yield of around 60% at  $55^{\circ}$ C with a vacuum of 1 mbar.

**Note:** A similar procedure was applied for the synthesis of **2.5b** using the appropriate hydroxy ketone precursor giving a similar isolated yield.

## 3-Methylpent-4-yne-1,3-diol (2.5a)

OH Light yellow oil. <sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>) δ 5.29 (s, 1H), 4.44 (s, 1H), 3.59 (s, 2H), 3.23 (s, 1H), 1.74 (s, 2H), 1.34 (s, 3H); <sup>13</sup>C NMR (101 MHz, DMSO-d<sub>6</sub>) δ 73.10, 65.62, 58.21, 46.12, 39.56, 30.71.

## 4-Methylhex-5-yne-1,4-diol (2.5b)

Light orange oil. <sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>)  $\delta$  5.23 (s, 1H), 4.42 (t, *J* = 5.2 Hz, 1H), 3.40 (t, *J* = 5.7 Hz, 2H), 3.16 (s, 1H), 1.70 – 1.46 (m, 4H), 1.33 (s, 3H); <sup>13</sup>C NMR (101 MHz, DMSO-d<sub>6</sub>)  $\delta$  89.69, 72.83, 66.44, 61.47, 40.60, 30.33, 28.43.

# Screening of reaction parameters for the carboxylative coupling of CO<sub>2</sub> to 3-methylpent-4-yne-1,3-diol (2.5a)



In a clean dry reactor, equipped with a magnetic rod, a manometer and a gas inlet/outlet were introduced 3-methylpent-4-yne-1,3-diol (1 g, 8.76 mmol), tetrabutylammonium phenolate TBAOPh (0.147 g, 0.438 mmol), silver iodide (AgI) (0.102 g, 0.438 mmol) and dried DMSO (2-4 mL). The reactor was closed and placed in a silicon oil bath set heated at the desired temperature. After 30 minutes, CO<sub>2</sub> gas was added at a constant pressure. The reaction ran for 24-72 h after which the reactor was depressurized and placed in a water bath to cool it down to room temperature. The crude reaction mixture was characterized by <sup>1</sup>H NMR spectroscopy in DMSO-d<sub>6</sub>. Isolation of products was achieved by extraction of the crude mixture with 80 mL of salted water and 80 mL of CH<sub>2</sub>Cl<sub>2</sub>, followed by a silica gel chromatography (5-50% ethyl acetate/petroleum ether 40/60 v/v).

## 4-Methyl-4-(prop-1-en-2-yl)-1,3-dioxan-2-one (2.6)



White solid, 51%. Eluent petroleum ether /EtOAc = 1/1 <sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>) δ 4.37 (dt, *J* = 11.3, 4.7 Hz, 1H), 4.10 (ddd, *J* = 11.3, 10.2, 4.1 Hz, 1H), 2.41 (dt, J = 14.7, 4.1 Hz, 1H), 2.28 (s, 3H), 2.12 (ddd, J = 14.7, 10.2, 5.0 Hz, 1H), 1.53 (s, 3H); <sup>13</sup>C NMR (101 MHz, DMSO-d<sub>6</sub>) δ 206.91, 147.83, 87.28, 65.52, 39.57, 28.20, 25.15, 23.35; **IR** (neat) v = 1750, 1720 cm<sup>-1</sup>; **HRMS** (QTOF) m/z

# <u>3a,6a-Dimethyltetrahydrofuro[2,3-d][1,3]dioxol-2-one (2.7)</u>

Calcd for C<sub>7</sub>H<sub>10</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 181.0477; Found 181.0472.



White solid, 70% Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>)  $\delta$ 4.05 (dd, *J* = 9.3, 7.9 Hz, 1H), 3.77 (ddd, *J* = 11.9, 9.4, 4.7 Hz, 1H), 2.29 (dd, *J* = 13.9, 4.6 Hz, 1H), 2.05 (ddd, *J* = 13.9, 11.9, 7.9 Hz, 1H), 1.57 (d, *J* = 4.7 Hz, 6H); <sup>13</sup>C NMR (101 MHz, DMSO-d<sub>6</sub>) δ 152.7, 114.8, 91.8, 65.6, 38.2, 20.3, 20.0; IR

(neat):  $v = 1802 \text{ cm}^{-1}$ ; HRMS (ESI/TOF) m/z Calcd for C<sub>7</sub>H<sub>10</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 181.0471; Found 181.0472. This compound was further characterized by X-ray crystallography.

Entry	Solvent	Conc.	Т	Conv. of 2.5a	Sel. for 2.6	<b>Sel. for 2.7</b>
		[mol/L]	[°C]	[%] <sup>[b]</sup>	[%] <sup>[b]</sup>	[%] <sup>[b]</sup>
1 <sup>[c]</sup>	DMSO	2.2	25	100	98 (51) <sup>[d]</sup>	_
2	DMSO	2.2	25	100	85	_
3	DMSO	4.4	25	34	84	_
4	ACN	2.2	25	0	_	_
5	_	-	25	0	_	_
6 <sup>[e]</sup>	DMSO	2.2	60	100	98	_
7	DMSO	4.4	60	100	85	6
8 <sup>[f]</sup>	DMSO	4.4	60	100	44	49
9	ACN	4.4	60	100	57	21
10	_	_	60	100	13	42
11	DMSO	2.2	80	100	32	35
12	DMSO	4.4	80	100	13	69
13	DMF	4.4	80	100	5	86
14	ACN	4.4	80	100	0	90 (70) <sup>[d]</sup>
15	_	-	80	70	0	67
16 <sup>[f]</sup>	_	_	80	100	0	90

 Table 2.6: Screening of the carboxylative coupling of 1,3-diol 2.5a with CO2 to give 2.6

 and 2.7.<sup>[a]</sup>

[a] Conditions: 1,3-diol **2.5a** (1 g, 8.76 mmol), TBAOPh (0.147 g, 0.438 mmol), AgI (0.102 g, 0.438 mmol), P(CO<sub>2</sub>) = 15 bar and t = 24 h. [b] Determined by <sup>1</sup>H NMR spectroscopy with 1,3,5-trimethoxybenzene as internal standard. [c] Reaction time was 16 h. [d] Yields in brackets refer to isolated yield after purification by silica gel column chromatography. [e] Reaction time was 3.5 h. [f] Reaction time was 72 h.

Description of the procedure for the SEC analysis: Number-average molecular weight ( $M_n$ ) and dispersity (D) of the different polymers were determined by size exclusion chromatography (SEC) in dimethyl formamide (DMF) containing LiBr (0.025 M) at 55 °C (flow rate: 1 mL/min) with a Waters chromatograph equipped with two columns dedicated to the analysis of low molar mass polymers (PSS gram analytical 100 Å, separation range 300-60000 Da) and a precolumn (100 Å), a dual  $\lambda$  absorbance detector (Waters 2487) and a refractive index detector (Waters 2414). The system was calibrated by polystyrene (PS) standards.

The crude sample of Table 2.6 (entry 12) was injected into the SEC equipment and the corresponding SEC chromatogram is shown in Figure 2.2. It reveals that the crude product contains a small amount of oligomers of very low molar mass (apparent  $M_n = 440$  g/mol). It is important to note that the tailing at very low molar mass is out of calibration and contains products **2.6** and **2.7**, as well as dimers/trimers.



	SampleName	RT	Mn (Daltons)	Mw (Daltons)	MP (Daltons)	Polydispersity
1	chng f40a	15,212				
2	chng f40a	1 <b>9,75</b> 0				
3	chng f40a	23,571	443	591	1033	1,332618

Figure 2.2. SEC trace and data for the crude mixture of Table 2.6, entry 12.

# Monitoring of the carboxylative coupling of CO<sub>2</sub> to 3-methylpent-4-yne-1,3-diol 2.5a by FT-IR spectroscopy

In a clean and dry reactor of 40 mL equipped with a manometer, a heating mantle, gas inlet/outlets, a mechanical stirrer and a high-pressure FT-IR probe were introduced 3-methylpent-4-yne-1,3-diol **2.5a** (3 g, 26.28 mmol), tetrabutylammonium phenolate TBAOPh (0.4410 g, 1.3141 mmol), AgI (0.3085 g, 1.3141 mmol) and dry DMSO (12 mL). The reactor was closed and heated to the desired temperature after which the FT-IR acquisition was initiated. Then,  $CO_2$  gas was added and the pressure maintained at 15 bar. Spectra were recorded every 1-5 min. Once the reaction was complete, the reactor was cooled down to room temperature and depressurized. The crude reaction mixture was recovered and analyzed by <sup>1</sup>H NMR spectroscopy.





At 25°C, we briefly observed the formation of the alkylidene cyclic carbonate as attested by the presence of the band at 1820 cm<sup>-1</sup>, which disappeared after few hours in favour of the sixmembered keto-carbonate **2.6** with the characteristic bands at 1750 cm<sup>-1</sup> (carbonate) and 1720 cm<sup>-1</sup> (ketone). At 80 °C, a new bicyclic tetrasubstituted five-membered cyclic carbonate **2.7**, with a characteristic band at 1802 cm<sup>-1</sup>, was formed together with the 6-membered ketocarbonate **2.6** (Figure 2.3) The formation of both products, **2.6** and **2.7**, was also confirmed by <sup>1</sup>H NMR spectroscopy (Figure 2.4).



Figure 2.4. <sup>1</sup>H NMR overlay of pure alcohol 2.5a (bottom), the crude reaction mixtures obtained at 25 °C (middle) and 80 °C (top) for the carboxylative coupling of CO<sub>2</sub> to 3-methylpent-4-yne-2,3-diol 2.5a.

# Screening of reaction parameters for the carboxylative coupling of CO<sub>2</sub> to 4-methylhex-5-yne-1,4-diol (2.5b)

**Table 2.7:**<sup>[a]</sup> Screening of parameters for the carboxylative coupling of 1,4-diol **2.5b** with CO<sub>2</sub> to give **2.8**, **2.9** and **2.10**.



Entry	[Ag]	Ligand	T/pressure	Conv. 2.5b	2.8	2.9	2.10
	[mol%]	[mol%]	[°C]/[bar]	[%] <sup>[b]</sup>	[%] <sup>[b]</sup>	[%] <sup>[b]</sup>	[%] <sup>[b]</sup>
1	AgF, 10	<b>L2.6</b> , 5	rt, 30	>99	66	30	_
2	AgF, 10	L2.6, 5	50, 30	>99	_	_	_
3	AgF, 5	L2.1, 5	rt, 30	>99	31	16	27
4	AgF, 5	L2.2, 5	rt, 30	>99	—	trace	41
5	AgF, 5	L2.3, 5	rt, 30	>99	25	32	14
6	AgF, 5	L <b>2.4</b> , 5	rt, 30	>99	_	10	28
7 <sup>[c]</sup>	AgI, 5	TBAOPh, 5	rt, 15	>99	75, 50 <sup>[d]</sup>	21	-
8 <sup>[c]</sup>	AgI, 5	TBAOPh, 5	80, 15	>99	7	1	24
9	AgI, 5	TBAOPh, 5	80, 15	>99	7	3	16
10	AgI, 5	DBU, 5	80, 15	>99	3	5	25
11	_	DBU, 10	80, 15	>99	_	_	24 <sup>[d]</sup>
12	AgI, 5	DBU, 10	80, 15	>99	-	12	45, 33 <sup>[d]</sup>
13	_	DBU, 10	80, 15	>99	_	_	9

[a] Reaction conditions: **2.5b** (0.3 mmol), ACN (0.2 mL), CO<sub>2</sub> (pressure indicated), 24 h.

[b] Determined by <sup>1</sup>H NMR using mesitylene as internal standard. [c] DMSO as solvent. [d] Isolated yield. **Description of the procedure for the SEC analysis:** Number-average molecular weight ( $M_n$ ) and dispersity (D) of the polymers were determined by size exclusion chromatography (SEC) in dimethyl formamide (DMF) containing LiBr (0.025 M) at 55 °C (flow rate: 1 mL/min) with a SECcurity GPC1260 chromatograph from PSS equipped with three columns (PSS gram 1000 Å (x2), 30 Å) and a pre-column, a SECcurity refractive index detector, a SECcurity variable wavelength UV-Vis detector and a MALLS detector SLD7000. The system was calibrated by polystyrene (PS) standards.



Figure 2.5. SEC chromatogram and data of the crude mixture (Table 2.7, entry 9).

The reaction of alkyne-1,4 diol (2.5b) provides the tetrasubstituted carbonate 2.10 at lower yield and favors the formation of some oligomeric compounds (Figure 2.5). The oligomers have an apparent  $M_n$  of 780 g/mol and a dispersity of 1.29. All attempts to push the polymerization further to reach higher molar masses were unsuccessful as the formation of product 2.10 could not be avoided. Products appearing at elution volumes higher than 36 min are out of calibration and correspond to a mixture of products 2.8, 2.9 and 2.10. The intense sharp peak at around 39 min corresponds to DMSO, which was used as the solvent for the reaction.

## 4-(3-Hydroxypropyl)-4-methyl-5-methylene-1,3-dioxolan-2-one (2.8)

Yellow oil, NMR yield 75%, isolated: 50%. Eluent petroleum ether/EtOAc H 1:1 <sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>)  $\delta$  4.85 (d, *J* = 3.9 Hz, 1H), 4.63 (d, *J* = 3.9Hz, 1H), 4.53 (t, *J* = 5.2 Hz, 1H), 3.41 (td, *J* = 6.3, 5.1 Hz, 2H), 1.89 (qdd, *J* = 14.4, 9.8, 6.0, 2H), 1.60 (s, 3H), 1.43 (m, 2H); <sup>13</sup>C NMR (101 MHz, DMSO-d<sub>6</sub>)  $\delta$  157.43, 151.46, 87.99, 86.46, 60.56, 36.68, 26.72, 25.94; **IR** (**neat**) v = 1686, 1818 cm<sup>-1</sup>; **HRMS** (QTOF) *m/z* Calcd for C<sub>8</sub>H<sub>12</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 195.1698; Found 195.0633.

## 4-Acetyl-4-methyl-1,3-dioxepan-2-one (2.9)



Note: This compound was isolated as a mixture with **2.8**. The data are here provided for completion. <u>Selected</u> features: <sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>)  $\delta$  4.07 (m, 2H), 2.39 (m, 2H), 2.25 (s, 3H, C(O)Me), 1.80 (m, 2H), 1.49 (s, 3H, Me); <sup>13</sup>C NMR (75 MHz, DMSO-d<sub>6</sub>)  $\delta$  206.51, 153.07, 90.31, 70.04,

36.88; **IR** (neat) v = 1720, 1752 cm<sup>-1</sup>.

## 3a,7a-Dimethyltetrahydro-5H-[1,3]dioxolo[4,5-b]pyran-2-one (2.10)

White solid, NMR yield 45%, isolated 30%. Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, DMSO- $d_6$ )  $\delta$  3.72 (td, J = 6.4, 1.9 Hz, 2H), 1.97 (ddd, J = 14.8, 5.5, 4.1 Hz, 1H), 1.85 (ddd, J = 14.7, 10.6, 6.4 Hz, 1H), 1.76 – 1.60 (m, 2H), 1.54 (s, 3H), 1.40 (s, 3H); <sup>13</sup>C NMR (101 MHz, DMSO- $d_6$ )  $\delta$  153.1, 107.3, 83.6, 60.7, 28.6, 22.9, 19.8, 18.6; **IR (neat)**: v = 1803 cm<sup>-1</sup>; **HRMS** (ESI/TOF) m/z Calcd for C<sub>8</sub>H<sub>12</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 195.0628; Found 195.0628.

# Monitoring of the carboxylative coupling of CO<sub>2</sub> to 4-methylhex-5-yne-1,4-diol (2.5b) by FT-IR spectroscopy

At 25 °C, we observed the formation of the alkylidene cyclic carbonate **2.8** (1818 cm<sup>-1</sup> and 1685 cm<sup>-1</sup>) which could be isolated at 50% yield. The seven-membered carbonate **2.9**, with its characteristic carbonyl vibration at 1752 cm<sup>-1</sup>, was also formed at the two investigated temperatures, 25 °C and 80 °C. Note that the progressive broadening of the band at 1818 cm<sup>-1</sup> with time (for the reaction carried out at 80 °C) results from the appearance of a band at 1807 cm<sup>-1</sup>, the signature of the tetrasubstituted carbonate **2.10**.



**Figure 2.6.** Online monitoring of the carboxylative coupling of 4-methylhex-5-yne-1,4-diol **2.5b** with CO<sub>2</sub> via operando FT-ATR spectroscopy at (a) 25 °C and (b) 80 °C showing the seven-membered carbonate **2.9** with absorptions at 1752 and 1720 cm<sup>-1</sup>.

## 2.6.6 Synthetic and analytical details for compound 2.11 and 2.12

Procedures for the synthesis of the cyclic carbonates 2.11 and 2.12:



To a solution of 1,3-dioxolan-2-one product **2.2a** (0.3 mmol, 1.0 equiv) in a 4:1 mixture of tetrahydrofuran and methanol (1.5 mL) was added NaBH<sub>4</sub> (0.33 mmol, 1.1 equiv) under an argon atmosphere at 0 °C. Then, the reaction mixture was stirred for 1 h. When the starting material had disappeared (as followed by TLC), the solvent was removed by evaporation and the residue was quenched by addition of a saturated ammonium chloride (5 mL) solution. Ethyl acetate (5 mL) was added to it and the aqueous layer was separated. Further extraction was carried out of the aqueous layer with ethyl acetate (3 × 5 mL). The combined organic layers were dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated in *vacuo*. The crude product was purified by flash chromatography on silica gel to obtain the corresponding product.

### 4-(1-Hydroxyethyl)-4-phenyl-1,3-dioxolan-2-one (2.11)

Colorless oil (42.8 mg, 67% yield, 2:1 *dr*). Eluent hexanes/EtOAc = 4/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.44 – 7.33 (m, 7.6H), 4.95 (d, *J* = 8.4 Hz, 0.5H), 4.81 (q, *J* = 6.6 Hz, 1H), 4.64 (d, *J* = 8.4 Hz, 0.5H), 4.16 – 4.07 (m, 1.5H), 3.95 (d, *J* = 12.8 Hz, 1H), 2.55 (brs, 1.6 H), 1.78 (d, *J* = 6.6 Hz, 3H), 1.06 (d, *J* = 6.6 Hz, 1.6H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  154.5, 154.3, 137.6, 137.0, 129.2, 129.12, 129.07, 128.8, 125.7, 124.2, 88.1, 88.0, 82.0, 71.5, 71.0, 65.4, 17.1, 14.8; **IR** (**neat**): *v* = 1770 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>11</sub>H<sub>12</sub>NaO<sub>4</sub> [M + Na]<sup>+</sup> 231.0628; Found 231.0620. UNIVERSITAT ROVIRA I VIRGILI SILVER-CATALYZED CASCADE CONVERSIONS OF CO2 INTO HETEROCYCLES Xuetong Li Chapter 2



To a stirred solution of hydroxylamine hydrochloride (0.6 mmol, 2.0 equiv), pyridine (0.6 mmol, 2.0 equiv) in ethanol (3 mL) maintained at room temperature was added the 1,3-dioxolan-2-one **2.2a** (0.3 mmol, 1.0 equiv) dissolved in ethanol (3 mL). After the reaction was complete (followed by TLC), the solvent was removed under reduced pressure. To the residue was added water and the product was extracted twice with methylene chloride ( $2 \times 5$  mL) and washed with a 0.1 M HCl solution. The organic layer was dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated in *vacuo*. The crude product was purified by flash chromatography on silica gel to obtain the final product.

#### 4-(1-(Hydroxyimino)ethyl)-4-phenyl-1,3-dioxolan-2-one (2.12)

White solid (55.3 mg, 85% yield, Z/E = 3:1). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.45 – 7.34 (m, 6.9H), 5.45 (d, J = 8.5 Hz, 1H), 4.49 (d, J = 10.3 Hz, 0.3H), 4.40 (d, J = 10.3 Hz, 0.3H), 4.28 (d, J = 8.5 Hz, 1H), 1.85 OH (s, 1H), 1.82 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  159.7, 155.0, 154.1, 139.1, 137.8, 129.4, 129.3, 128.9, 128.4, 125.1, 124.4, 89.5, 86.9, 82.6, 72.6, 10.6, 8.7; **IR (neat)**: v= 1804 cm<sup>-1</sup>; **HRMS** (ESI/TOF) *m*/*z* Calcd for C<sub>11</sub>H<sub>11</sub>NNaO<sub>4</sub> [M + Na]<sup>+</sup> 244.0580; Found 244.0578.

# 2.6.7 X-ray details

**General experimental procedure for X-ray analysis**: single crystals of each compound suitable for X-ray diffraction were stable under atmospheric conditions; nevertheless, they were treated under inert conditions immersed in perfluoro-polyether as protecting oil for manipulation. Data Collection: measurements were made on a Bruker-Nonius diffractometer equipped with an APPEX II 4K CCD area detector, a FR591 rotating anode with MoKa radiation, Montel mirrors and a Kryoflex low temperature device (T = -173 °C). Full-sphere data collection was used with  $\omega$  and  $\phi$  scans. Programs used: Data collection Apex2 V2011.3 (Bruker-Nonius 2008), data reduction Saint+Version 7.60A (Bruker AXS 2008) and absorption correction SADABS V. 2008–1 (2008). Structure Solution: SHELXTL Version 6.10 (Sheldrick, 2000) was used (Sheldrick, G. M. SHELXTL Crystallographic System, version 6.10; Bruker AXS, Inc.: Madison, WI, **2000**). Structure Refinement: SHELXTL-97-UNIX VERSION.

Disubstituted keto-carbonate 2.2a (CCDC-2088491):



**Crystallographic data for 2.2a**: C<sub>11</sub>H<sub>10</sub>O<sub>4</sub>, *M*r = 206.19, monoclinic, *P*2<sub>1</sub>/c, *a* = 7.4832(7) Å, *b* = 16.3724(16) Å, *c* = 8.0317(8) Å,  $\alpha = 90^{\circ}$ ,  $\beta = 109.335(2)^{\circ}$ ,  $\gamma = 90^{\circ}$ , *V* = 928.53(16) Å<sup>3</sup>, *Z* = 4,  $\rho = 1.475 \text{ mg} \cdot \text{M}^{-3}$ ,  $\mu = 0.113 \text{ mm}^{-1}$ ,  $\lambda = 0.71073 \text{ Å}$ , *T* = 100(2) K, *F*(000) = 432,  $\theta$  (min) = 2.488°,  $\theta$  (max) = 30.127°, 14506 reflections collected, 2573 reflections unique (*R*<sub>int</sub> = 0.0236), GoF = 1.137, *R*<sub>1</sub> = 0.0359, *wR*<sub>2</sub> = 0.0964 [*I*>2 $\sigma$ (*I*)], *R*<sub>1</sub> = 0.0415, *wR*<sub>2</sub> = 0.1004 (all indices), min/max residual density = -0.204/0.441 [e·Å<sup>-3</sup>], Completeness to  $\theta$ (30.127°) = 93.5 %. UNIVERSITAT ROVIRA I VIRGILI SILVER-CATALYZED CASCADE CONVERSIONS OF CO2 INTO HETEROCYCLES Xuetong Li  ${\it Chapter 2}$ 

Trisubstituted keto-carbonate 2.4a (CCDC-2088492):



Crystallographic data for 2.4a: C<sub>17</sub>H<sub>14</sub>O<sub>4</sub>, *M*r = 282.28, orthorhombic, *P*2<sub>1</sub>2<sub>1</sub>2<sub>1</sub>, *a* = 6.37486(13) Å, *b* = 13.7162(3) Å, *c* = 15.6002(4) Å,  $\alpha = 90^{\circ}$ ,  $\beta = 90^{\circ}$ ,  $\gamma = 90^{\circ}$ , *V* = 1364.06(5) Å<sup>3</sup>, *Z* = 4,  $\rho = 1.375 \text{ mg} \cdot \text{M}^{-3}$ ,  $\mu = 0.098 \text{ mm}^{-1}$ ,  $\lambda = 0.71073 \text{ Å}$ , *T* = 100(2) K, *F*(000) = 592,  $\theta$ (min) = 2.611°,  $\theta$ (max) = 32.152°, 21982 reflections collected, 4536 reflections unique (*R*<sub>int</sub> = 0.0248), GoF = 1.053, *R*<sub>1</sub> = 0.0299, *wR*<sub>2</sub> = 0.0758 [*I*>2 $\sigma$ (*I*)], *R*<sub>1</sub> = 0.0316, *wR*<sub>2</sub> = 0.0767 (all indices), min/max residual density = -0.181/0.311 [e·Å<sup>-3</sup>], Completeness to  $\theta$ (32.152°) = 96.4 %.

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### Bicyclic carbonate 2.7 (CCDC-2112335):



**Crystallographic data for 2.7**: C<sub>7</sub>H<sub>10</sub>O<sub>4</sub>, *M*r = 158.13, monoclinic, *P*2<sub>1</sub>/c, *a* = 6.8234(12) Å, *b* = 9.9156(18) Å, *c* = 10.9182(18) Å, *α* = 90°, *β* = 93.902(4)°, *γ* = 90°, *V* = 737.0(2) Å<sup>3</sup>, *Z* = 4, *ρ* = 1.425 mg·M<sup>-3</sup>, *μ* = 0.118 mm<sup>-1</sup>, *λ* = 0.71073 Å, *T* = 100(2) K, *F*(000) = 336, *θ*(min) = 2.778°, *θ*(max) = 32.129°, 8943 reflections collected, 2530 reflections unique (*R*<sub>int</sub> = 0.0469), GoF = 1.053, *R*<sub>1</sub> = 0.0468, *wR*<sub>2</sub> = 0.1242 [*I*>2σ(*I*)], *R*<sub>1</sub> = 0.0579, *wR*<sub>2</sub> = 0.1332 (all indices), min/max residual density = -0.304/0.430 [e·Å<sup>-3</sup>], Completeness to *θ*(32.129°) = 98.1 %.

# 2.6.8 DFT details

As indicated in footnote 18 of the main text, the Gaussian 16<sup>[S1]</sup> program was used with the implemented functional and basis set PBE0-D3(BJ)/SDD/def2tzv being chosen using dispersion correction with Becke-Johnson damping. All calculations were carried out at 298 K using an acetonitrile implicit solvent model SMD.

Full access to the computational data set is provided through: <u>http://dx.doi.org/10.19061/iochem-bd-1-214.</u>

Please find below (**Figure 2.7**, next page) a full description of all energies involved in the conversion of both (*R*)- and (*S*)-**2.1a** using the selected chiral conformation of **L2.1** in the Ag(**L2.1**)OAc (pre)-catalyst. Obviously, upon using the other catalyst enantiomer, the energies for the conversion of (*R*)- and (*S*)-**2.1a** should be **reversed**.

[S1] Gaussian 16, Revision A.03, M. J. Frisch, G. W. Trucks, H. B. Schlegel, G. E. Scuseria, M. A. Robb, J. R. Cheeseman, G. Scalmani, V. Barone, G. A. Petersson, H. Nakatsuji, X. Li, M. Caricato, A. V. Marenich, J. Bloino, B. G. Janesko, R. Gomperts, B. Mennucci, H. P. Hratchian, J. V. Ortiz, A. F. Izmaylov, J. L. Sonnenberg, D. Williams-Young, F. Ding, F. Lipparini, F. Egidi, J. Goings, B. Peng, A. Petrone, T. Henderson, D. Ranasinghe, V. G. Zakrzewski, J. Gao, N. Rega, G. Zheng, W. Liang, M. Hada, M. Ehara, K. Toyota, R. Fukuda, J. Hasegawa, M. Ishida, T. Nakajima, Y. Honda, O. Kitao, H. Nakai, T. Vreven, K. Throssell, J. A. Montgomery, Jr., J. E. Peralta, F. Ogliaro, M. J. Bearpark, J. J. Heyd, E. N. Brothers, K. N. Kudin, V. N. Staroverov, T. A. Keith, R. Kobayashi, J. Normand, K. Raghavachari, A. P. Rendell, J. C. Burant, S. S. Iyengar, J. Tomasi,; Cossi, J. M. Millam, M. Klene, C. Adamo, R. Cammi, J. W. Ochterski, R. L. Martin, K. Morokuma, O. Farkas, J. B. Foresman, D. J.Fox, Gaussian, Inc., Wallingford CT, **2016**.





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Chapter 3.

# Silver-Mediated Cascade Synthesis of Functionalized 1,4-Dihydro-2H-benzo-1,3-oxazin-2-ones from Carbon Dioxide

The results described in this chapter have been published in:

X. Li, J. Benet-Buchholz, E. C. Escudero-Adán, and A. W. Kleij, *Angew. Chem. Int. Ed.* **2023**, 62, e202217803.

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# 3.1 Introduction

The synthesis of heterocyclic compounds from carbon dioxide represents a vibrant area of research allowing to valorize a low-value carbon reagent into synthetically valuable intermediates and targets.<sup>1</sup> Cyclic carbamates are a class of substances that are particularly attractive as intermediates in the context of pharmaceutical development aimed at assembling scaffolds with biological activity.<sup>2</sup> In addition, the potential of five-membered cyclic carbamates serving as monomers for polyurethane synthesis through ring-opening polymerization was also recently discovered. <sup>3</sup> Benzoxazine-2-one derivatives (i.e., six-membered cyclic carbamates with a fused aryl group) are a specific class of cyclic carbamates with high pharmaceutical relevance as these cores are frequently found in drug molecules such as Efivarenz and Droxicam, and form an essential part of various biologically potent 4-alkyl-substituted 1,4-dihydro-2*H*-1,3-benzoxazine-2-ones (Scheme 3.1a).<sup>4</sup>

The design and development of straightforward catalytic methods towards a diversityoriented library of benzoxazine-2-ones remains a challenge, despite notable progress reported for the structurally more basic 1,3-oxazinan-2-one analogues.<sup>5</sup> Apart from stoichiometric

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conversion of 1,3-aminoalcohols,<sup>6</sup> 1,3-dihaloalkanes and related precursors,<sup>7</sup> and 1-amino-3chloropropan-2-ol derivatives,<sup>8</sup> CeO<sub>2</sub>-promoted formation of these compounds is feasible at high pressure (50 bar) using a sacrificial nitrile as a water scavenger.<sup>9</sup> Enantioselective preparation of six-membered cyclic carbamates is viable using *N*-iodo-succinimide (NIS) based activation of homoallylic amines in the presence of CO<sub>2</sub>.<sup>10a</sup> Notably, Yamada and co-workers reported an efficient synthesis of benzoxazine-2-ones from 2-alkynylanilines and CO<sub>2</sub> under Ag catalysis,<sup>11</sup> which to date stands as a rare example of a catalytic process<sup>9, 10a, 12</sup> delivering six-membered cyclic carbamates featuring a (*Z*)-configured *exo*-cyclic double bond (Scheme 3.1b). We recently disclosed the use of 1,2-alkyne-diols that upon combining with CO<sub>2</sub> could be conveniently converted into *keto* cyclic carbonates by first forming an *α*-alkylidene carbonate followed by intramolecular alcohol-induced isomerization.<sup>13</sup> This process is hence a cascade process with a formal interconversion between two distinct 5-membered cyclic carbonates (Scheme 3.1c).<sup>14</sup>

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 <sup>&</sup>lt;sup>10</sup> a) R. Yousefi, T. J. Struble, J. L. Payne, M. Vishe, N. D. Schley, J. N. Johnston, J. Am. Chem. Soc. 2019, 141, 618–625; For earlier contributions using similar substrate activation strategies see: b) T. Toda, Y. Kitagawa, Angew. Chem. Int. Ed. 1987, 26, 334–335; Angew. Chem. 1987, 99, 366–367; c) Y. Takeda, S. Okumura, S. Tone, I. Sasaki, S. Minakata, Org. Lett. 2012, 14, 4874–4877.

<sup>&</sup>lt;sup>11</sup>a) T. Ishida, S. Kikuchi, T. Tsubo, T. Yamada, *Org. Lett.* **2013**, **15**, 848–851; b) S. Kikuchi, T. Yamada, *Chem. Rec.* **2014**, *14*, 62–69.

<sup>&</sup>lt;sup>12</sup> For some other examples see: a) P. Brunel, J. Monot, C. E. Kefalidis, L. Maron, B. Martin-Vaca, D. Bourissou, ACS Catal. 2017, 7, 2652–2660; b) J. Rintjema, W. Guo, E. Martin, E. C. Escudero-Adán, A. W. Kleij, *Chem. Eur. J.* 2015, *21*, 10754–10762.

<sup>&</sup>lt;sup>13</sup>X. Li, A. Villar-Yanez, C. Ngassam Tounzoua, J. Benet Buchholz, B. Grignard, C. Bo, C. Detrembleur, A. W. Kleij, ACS Catal. 2022, 12, 2854–2860.

<sup>&</sup>lt;sup>14</sup> S. Sopeña, M. Cozzolino, C. Maquilón, E. C. Escudero-Adán, M. Martínez Belmonte, A. W. Kleij, *Angew. Chem. Int. Ed.* **2018**, *57*, 11203–11207; *Angew. Chem.* **2018**, *130*, 11373–11377.



(d) Current focus:



Scheme 3.1 (a) Representative drug molecules comprising of a 1,3-benzoxazine-2-one or related core. (b) Yamada's work using 2-alkynylanilines as precursors. (c) Previous approach to *keto*-carbonates via a cascade approach. (d) Current focus involving a unique domino synthesis of elusive 1,4-dihydro-2H-1,3-benzoxazine-2-one compounds.

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# 3.2 Aims and objectives

Inspired by the design in Scheme 3.1c and a lack of suitable methodologies for the construction of small-molecule therapeutics based on benzoxazine-2-ones, we set out to explore the potential of a new and conceptually different cascade approach that first allows the formation of a five-membered  $\alpha$ -alkylidene cyclic carbonate, which then is intercepted by a properly positioned *N*-nucleophile thereby forming the desired six-membered cyclic carbonate (Scheme 3.1d).<sup>15</sup> This approach is different from our previous work on the formation of six-membered cyclic carbonates.<sup>16</sup> Here we report the development of a catalytic domino process allowing to significantly expand the chemical space of functional benzoxazine-2-ones, their synthetic utility and the isolation of the initially postulated cyclic carbonate intermediate. Access to a wider diversity of these CO<sub>2</sub>-based heterobicycles should further boost the design of new biologically active compounds from a simple, cheap and readily available carbon reagent.<sup>17</sup>

<sup>&</sup>lt;sup>15</sup> Cascade approaches are of our ongoing interest, see: a) W. Guo, R. Kuniyil, J. E. Gómez, F. Maseras, A. W. Kleij, *J. Am. Chem. Soc.* **2018**, *140*, 3981–3987; b) J. Xie, S. Xue, E. C. Escudero-Adán, A. W. Kleij, *Angew. Chem. Int. Ed.* **2018**, *57*, 16727–16731; *Angew. Chem.* **2018**, *130*, 16969–16973.

<sup>&</sup>lt;sup>16</sup> Previous work from our laboratory is based on the use of epoxy alcohol substrates that under Alcatalysis could be coupled with CO<sub>2</sub> to afford six-membered cyclic carbonates either via a sequential and partially stoichiometric *O*-protection, or through stereochemically defined cyclic β-epoxy alcohol precursors thereby yielding bicyclic carbonates in a single step, see: a) C. Qiao, A. Villar-Yanez, J. Sprachmann, B. Limburg, C. Bo, A. W. Kleij, *Angew. Chem. Int. Ed.* **2020**, *59*, 18446–18451; *Angew. Chem.* **2020**, *132*, 18604–18609; b) C. Qiao, W. Shi, A. Brandolese, J. Benet-Buchholz, E. C. Escudero-Adán, A. W. Kleij, *Angew. Chem. Int. Ed.* **2022**, *53*, *Angew. Chem.* **2022**, *134*, e202205053. Both of these approaches are further different as they do not represent cascade processes. The current focus of the present work is on biologically relevant benzoxazine-2-ones, which possess much higher chemical stability than their carbonate congeners. They are derived from *α*-alkylidene carbonates that feature higher reactivities towards ring-opening when compared to saturated carbonates reported in our previous communications.

<sup>&</sup>lt;sup>17</sup> a) J. Vaitla, Y. Guttormsen, J. K. Mannisto, A. Nova, T. Repo, A. Bayer, K. H. Hopmann, *ACS Catal.* **2017**, *7*, 7231–7244; b) N. Kielland, C. J. Whiteoak, A. W. Kleij, *Adv. Synth. Catal.* **2013**, *355*, 2115–2138; c) W. Guo, V. Laserna, J. Rintjema, A. W. Kleij, *Adv. Synth. Catal.* **2016**, *358*, 1602–1607; d) J. Davies, J. R. Lyonnet, D. P. Zimin, R. Martin, *Chem* **2021**, *7*, 2927–2942.

Based on the successful conversion of alkyne-1,2-diols towards cyclic carbonates (Scheme 3.1c),<sup>13</sup> we believed that by replacing one of the alcohol groups by an amine would favor the in situ formation of a hemi-carbamate species upon addition of CO<sub>2</sub>. We anticipated that this activated intermediate could then collapse onto the alkyne unit favoring (direct) five- or sixmembered cyclic carbamate formation (Scheme 3.2a). However, extensive screening of suitable catalytic conditions (i.e., Ag precursors, ligands, temperature, solvents; see the Experimental Section, Table 3.2) did not provide any evidence for any significant cyclic carbamate formation, and instead pyrrole **3.A** was preferentially formed in high yields of up to 80%. This outcome shows that the activation of CO<sub>2</sub> by the amine cannot compete with the thermodynamically favored 5-membered ring formation. In addition, an alkyne end-capped substrate (Scheme 3.2b) also undergoes easy cyclization to give the pyrrole product **3.B** (Experimental Section, Table 3.3). We subsequently turned to a different and more rigid substrate design (**3.C**) akin of Yamada's previous work (Scheme 3.2c).<sup>11</sup>

Screening of various reaction conditions and catalysts (Experimental Section, Table 3.4) did not provoke the formation of a six-membered cyclic carbamate product, but unexpectedly provided 2,2'-biindoline-3,3'-dione **3.D** (confirmed by X-ray analysis)<sup>18</sup> in a maximum yield of 30% as a major reaction component. We then selected a slightly modified substrate design (**3.E**) changing the secondary for a tertiary alcohol (Scheme 3.2d) to improve the probability for ring-closure by Thorpe–Ingold effects upon activating of CO<sub>2</sub> by the OH group. The influence of the reaction conditions and nature of the catalysts on the reaction outcome will be discussed here in more detail (Table 3.1; see Table 3.2–3.7 in the Experimental Section for a more extensive overview).

<sup>&</sup>lt;sup>18</sup> CCDC numbers 2208330, 2208331, 2208332, and 2208333 contain the supplementary crystallographic data for the structures reported in this chapter. These data are provided free of charge by the joint Cambridge Crystallographic Data Centre and Fachinformationszentrum Karlsruhe Access Structures service.



Scheme 3.2. Use of various types of substrates in the screening phase.

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## 3.3 Results and discussion

While many (mostly) phosphine ligands were tested (see Table 3.5–3.7), we found that simple and cheap PPh<sub>3</sub> provided productive catalysis. The nature of the Ag-precursor has a pronounced effect on the reaction selectivity (entries 1-5) in the presence of PPh<sub>3</sub>. The use of AgOAc, Ag<sub>2</sub>O and AgF resulted in high conversion of substrate **3.E** though with low to moderate selectivity for either **3.1a** or **3.F**. The utilization of AgNO<sub>3</sub> (entry 4; 6 mol%), however, provided the unusual pentacyclic, spiro byproduct **3.F** in 82% yield.<sup>18,19</sup> The chemo-selectivity could be switched to the desired cyclic carbamate **3.1a** (45%) by changing the anion to carbonate (entry 5; Ag<sub>2</sub>CO<sub>3</sub>). By adjusting the scale of the reaction and the concentration of the substrates (entries 6 and 7) we could improve the yield of **3.1a** to 77%. Replacing monodentate PPh<sub>3</sub> for a bidentate phosphine (3 mol% dppe, entry 8; 47%) caused the transformation to be more sluggish. The presence of other solvents such as CH<sub>2</sub>Cl<sub>2</sub>, MeOH or toluene (entries 9–11) did not improve the process efficiency, but increasing the CO<sub>2</sub> pressure from 10 to 20 bar further improved the yield of **3.1a** to 84% (entry 12). The presence of both the Ag salt and PPh<sub>3</sub> are indispensable as in the absence of either component no conversion of **3.E** was noted (entries 13 and 14). Finally, we doubled the amount of each catalyst component but the desired product **3.1a** was delivered in virtually the same yield (entry 15 versus 12).

<sup>&</sup>lt;sup>19</sup> The formation mechanism of **3.F** is unknown but appears to involve the Ag-catalyst mediating a crosscoupling of two molecules of **3.E** after initial cyclization to an indolinol derivative.

 Table 3.1 Selected screening data for the catalytic conversion of substrate 3.E into products

 3.1a and 3.F.<sup>[a]</sup>

	MeOH	[Aq], L		Me O	H Me	
		T, t, solvent			$\langle + \rangle$	4
	3.F	CO <sub>2</sub>		H	Me H	
	0.2		J.1a		Э.Г	
Entry	[A \]	ПЛ	T/t	Conv	<b>3.F</b> <sup>[b]</sup>	3.1a
Lintry	[mol%]	[mol%]	[°C, h]	[%] <sup>[b]</sup>	[%]	[%] <sup>[b]</sup>
1	AgOAc/6	PPh <sub>3</sub> /12	r.t., 24	>99	24	21
2	$Ag_2O/3$	PPh <sub>3</sub> /12	r.t., 24	80	0	<10
3	AgF/6	PPh <sub>3</sub> /12	r.t., 24	>99	55	30
4	AgNO <sub>3</sub> /6	PPh <sub>3</sub> /12	r.t., 24	>99	82	0
5	Ag <sub>2</sub> CO <sub>3</sub> /3	PPh <sub>3</sub> /12	r.t., 24	69	0	45
6 <sup>[c]</sup>	Ag <sub>2</sub> CO <sub>3</sub> /3	PPh <sub>3</sub> /12	r.t., 24	>99	0	77
7 <sup>[c]</sup>	Ag <sub>2</sub> CO <sub>3</sub> /1.5	PPh <sub>3</sub> /6	r.t., 24	>99	0	75
8 <sup>[c]</sup>	Ag <sub>2</sub> CO <sub>3</sub> /1.5	dppe/3	r.t., 24	63	0	47
9 <sup>[c,d]</sup>	Ag <sub>2</sub> CO <sub>3</sub> /1.5	PPh <sub>3</sub> /6	r.t., 24	>99	0	70
10 <sup>[c,e]</sup>	Ag <sub>2</sub> CO <sub>3</sub> /1.5	PPh <sub>3</sub> /6	r.t., 24	>99 <sup>[g]</sup>	<1	0
11 <sup>[c,f]</sup>	Ag <sub>2</sub> CO <sub>3</sub> /1.5	PPh <sub>3</sub> /6	r.t., 24	>99	0	24
12 <sup>[c,h]</sup>	Ag <sub>2</sub> CO <sub>3</sub> /1.5	PPh <sub>3</sub> /6	r.t., 24	>99	0	84
13 <sup>[c]</sup>	Ag <sub>2</sub> CO <sub>3</sub> /1.5	_	r.t., 24	0	0	0
14 <sup>[c]</sup>	-	PPh <sub>3</sub> /6	r.t., 24	0	0	0
15 <sup>[c,g]</sup>	$Ag_2CO_3/3$	PPh <sub>3</sub> /12	r.t., 24	>99	0	83

[a] Conditions: **3.E** (0.30 mmol), CH<sub>3</sub>CN (0.60 mL),  $p(CO_2)=1$  (entries 1–5) or 10 bar (entries 6–11, 13–14), temperature (°C) and time (h) indicated. [b] Determined by <sup>1</sup> H NMR (CDCl<sub>3</sub>) using mesitylene as internal standard. [c] Using CH<sub>3</sub>CN (0.40 mL) and **3.E** (0.20 mmol). [d] CH<sub>2</sub>Cl<sub>2</sub> (0.40 mL) was used as solvent. [e] MeOH (0.40 mL) was used as solvent. [f] Toluene (0.40 mL) was used as solvent. [g] An unidentified byproduct was formed. [h]  $p(CO_2)=20$  bar. [L] for the ligand used and [Ag] for the silver precursor.

With productive conditions for 1,4-dihydro-2*H*-1,3-benzoxazine-2-one formation established (Table 3.1, entry 12), we then investigated the scope of this transformation (Scheme 3.3) by first varying the  $\mathbb{R}^1$  -substituent in the propargylic precursors. Apart from the benchmark conversion leading to the simplest product **3.1a** in 84% yield (67%, 412 mg, scaled up 15-fold),<sup>20</sup> variation of the position and nature of  $\mathbb{R}^1$  in the substrate allowed to produce halide-appended benzoxazine-2-ones (**3.1b**, **3.1c**, **3.1d**, **3.1f** and **3.1g**) in up to 65% yield. In some cases, longer reaction times and/or the use of slightly elevated reaction temperatures were needed to improve the yields obtained under the optimized conditions (Table 3.1, entry 12). The phenyl-substituted (**3.1e**, 68%) and benzannulated product **3.1h** (63%) could also be isolated in appreciable yields. *Ortho*-substitution in the arene (**3.1i** and **3.1j**; cf., the NH<sub>2</sub> group in the substrate) was feasible leading to high substrate conversion and good product yields (51–76%).

Next we investigated how the variation of  $\mathbb{R}^2$  influenced benzoxazine-2-one formation (Scheme 3.4). The aryl-functionalized product **3.2a** was generated in a moderate yield of 40%, whereas products with more elaborate alkyl-substituents (**3.2b–3.2h**) gave similar or slightly improved yields within a reasonably narrow range of 34–56%. These results can be expected when at the onset of these transformations the steric requirements of  $\mathbb{R}^2$  plays a key role, in particular when the first step of the overall manifold involves a carboxylative cyclization featuring the propargylic alcohol unit of the substrate. This may explain that the yields for **3.2a** (40%) and **3.2h** (32%) are relatively low compared to the other cases. Notwithstanding, the catalytic procedure does allow to widen the scope of benzoxazine-2-ones to more complex examples including those comprising a potentially useful terminal alkene (**3.2e**) and sterically challenging cycloheptyl (**3.2h**) groups. When the propargylic alcohol unit in the substrate contained heterocycles such as a NH<sub>2</sub>-substituted pyridine or pyrimidine ( $\mathbb{R}^2$ ), no observable conversion was noted. This can be explained by catalyst deactivation through coordination of the *N*-donor atoms to the Ag complex preventing the key alkyne activation step (see Experimental Section for full details).

<sup>&</sup>lt;sup>20</sup> The somewhat lower yield for **3.1a** when scaled up is attributed to the competitive formation of higher amounts of byproducts. For the synthesis of **3.1b** and **3.2h** we have further detailed the analysis of their crudes in the Experimental Section.



Scheme 3.3. Variation of  $R^1$  in the precursors leading to cyclic carbamates 3.1a–3.1j. General: All conversions were measured from the crude reaction mixtures using mesitylene as internal standard. Reported yields are of the isolated, purified products. [a] In this case, the conversion was based on the isolated, unreacted starting material by column purification.



Scheme 3.4 Variation of  $R^2$  in the precursors leading to cyclic carbamates 3.2a-3.2h. See the general comments in the caption to Scheme 3.3.

Lastly, we decided to alter the *N*-groups in the substrate by introducing various acyl substituent (Scheme 3.5). The *N*-acetyl based product **3.3a** was isolated in 53% yield, whereas the presence of more complex acyl groups (**3.3b** and **3.3c**) did not substantially alter the outcome giving the respective products in 53% and 56% yield. However, increasing the size of the *N*-acyl group did require the process to be adjusted to the use of higher reaction temperatures/pressures and reaction times as exemplified by the isolation of **3.3d** (56%, 72 h,
50 °C) and **3.3 e** (84%, 50 bar, 75°C). The adamantyl-based product **3.3f** (62%) also required a significantly higher reaction temperature (75°C) suggesting an important role for the *N*-based pronucleophile during the product formation process.



Scheme 3.5. Variation of R<sup>3</sup> in the precursors leading to cyclic carbamates 3.3a–3.3f. See the general comments in the caption to Scheme 3.3.

With these observations and the ones for the synthesis of **3.3a–3.3f** in mind, we scrutinized the conversion of the adamantyl-based substrate **3.G** in more detail (Scheme 3.6a). When the optimized conditions (Table 3.1, entry 12; r.t.) are used, no observable substrate conversion is noted after 24 h. When the reaction temperature is raised to 50°C, a new product (**3.H**) can be isolated in 79%. Analysis by <sup>1</sup>H NMR and IR spectroscopy strongly suggested the formation of an  $\alpha$ -alkylidene carbonate,<sup>13,21</sup> which could be corroborated by an X-ray crystallographic

<sup>&</sup>lt;sup>21</sup> For a few selected, recent examples of catalytic α-alkylidene carbonate formation processes see: a) A. Cervantes-Reyes, K. Farshadfar, M. Rudolph, F. Rominger, T. Schaub, A. Ariafard, A. S. K. Hashmi,

analysis of this product.<sup>18</sup> Since the desired six-membered cyclic carbamate **3.3f** was produced at 75 °C (Scheme 3.5), we wondered whether **3.H** could be converted to **3.3f** by the Ag catalyst. Treatment of **3.H** at 82 °C in the presence of Ag<sub>2</sub>CO<sub>3</sub>/PPh<sub>3</sub> slowly converted **3.H** into **3.3f** (35% yield). However, repeating the same synthesis in the absence of catalyst provided a rather similar substrate conversion and yield for **3.3f** (41%). This may suggest that the Ag-catalyst is not involved in the second step, but some caution is needed with this hypothesis. The preisolated sample of **3.H** entails a rather different starting point for the Ag catalyst departing from a different reaction mixture speciation. The in situ reaction of the propargylic alcohol into the  $\alpha$ -alkylidene carbonate typically results into an intermediate Ag-alkylidene species<sup>21</sup> that is likely much more reactive than **3.H** towards the formation of **3.3f**.

The envisioned path leading to the benzoxazine-2-one products follows a cascade that first involves five-membered ring carbonate formation and then proceeds towards the final sixmembered cyclic carbamate through in situ attack of the *N*-based pronucleophile on the Agactivated cyclic carbonate, with the size of the *N*-substitution being important for the kinetics involved in this second step (cf., formation of **3.3f**). Notably, the intermolecular ring-opening of an  $\alpha$ -alkylidene carbonate by either primary or secondary *N*-based nucleophiles such as amines typically results into different cyclic or acyclic products, while in the present case the intramolecular process only allows the formation of a six-membered (cyclic) keto-carbamate.<sup>22</sup>

The privileged nature of the used catalyst system (Ag<sub>2</sub>CO<sub>3</sub>/PPh<sub>3</sub>) in the formation of  $\alpha$ alkylidene intermediates was recently described by He and co-workers,<sup>23</sup> with a bifunctional role disclosed for this catalyst activating both the propargylic alcohol and CO<sub>2</sub> simultaneously. The presence of a relatively electron-poor phosphine (PPh<sub>3</sub>) is believed to maximize the alkyne activation potential of the Ag cation. While the second step can be slowed down by increasing the size of the *N*-substituent, the first step should also be susceptible for steric modulation (Scheme 3.6b). As may be expected, when the Me in **3.1Ea** is replaced by a much bulkier *t*Bu group (**3.1**), no reaction was observed even when raising the temperature up to 60 °C. This

*Green Chem.* **2021**, *23*, 889–897; b) Y. Hu, J. Song, C. Xie, H. Wu, T. Jiang, G. Yang, B. Han, *ACS Sustainable Chem. Eng.* **2019**, *7*, 5614–5619; c) S. Dabral, B. Bayarmagnai, M. Hermsen, J. Schießl, V. Mormul, A. S. K. Hashmi, T. Schaub, *Org. Lett.* **2019**, *21*, 1422–1425.

<sup>&</sup>lt;sup>22</sup> See: a) C.-R. Qi, H.-F. Jiang, *Green Chem.* 2007, *9*, 1284–1286; b) B. Jiang, X. Yan, Y. Xu, N. Likhanova, H. Díaz Velázquez, Y. Gong, Y. Yuan, F. Verpoort, *Catalysts* 2022, *12*, 73.

<sup>&</sup>lt;sup>23</sup> Q.-W. Song, W.-Q. Chen, R. Ma, A. Yu, Q.-Y. Li, Y. Chang, L.-N. He, *ChemSusChem* 2015, 8, 821–827.

aligns well with our mechanistic hypothesis of a cascade process that provides a unique 5-to-6 atom ring expansion thereby providing new pharmacores.

The stability of two selected benzoxazine-2-ones (**3.1a** and **3.2e**, Scheme 3.6c) was probed to examine their suitability as synthons in post-modifications. When **3.1a** is treated with NaBH<sub>4</sub>, the resultant alcohol derivative **3.4a** was isolated as a mixture of diastereoisomers (dr = 2:3) in excellent yield (94%) without affecting the carbamate group. A Wittig olefination of **3.1a** provided **3.4b** in 76% yield, and the ketone group in **3.1a** is also easily converted into the hydroxyl-imine product **3.4c** (92%) under mild conditions. Lastly, epoxidation of **3.2e** using *m*CPBA (*meta*-chloro-perbenzoic acid) afforded **3.4d** (dr = 1) in 68% yield, allowing to further extend the molecular complexity of these carbamate scaffolds.



Scheme 3.6. Control experiments (a-b) and synthetic utility of benzoxazine-2-ones 3.1a and 3.2e (c). For more details, see the Experimental Section.

# **3.4** Conclusions

In this chapter, we present a conceptually new cascade route towards the synthesis of functional 1,4-dihydro-2*H*-1,3-benzoxazine-2-one products with an unparalleled scope. The approach takes advantage of a unique Ag-promoted sequence with intermediate formation of an  $\alpha$ -alkylidene carbonate, which is intramolecularly intercepted by an *N*-based nucleophile affording the six-membered carbamate targets. This new process allows to rapidly expand the repertoire of pharma-relevant compounds thereby creating new incentives for drug discovery programs. It may also inspire other types of cascade approaches with reactive cyclic carbonates acting as synthetic intermediates to rapidly build up molecular complexity.

# **3.5 Experimental section**

# **3.5.1 General comments**

Unless otherwise noted, all commercially available reagents and solvents were purchased from Sigma-Aldrich, TCI, Strem Chemicals, ABCR GmbH, Acros Organics or Alfa Aesar and were used without further purification. Solvents were dried using an Innovative Technology PURE SOLV solvent purification system. Carbon dioxide was purchased from PRAXAIR and used without further purification. Reactions were performed in a stainless-steel HEL-multireactor or a 30 mL stainless steel reactor under a CO<sub>2</sub> atmosphere. Products were purified by flash chromatography or by preparative thin-layer chromatography on silica gel. NMR spectra were recorded on Bruker 400 MHz and Bruker 500 MHz at room temperature (25 °C). The residual solvent signals were used as references for <sup>1</sup>H and <sup>13</sup>C spectra [CDCl<sub>3</sub>:  $\delta_{\rm H} = 7.26$  ppm,  $\delta_{\rm C} = 77.16$  ppm, (CD<sub>3</sub>)<sub>2</sub>CO:  $\delta_{\rm H} = 2.05$  ppm,  $\delta_{\rm C} = 29.84$  ppm, CD<sub>3</sub>OD:  $\delta_{\rm H} = 3.31$  ppm,  $\delta_{\rm C} = 49.00$  ppm]. <sup>19</sup>F NMR spectra were obtained with <sup>1</sup>H decoupling unless stated otherwise. FT-IR measurements were carried out on a Bruker Optics FTIR-ATR TR0 spectrometer. Exact mass analyses and X-ray diffraction studies were performed by the Research Support Area (RSA) at ICIQ. Elemental analyses were performed by the Unitat Anàlisi Química i Estructural (departamento de Serveis Tècnica de Recerca) from the University of Girona (Spain).

# **3.5.2** Preliminary results with various types of substrates

By designing various kinds of substrates, we intended to established five-, six- or sevenmembered cyclic carbamates. Four different compounds (from Table 3.2 to Table 3.5) were examined, the experimental and analytical details are provided as below:

**Table 3.2:**<sup>[a]</sup>



<sup>[</sup>a] Reaction conditions: **3.S1** (0.3 mmol), MeCN (0.6 mL), 24 h. [b] Determined by <sup>1</sup>H NMR, using mesitylene as the internal standard. Y stands for yield.

#### **Table 3.3:**<sup>[a]</sup>

	Ph $H$ $Ph$ $H$ $Ph$ $Ligan$ $Ch$ $Ph$ $Ph$ $Ch$	[Ag] d or Additive D <sub>2</sub> , T, P Ph	Ph N Ph	Ph N-Ph	
	3.52 MeO <i>i</i> Pr <i>i</i> Pr <i>i</i> Pr <i>i</i> Pr <i>L</i> 3.1	Ph NH Ph N H H DPG	Ph (		
Entry	Catalyst/[mol%]	Ligand/[mol%]	T/[°C]	<b>Conv.</b> [%] <sup>[b]</sup>	<b>Y3.</b> B [%] <sup>[b]</sup>
1	AgF, 5	L <b>3.1</b> , 5	25	100	95
2	AgI, 5	[TBA][OPh], 5	25	10	trace
3 <sup>[c]</sup>	AgSbF <sub>6</sub> , 5	DPG, 5	25	100	90
4 <sup>[c]</sup>	AgSbF <sub>6</sub> , 5	DPG, 50	25	51	87
5	AgNO <sub>3</sub> , 10	DBU, 100	25	100	89
6	CuI, 10	DBU, 100	40	100	80
7	PdCl <sub>2</sub> , 10	DBU, 100	40	100	98
8	PtCl <sub>2</sub> , 10	DBU, 10	40	100	90

[a] Reaction condition: **3.S2** (0.3 mmol), MeCN (0.6 mL), 10 bar CO<sub>2</sub> atmosphere, 24 h. [b] Determined by <sup>1</sup>H NMR, using mesitylene as the internal standard. [c] Using DCE as solvent, under 1 bar CO<sub>2</sub> atmosphere.

# **Table 3.4:**<sup>[a]</sup>



Entry	Catalyst/[mol%]	Ligand/[mol%]	P/[bar]	T/[°C]	<b>Conv.</b> /[%] <sup>[b]</sup>	<b>Y3.D</b> /[%] <sup>[b]</sup>
1	CuI, 5	_	1	70	100	_
2	CuI, 10	DBU, 100	10	40	67	_
3	PdCl <sub>2</sub> , 10	DBU, 100	10	40	100	_
4	AgNO <sub>3</sub> , 10	DBU, 100	10	40	86	_
5	AgCl, 10	Et <sub>4</sub> NCl, 100	1	60	100	_
6	AgF, 10	L <b>3.1</b> , 10	10	40	100	30
7	AgF, 10	L <b>3.1</b> , 10	1	40	100	28 (29) <sup>[c]</sup>
8	AgF, 5	L3.1, 5	10	40	100	17
9	Ag <sub>2</sub> CO <sub>3</sub> , 4	<b>L3.2</b> , 1	20	25	100	25
10	Ag <sub>2</sub> CO <sub>3</sub> , 4	PPh <sub>3</sub> , 1	20	25	30	-

[a] Reaction conditions: **3.C** (0.3 mmol), MeCN (0.6 mL), 24 h. [b] Determined by <sup>1</sup>H NMR, using mesitylene as the internal standard. [c] Isolated yield.

# **Table 3.5:**<sup>[a]</sup>

	Me NH 3.E	DH Catalyst Ligand or Add 2 CO <sub>2</sub> , T, P	itive	Me O N H 3.1a	Me O		
	MeO <i>i</i> Pr	OMe P(Cy) <sub>2</sub> /Pr L3.1 Pr MeO			L3.2 Ph <sup>P</sup> Ph Me	Me Ph <sup>P</sup> Ph 3.3	
Entry	<b>C.</b> /[mol%]	L/[mol%]	P/[bar]	T/[ºC]	<b>Conv.</b> /[%] <sup>[b]</sup>	$Y_{3.1a}/[\%]^{[b]}$	$Y_{3.F}/[\%]^{[b]}$
1	CuI, 10	DBU, 100	20	25	100	_	_
2	PdCl <sub>2</sub> , 10	DBU, 100	20	25	100	_	_
3	AgNO <sub>3</sub> , 10	DBU, 100	20	25	100	_	_
4	AgCl, 5	Et <sub>4</sub> NCl, 9	1	60	100	_	60
5	AgCl, 5	Et <sub>4</sub> NCl, 9	20	25	71	_	42
6 <sup>[c]</sup>	AgI, 5	[TBA][OPh], 5	20	25	100	_	_
7	AgF, 5	<b>L3.1</b> , 5	1	40	100*	_	_
8	AgF, 5	L3.1, 5	20	25	100**	_	_
9 <sup>[d]</sup>	Ag <sub>2</sub> CO <sub>3</sub> , 10	<b>L3.2</b> , 1	20	25	78	43	_
10 <sup>[e]</sup>	Ag <sub>2</sub> CO <sub>3</sub> , 1.5	PPh <sub>3</sub> , 6	1	25	36	trace	_
11	Ag <sub>2</sub> CO <sub>3</sub> , 5	<b>L3.3</b> , 10	20	60	100	_	_
12 <sup>[f]</sup>	Ag <sub>2</sub> CO <sub>3</sub> , 1.5	PPh <sub>3</sub> , 6	20	25	100	84(82) <sup>[g]</sup>	

 $\cap$ 

Preliminary screening data with substrate 3.E.

[a] Reaction conditions: **3.E** (0.30 mmol), CH<sub>3</sub>CN (0.60 mL), 24 h. [b] Determined by <sup>1</sup>H NMR using mesitylene as an internal standard. [c] Using DMSO as solvent. [d] Using CHCl<sub>3</sub> as solvent. [e] Reaction time was 16 h. [f] 1.0 mmol **3.E** was added. [g] Isolated yield. Y stands for yield, C stands for catalyst, L stands for ligand. \* An unidentified byproduct (~50% NMR yield) was formed, which after silica gel purification was converted into product **3.F** (see the main text). \*\* An unidentified and unstable byproduct (~60% NMR yield) was formed, which after silica gel purification provided a mixture of components.

# **3.5.3 Optimization of the reaction condition**

Table 3.6: Screening data with substrate 3.E, under <u>1 bar</u> CO<sub>2</sub> atmosphere.<sup>[a]</sup>



Entry	Catalyst/[mol%]	Ligand/[mol%]	<b>Conv.</b> [%] <sup>[b]</sup>	Y3.1a/[%] <sup>[b]</sup>	Y3.F/[%] <sup>[b]</sup>
1	Ag <sub>2</sub> CO <sub>3</sub> (1.5 mol%)	_	_	_	_
2	_	PPh <sub>3</sub> (6 mol%)	_	_	_
3	Ag <sub>2</sub> CO <sub>3</sub> (1.5 mol%)	PPh <sub>3</sub> (6 mol%)	42	33	_
4	Ag <sub>2</sub> CO <sub>3</sub> (3 mol%)	PPh <sub>3</sub> (12 mol%)	69	45	_
5	Ag <sub>2</sub> CO <sub>3</sub> (6 mol%)	PPh3 (24 mol%)	92	45	_
6	Ag <sub>2</sub> CO <sub>3</sub> (1.5 mol%)	PPh <sub>3</sub> (3 mol%)	27	29	_
7 <sup>[c]</sup>	Ag <sub>2</sub> CO <sub>3</sub> (3 mol%)	PPh3 (12 mol%)	70		_
8	Ag <sub>2</sub> O (3 mol%)	PPh3 (12 mol%)	80	<10	_
9	AgOAc (6 mol%)	PPh <sub>3</sub> (12 mol%)	100	21	24
10	AgF (6 mol%)	PPh <sub>3</sub> (12 mol%)	100	30	55
11	AgNO <sub>3</sub> (6 mol%)	PPh <sub>3</sub> (12 mol%)	100	_	82
12	AgBF <sub>4</sub> (6 mol%)	PPh <sub>3</sub> (12 mol%)	100	_	67
13	AgF <sub>6</sub> Sb (6 mol%)	PPh <sub>3</sub> (12 mol%)	100	_	49
14	Ag <sub>2</sub> CO <sub>3</sub> (3 mol%)	L3.2 (12 mol%)	100	37	_
15	Ag <sub>2</sub> CO <sub>3</sub> (3 mol%)	L3.3 (12 mol%)	100	_	_
16	Ag <sub>2</sub> CO <sub>3</sub> (3 mol%)	P(OPh)3 (12 mol%)	100	_	73
17	Ag <sub>2</sub> CO <sub>3</sub> (3 mol%)	L3.4 (6 mol%)	100	_	_
18	Ag <sub>2</sub> CO <sub>3</sub> (3 mol%)	<b>L3.1</b> (12 mol%)	100*	_	_

[a] Reaction condition: **3.E** (0.3 mmol), CH<sub>3</sub>CN (0.6 mL), room temperature, 24 h. [b] Determined by <sup>1</sup>H NMR using mesitylene as an internal standard. [c] At 40 °C. \* The same unstable byproduct was formed as discussed for entry 8, Table 3.5 ( $\sim$ 70% NMR yield).





Entry	Catalyst	Ligand	<b>Conv.</b> /[%] <sup>[b]</sup>	Y3.1a/[%] <sup>[b]</sup>	<b>Y3.</b> F/[%] <sup>[b]</sup>
1	Ag <sub>2</sub> CO <sub>3</sub> (1.5 mol%)	PPh <sub>3</sub> (6 mol%)	100	75	_
2	Ag <sub>2</sub> CO <sub>3</sub> (3 mol%)	PPh <sub>3</sub> (12 mol%)	100	77	_
3	AgF (3 mol%)	PPh <sub>3</sub> (6 mol%)	100	33	trace
4	AgOTf (3 mol%)	PPh <sub>3</sub> (6 mol%)	100	_	64
5	AgNO <sub>3</sub> (3 mol%)	PPh <sub>3</sub> (6 mol%)	100	_	73
6	AgBF <sub>4</sub> (3 mol%)	PPh <sub>3</sub> (6 mol%)	10	_	-
7	AgF <sub>6</sub> Sb (3 mol%)	PPh <sub>3</sub> (6 mol%)	100	_	24
8	Ag <sub>2</sub> CO <sub>3</sub> (1.5 mol%)	L3.2 (6 mol%)	100	72	_
9	Ag <sub>2</sub> CO <sub>3</sub> (1.5 mol%)	L3.4 (3 mol%)	63	47	_
10	Ag <sub>2</sub> CO <sub>3</sub> (1.5 mol%)	L3.1 (6 mol%)	100*	_	_
11	Ag <sub>2</sub> CO <sub>3</sub> (1.5 mol%)	L3.3 (6 mol%)	64	47	_
12 <sup>[c]</sup>	Ag <sub>2</sub> CO <sub>3</sub> (1.5 mol%)	PPh3 (6 mol%)	100	70	_
13 <sup>[d]</sup>	Ag <sub>2</sub> CO <sub>3</sub> (1.5 mol%)	PPh3 (6 mol%)	100	_	trace
14 <sup>[e]</sup>	Ag <sub>2</sub> CO <sub>3</sub> (1.5 mol%)	PPh <sub>3</sub> (6 mol%)	100	24	_
15 <sup>[f]</sup>	Ag <sub>2</sub> CO <sub>3</sub> (1.5 mol%)	PPh <sub>3</sub> (6 mol%)	100	_	_
16 <sup>[g]</sup>	Ag2CO3 (1.5 mol%)	PPh3 (6 mol%)	100	84 (82) <sup>[h]</sup>	-
17 <sup>[g]</sup>	Ag <sub>2</sub> CO <sub>3</sub> (3 mol%)	PPh <sub>3</sub> (12 mol%)	100	83	_

[a] Reaction conditions: **3.E** (0.20 mmol), CH<sub>3</sub>CN (0.40 mL), room temperature, 24 h. [b] Determined by <sup>1</sup>H NMR using mesitylene as an internal standard. [c] Using DCM as solvent. [d] Using MeOH as solvent. [e] Using toluene as solvent. [f] Using DMF as solvent. [g] Under 20 bar CO<sub>2</sub> atmosphere. [h] Isolated yield. Y stands for yield. \* An unidentified, unstable byproduct (~45% NMR yield) was formed, which after silica gel purification gave rise to a mixture of components.

# **3.5.4 Experimental procedures and characterization data for the substrates**

The known 1-(2-aminophenyl) propargylic alcohols **3.1Ea**, <sup>24</sup> **3.1Ec**, <sup>25</sup> **3.1Ed**, <sup>24</sup> **3.1Ee**, <sup>24</sup> **3.1Ee**, <sup>24</sup> **3.1Ee**, <sup>24</sup> **3.2Ee**, <sup>24</sup> **3.3Ea**<sup>27</sup> and **3.3Eb**<sup>27</sup> were synthesized as reported in the literature. Below, the preparation of new substrates is detailed.

General Procedure A for synthesis of 1-(2-aminophenyl) propargyl alcohols:



Substrates **3.1Eb**, **3.1Eh**, **3.1Ei**, **3.1Ej**, **3.2Eb** and **3.2Ed-3.2Eh** were prepared according to a literature procedure.<sup>24</sup> In an oven-dried Schleck flask conditioned under a nitrogen atmosphere was introduced the appropriate 1-(2-aminophenyl)ethanone compound (5.0 mmol, 1.0 equiv.) in anhydrous THF (40 mL). The solution was cooled down to 0 °C (ice/water) and ethynyl magnesium bromide (0.5 M in THF, 3.0 equiv, 30 mL) was added dropwise via a syringe. The reaction mixture was allowed to warm to room temperature and further stirred for 16 h. Then, the reaction mixture was quenched with saturated aqueous NH<sub>4</sub>Cl. The organic phase was extracted with EtOAc (3 × 50 mL). All the organic fractions were combined, dried with anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated. The crude products were purified by flash chromatography on silica gel (eluent: hexane/ EtOAc = 6/1 + 0.1% of Et<sub>3</sub>N) to afford the corresponding 1-(2-aminophenyl) propargyl alcohols.

<sup>&</sup>lt;sup>24</sup> Y. K. Kumar, G. R. Kumar, T. J. Reddy, B. Sridhar, M. S. Reddy, *Org. Lett.* **2015**, *17*, 2226–2229.

<sup>&</sup>lt;sup>25</sup> W. Song, M. Li, Y. Zheng, Org. Biomol. Chem. **2019**, *17*, 2663–2669.

<sup>&</sup>lt;sup>26</sup> M. R. Gannarapu, J. Zhou, N. Shibata, *iScience* **2020**, *23*, 100994.

<sup>&</sup>lt;sup>27</sup> J. Chaturvedi, C. Haldar, R. Bisht, G. Pandey, B. Chattopadhyay, J. Am. Chem. Soc. **2021**, 143, 7604–7611.

# 2-(2-Amino-5-fluorophenyl)but-3-yn-2-ol (3.1Eb)

HO NH<sub>2</sub> Me NH<sub>2</sub> Brown solid (535.3 mg, 60% yield). Eluent hexanes/EtOAc = 6/1 + 0.1% of Et<sub>3</sub>N. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.23 – 7.20 (m, 1H), 6.86 – 6.81 (m, 1H), 6.65 – 6.62 (m, 1H), 4.23 (brs, 2H), 2.71 (s, 1H), 1.88 (s, 3 H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  156.5 (d, J = 236.6 Hz), 140.1 (d, J = 2.3 Hz), 130.0 (d, J = 6.0 Hz), 119.36 (d, J = 7.6 Hz), 115.4 (d, J = 22.3 Hz), 113.2 (d, J = 24.5 Hz), 86.5, 73.5, 69.46 (d, J = 1.8 Hz), 28.3; <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>)  $\delta$  -125.38; HRMS (ESI/TOF) m/z Calcd for C<sub>10</sub>H<sub>11</sub>FNO [M + H]<sup>+</sup> 180.0819; Found 180.0817.

#### 2-(3-Aminonaphthalen-2-yl)but-3-yn-2-ol (3.1Eh)

HO Me Brown solid (707.5 mg, 67% yield). Eluent hexanes/EtOAc = 10/1 + 0.1% of Et<sub>3</sub>N. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.96 (s, 1H), 7.74 – 7.71 (m, 1H), 7.60 – 7.57 (m, 1H), 7.40 – 7.36 (m, 1H), 7.28 – 7.24 (m, 1H), 7.05 (s, 1H), 4.57 (brs, 2 H), 3.85 (brs, 1H), 2.77 (s, 1H), 2.03 (s, 3 H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  142.4, 134.5, 131.0, 128.3, 128.0, 126.9, 125.7, 125.3, 123.3, 112.8, 87.1, 73.7, 70.0, 28.6; HRMS (ESI/TOF) *m/z* Calcd for C<sub>14</sub>H<sub>12</sub>N [M - OH]<sup>+</sup> 194.0964; Found 194.0965.

# 2-(2-Amino-3-methylphenyl)but-3-yn-2-ol (3.1Ei)



Yellow solid (498.7 mg, 57% yield). Eluent hexanes/EtOAc = 6/1 + 0.1% of Et<sub>3</sub>N. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.40 – 7.38 (m, 1H), 7.07 – 7.04 (m, 1H), 6.72 – 6.68 (m, 1H), 2.70 (s, 1H), 2.20 (s, 3H), 1.93 (s, 3 H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  142.7, 130.6, 127.2, 124.5, 124.2, 118.0, 87.2, 73.3, 70.4, 28.7,

17.8; **HRMS** (ESI/TOF) m/z Calcd for C<sub>11</sub>H<sub>14</sub>NO [M + H]<sup>+</sup> 176.1070; Found 176.1070.

# 2-(2-Amino-3-methoxyphenyl)but-3-yn-2-ol (3.1Ej)



Brown solid (573.2 mg, 60% yield). Eluent hexanes/EtOAc = 6/1 + 0.1% of Et<sub>3</sub>N. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.13 – 7.11 (m, 1H), 6.80 – 6.71 (m, 2H), 3.86 (s, 3H), 2.68 (s, 1H), 1.91 (s, 3 H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  148.4,

134.2, 128.4, 118.3, 117.8, 110.2, 87.1, 73.0, 69.9, 55.9, 28.4; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>11</sub>H<sub>14</sub>NO<sub>2</sub> [M + H]<sup>+</sup> 192.1019; Found 192.1020.

#### 2-(2-Aminophenyl)-1-phenylbut-3-yn-2-ol (3.2Eb)

HO NH<sub>2</sub> Obtained at 50 °C, yellow oil (579.5 mg, 49% yield). Eluent hexanes/EtOAc = 10/1 + 0.1% of Et<sub>3</sub>N. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.46 – 7.43 (m, 1H), 7.27 – 7.20 (m, 5H), 7.13 – 7.09 (m, 1H), 6.73 – 6.69 (m, 2H), 3.39 (dd, J =41.7, 13.2 Hz, 2H), 2.75 (s, 1H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  144.2, 135.8, 131.2, 129.3, 128.1, 128.0, 127.2, 126.1, 118.5, 118.1, 85.3, 76.6, 74.8, 45.9; HRMS (ESI/TOF) *m/z* Calcd for C<sub>16</sub>H<sub>14</sub>N [M – OH]<sup>+</sup> 220.1121; Found 220.1126.

#### 3-(2-Aminophenyl)non-1-yn-3-ol (3.2Ed)

HO n-hex NH<sub>2</sub> Brown oil (624.7 mg, 54% yield). Eluent hexanes/EtOAc = 6/1 + 0.1% of Et<sub>3</sub>N. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.54 – 7.51 (m, 1H), 7.12 – 7.08 (m, 1H), 6.76 – 6.72 (m, 1H), 6.66 – 6.64 (m, 1H), 2.75 (s, 1H), 2.14 – 2.09 (m, 2H), 1.59 – 1.49 (m, 1 H), 1.39 – 1.27 (m, 7 H), 0.88 – 0.85 (m, 3 H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  144.6, 129.1, 127.7, 126.7, 118.1, 117.9, 86.1. 74.9, 74.8, 39.7, 31.9, 29.4, 24.9, 22.7, 14.2; HRMS (ESI/TOF) *m/z* Calcd for C<sub>15</sub>H<sub>20</sub>N [M – OH]<sup>+</sup> 214.1590; Found 214.1597.

# 3-(2-Aminophenyl)hept-6-en-1-yn-3-ol (3.2Ee)



Brown oil (351.6 mg, 35% yield). Eluent hexanes/EtOAc = 6/1 + 0.1% of Et<sub>3</sub>N. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.54 – 7.52 (m, 1H), 7.13 – 7.09 (m, 1H), 6.77 – 6.73 (m, 1H), 6.67 – 6.65 (m, 1H), 5.90 – 5.80 (m, 1H), 5.08

-4.94 (m, 2 H), 2.78 (s, 1H), 2.40 -2.32 (m, 1 H), 2.25 -2.13 (m, 3 H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) δ 144.5, 138.2, 129.2, 127.7, 126.5, 118.3, 118.0, 114.9, 85.7, 75.4, 74.6, 38.8, 29.4; HRMS (ESI/TOF) *m*/*z* Calcd for C<sub>13</sub>H<sub>16</sub>NO [M + H]<sup>+</sup> 202.1226; Found 202.1225.

#### 1-(2-Aminophenyl)-1-cyclopentylprop-2-yn-1-ol (3.2Ef)



Obtained at 50 °C, yellow oil (516.3 mg, 48% yield). Eluent hexanes/EtOAc = 6/1 + 0.1% of Et<sub>3</sub>N. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.56 – 7.55 (m, 1H), 7.10 – 7.07 (m, 1H), 6.73 – 6.70 (m, 1H), 6.64 – 6.61 (m, 1H), 4.52 (brs, 2 H), 2.99 – 2.93 (m, 2H), 2.72 (s, 1H), 1.88 – 1.76 (m, 2 H), 1.74 – 1.69 (m, 1

H), 1.66 - 1.56 (m, 2 H), 1.52 - 1.47 (m, 1 H), 1.43 - 1.34 (m, 2 H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  144.7, 128.9, 128.4, 126.6, 117.79, 117.76, 84.8, 78.8, 75.7, 46.1, 29.5, 28.2, 26.2, 25.9; **HRMS** (ESI/TOF) *m*/*z* Calcd for C<sub>14</sub>H<sub>18</sub>NO [M + H]<sup>+</sup> 216.1383; Found 216.1380.

#### 1-(2-Aminophenyl)-1-cyclohexylprop-2-yn-1-ol (3.2Eg)



Obtained at 50 °C, yellow solid (583.2 mg, 51% yield). Eluent hexanes/EtOAc = 6/1 + 0.1% of Et<sub>3</sub>N. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.55 – 7.52 (m, 1H), 7.11 – 7.07 (m, 1H), 6.73 – 6.69 (m, 1H), 6.64 – 6.61 (m, 1H), 2.77 (s, 1H), 2.31 – 2.25 (m, 1H), 2.07 – 2.03 (m, 1 H), 1.84 – 1.80 (m, 1 H),

1.68 – 1.63 (m, 2 H), 1.41 – 1.25 (m, 3 H), 1.21 – 1.11 (m, 3 H); <sup>13</sup>**C NMR** (101 MHz, CDCl<sub>3</sub>) δ 144.7, 129.4, 128.9, 125.5, 117.8, 117.5, 84.8, 79.2, 76.2, 43.6, 28.8, 27.2, 26.4, 26.3, 26.2; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>15</sub>H<sub>19</sub>NNaO [M + Na]<sup>+</sup> 252.1359; Found 252.1357.

# 1-(2-Aminophenyl)-1-cycloheptylprop-2-yn-1-ol (3.2Eh)



Obtained at 50 °C, brown oil (461.5 mg, 38% yield). Eluent hexanes/EtOAc = 6/1 + 0.1% of Et<sub>3</sub>N. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.57 – 7.56 (m, 1H), 7.10 – 7.07 (m, 1H), 6.73 – 6.70 (m, 1H), 6.63 – 6.61 (m, 1H), 4.47 (brs, 2 H), 2.76 (brs, 1H), 2.73 (s, 1H), 2.53 – 2.48 (m, 1 H), 2.13

- 2.07 (m, 1 H), 1.84 – 1.78 (m, 1 H), 1.60 – 1.54 (m, 3 H), 1.53 – 1.45 (m, 4 H), 1.39 – 1.30 (m, 3 H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) δ 144.7, 129.4, 128.9, 126.0, 117.8, 117.5, 85.0, 79.3, 75.9, 44.8, 30.6, 28.6, 28.4, 28.0, 27.3, 27.0; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>16</sub>H<sub>20</sub>N [M – OH]<sup>+</sup> 226.1590; Found 226.1592.

#### General Procedure B for synthesis of 1-(2-aminophenyl) propargyl alcohols:



Substrates **3.3Ec-3.3Ef** were prepared according to a literature procedure.<sup>27</sup> In a 50 mL roundbottom flask, the respective 1-(2-aminophenyl) propargyl alcohol (5.0 mmol, 1.0 equiv), 20 mL dry DCM and dry Et<sub>3</sub>N (7.5 mmol, 1.5 equiv) were added. The reaction mixture was cooled down to 0 °C and stirred for 15 minutes. Then, R<sup>3</sup>COCl (6.0 mmol, 1.2 equiv) was added slowly to the reaction mixture and stirred at room temperature overnight (typically 16-18 h). When the starting material was consumed (judged by TLC), the resulting reaction mixture was extracted with DCM ( $3 \times 30$  mL). All the organic fractions were combined, dried with anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated. The crude product were purified by flash chromatography on silica gel (eluent: hexane/EtOAc = 10/1) to afford the corresponding propargylic alcohol.

#### *N*-(2-(2-hydroxybut-3-yn-2-yl)phenyl)cyclopropanecarboxamide (3.3Ec)



Brown solid (617.3 mg, 54% yield). Eluent hexanes/EtOAc = 10/1. <sup>1</sup>H NMR [400 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)] δ 9.96 (brs, 1H), 8.26 – 8.24 (m, 1H), 7.68 – 7.65 (m, 1H), 7.28 – 7.24 (m, 1H), 7.07 – 7.03 (m, 1H), 6.02 (s, 1H), 3.28 (s, 1H), 1.88 (s, 3 H), 1.65 - 1.58 (m, 1H), 0.93 - 0.89 (m, 2H), 0.83 - 0.79 (m, 2H);  ${}^{13}C$ **NMR** [101 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)] δ 171.7, 138.2, 129.0, 127.1, 123.7, 123.3, 87.4, 75.1, 71.1, 31.1, 16.5, 7.6, 7.5; **HRMS** (ESI/TOF) m/z Calcd for C<sub>14</sub>H<sub>15</sub>NNaO<sub>2</sub> [M + Na]<sup>+</sup>

252.0995; Found 252.0995.

#### *N*-(2-(2-hydroxybut-3-yn-2-yl)phenyl)cyclohexanecarboxamide (3.3Ed)



White solid (772.3 mg, 57% yield). Eluent hexanes/EtOAc = 10/1. <sup>1</sup>H NMR [400 MHz, (CD<sub>3</sub>)<sub>2</sub>CO)] δ 9.79 (brs, 1H), 8.32 – 8.30 (m, 1H), 7.66 – 7.63 (m, 1H), 7.29 – 7.25 (m, 1H), 7.06 – 7.02 (m, 1H), 6.01 (s, 1H), 3.27 (s, 1H), 2.30 - 2.23 (m, 1H), 2.01 - 1.95 (m, 2H), 1.87 (s, 3 H), 1.82 - 1.77 (m, 2H), 1.71 -1.65 (m, 1H), 1.56 – 1.46 (m, 2H), 1.41 – 1.19 (m, 3H); <sup>13</sup>C NMR [101 MHz,

(CD<sub>3</sub>)<sub>2</sub>CO)] δ 173.8, 138.3, 132.6, 129.0, 127.0, 123.6, 123.1, 87.4, 75.0, 71.0, 47.5, 31.0, 30.4, 30.3, 26.6, 26.4; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>17</sub>H<sub>21</sub>NNaO<sub>2</sub> [M + Na]<sup>+</sup> 294.1465; Found 294.1461.

#### N-(2-(2-hydroxybut-3-yn-2-yl)phenyl)cycloheptanecarboxamide (3.3Ee)



Brown solid (783.3 mg, 55% yield). Eluent hexanes/EtOAc = 10/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 9.44 (brs, 1H), 8.17 – 8.14 (m, 1H), 7.63 – 7.60 (m, 1H), 7.28 – 7.24 (m, 1H), 7.06 – 7.02 (m, 1H), 4.10 (brs, 1H), 2.71 (s, 1H), 2.39 – 2.32 (m, 1H), 1.99 – 1.93 (m, 2H), 1.86 (s, 3 H), 1.79 – 1.65 (m, 4H), 1.60 – 1.43 (m, 6H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) δ 175.7, 136.6, 131.4, 128.9,

126.4, 123.6, 123.2, 86.2, 74.3, 71.1, 49.1, 31.64, 31.61, 30.5, 28.3, 28.2, 26.69, 26.66; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>18</sub>H<sub>23</sub>NNaO<sub>2</sub> [M + Na]<sup>+</sup> 308.1621; Found 308.1621.

#### (3r,5r,7r)-N-(2-(2-hydroxybut-3-yn-2-yl)phenyl)adamantane-1-carboxamide (3.3Ef)



Yellow solid (904.5 mg, 56% yield). Eluent hexanes/EtOAc = 10/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  9.54 (brs, 1H), 8.24 – 8.21 (m, 1H), 7.64 – 7.62 (m, 1H), 7.30 – 7.26 (m, 1H), 7.06 – 7.02 (m, 1H), 3.61 (brs, 1 H), 2.73 (s, 1H), 2.07 – 2.04 (m, 3H), 1.94 – 1.93 (m, 6H), 1.88 (s, 3H),

 $1.77 - 1.68 \text{ (m, 6H)}; {}^{13}\text{C NMR} (101 \text{ MHz, CDCl}_3) \delta 176.5, 136.7, 131.4, 129.0, 126.4, 123.6, 123.5, 86.1, 74.5, 71.3, 41.8, 39.3, 36.6, 30.3, 28.3;$ **HRMS**(ESI/TOF)*m*/*z*Calcd for C<sub>21</sub>H<sub>25</sub>NNaO<sub>2</sub> [M + Na]<sup>+</sup> 346.1778; Found 346.1779.

# 3.5.5 Procedures and characterization data for the cyclic carbamate products

General Procedure C for the synthesis of the cyclic carbamates:



In a stainless-steel HEL-multireactor, the respective 1-(2-aminophenyl) propargylic alcohols E (0.20 mmol, 1.0 equiv.),  $Ag_2CO_3$  (0.0030 mmol, 1.5 mol%), PPh<sub>3</sub> (0.012 mmol, 6.0 mol%) were dissolved in MeCN (0.30 mL). The reactor was purged three times with CO<sub>2</sub> (20 bar) and then charged with CO<sub>2</sub> (20 bar). The reaction mixture was stirred at room temperature for 24 h. The mixture was then transferred to a round-bottom flask, concentrated and purified by flash column chromatography on silica to afford the corresponding carbamate products. <u>Note</u>: *only the characteristic carbonyl/carbonate IR frequencies are provided in the analytical data descriptions*.

NB: The 5-membered cyclic carbonate **3.H** was also formed according to the **General Procedure C** at 50 °C.

**Scale-up reaction**: In a 30 mL stainless steel reactor, the propargylic alcohol **3.1Ea** (3.0 mmol, 1.0 equiv) was dissolved in MeCN (4.5 mL),  $Ag_2CO_3$  (0.045 mmol, 1.5 mol %) and PPh<sub>3</sub> (0.18 mmol, 6.0 mol%) were added. Three cycles of pressurization and depressurization of the reactor with 20 bar of CO<sub>2</sub> were carried out before finally stabilizing at 20 bar. The reaction mixture was stirred at room temperature for 24 h. Then the mixture was transferred to a round-bottom flask, concentrated and purified by flash column chromatography on silica to afford the corresponding cyclic carbonate product **3.1a** with a 67% isolated yield.

#### 4-Acetyl-4-methyl-1,4-dihydro-2H-benzo[d][1,3]oxazin-2-one (3.1a)



White solid (34.8 mg, 84% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  9.37 (brs, 1H), 7.31 – 7.27 (m, 1H), 7.20 – 7.18 (m, 1H), 7.11 – 7.07 (m, 1H), 6.93 – 6.90 (m, 1H), 2.28 (s, 3H), 1.85 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  203.8, 152.0, 134.5, 130.3, 124.8, 124.1, 119.7, 115.1, 89.0,

25.1, 23.4; **IR (neat, C=O, cm<sup>-1</sup>)**: v = 1712; **HRMS** (ESI/TOF) m/z Calcd for C<sub>11</sub>H<sub>12</sub>NO<sub>3</sub> [M + H]<sup>+</sup> 206.0812; Found 206.0811. This compound was further characterized by X-ray crystallography.

#### 4-Acetyl-6-fluoro-4-methyl-1,4-dihydro-2*H*-benzo[d][1,3]oxazin-2-one (3.1b)



Brown solid (20.0 mg, 45% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR [400 MHz, (CD<sub>3</sub>)<sub>2</sub>CO]  $\delta$  9.28 (brs, 1H), 7.26 – 7.24 (m, 1H), 7.16 – 7.12 (m, 1H), 7.04 – 7.01 (m, 1H), 2.23 (s, 3H), 1.86 (s, 3H); <sup>13</sup>C NMR [101 MHz, (CD<sub>3</sub>)<sub>2</sub>CO]  $\delta$  203.8, 159.5 (d, *J* = 240.0 Hz), 150.5, 133.3 (d, *J* = 2.4

Hz), 123.0 (d, J = 7.4 Hz), 117.4 (d, J = 23.1 Hz), 116.6 (d, J = 8.2 Hz), 113.1 (d, J = 25.3 Hz), 88.1 (d, J = 1.8 Hz), 24.6, 22.6; <sup>19</sup>F NMR [376 MHz, (CD<sub>3</sub>)<sub>2</sub>CO]  $\delta$  -121.42; IR (neat, C=O, cm<sup>-1</sup>): v = 1715; HRMS (ESI/TOF) *m*/*z* Calcd for C<sub>11</sub>H<sub>11</sub>FNO<sub>3</sub> [M + H]<sup>+</sup> 224.0717; Found 224.0717.

# 4-Acetyl-6-chloro-4-methyl-1,4-dihydro-2*H*-benzo[d][1,3]oxazin-2-one (3.1c)



White solid (27.7 mg, 58% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR [400 MHz, (CD<sub>3</sub>)<sub>2</sub>CO]  $\delta$  9.36 (brs, 1H), 7.46 (d, J = 2.3 Hz, 1H), 7.36 (dd, J = 8.5, 2.3 Hz, 1H), 7.02 (d, J = 8.5 Hz, 1H), 2.24 (s, 3H), 1.88 (s, 3H); <sup>13</sup>C NMR [101 MHz, (CD<sub>3</sub>)<sub>2</sub>CO]  $\delta$  203.8, 150.3, 135.9, 130.7, 128.4,

126.0, 123.2, 116.8, 88.2, 24.6, 22.6; **IR (neat, C=O, cm<sup>-1</sup>)**: v = 1724; **HRMS** (ESI/TOF) m/zCalcd for C<sub>11</sub>H<sub>10</sub>ClNNaO<sub>3</sub> [M + Na]<sup>+</sup> 262.0241; Found 262.0246. Elemental analysis (duplicate): Calcd. for C<sub>11</sub>H<sub>10</sub>ClNO<sub>3</sub>: C 55.13, H 4.21, N 5.84; Found: C 54.73/54.93, H 3.80/3.76, N 5.89/6.13.

#### 4-Acetyl-6-bromo-4-methyl-1,4-dihydro-2*H*-benzo[d][1,3]oxazin-2-one (3.1d)



White solid (39.3 mg, 69% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR [400 MHz, (CD<sub>3</sub>)<sub>2</sub>CO]  $\delta$  9.37 (brs, 1H), 7.59 – 7.58 (m, 1H), 7.51 – 7.48 (m, 1H), 6.98 – 6.96 (m, 1H), 2.24 (s, 3H), 1.88 (s, 3H); <sup>13</sup>C NMR [101 MHz, (CD<sub>3</sub>)<sub>2</sub>CO]  $\delta$  203.8, 150.2, 136.3, 133.7, 128.8, 123.5, 117.2, 115.6,

88.1, 24.6, 22.6; **IR** (neat, C=O, cm<sup>-1</sup>): v = 1720; **HRMS** (ESI/TOF) m/z Calcd for C<sub>11</sub>H<sub>10</sub>BrNNaO<sub>3</sub> [M + Na]<sup>+</sup> 305.9736; Found 305.9737.

# 4-Acetyl-4-methyl-6-phenyl-1,4-dihydro-2H-benzo[d][1,3]oxazin-2-one (3.1e)



Obtained at 60 °C, yellow solid (38.6 mg, 68% yield; 59% yield, at 50 °C). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR [400 MHz, (CD<sub>3</sub>)<sub>2</sub>CO]  $\delta$  9.32 (brs, 1H), 7.69 – 7.63 (m, 4H), 7.48 – 7.43 (m, 2H), 7.37 – 7.33 (m, 1H), 7.10 (d, *J* = 8.7 Hz, 1H), 2.25 (s, 3H), 1.95 (s, 3H); <sup>13</sup>C NMR [101 MHz,

 $(CD_3)_2CO] \delta 203.9, 150.5, 140.9, 137.0, 136.3, 129.8, 129.4, 128.1, 127.5, 124.4, 121.8, 115.7, 88.7, 24.6, 22.7;$ **IR**(**neat, C=O, cm<sup>-1</sup>**): <math>v = 1737, 1721; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>17</sub>H<sub>15</sub>NNaO<sub>3</sub> [M + Na]<sup>+</sup> 304.0944; Found 304.0953.

# 4-Acetyl-7-chloro-4-methyl-1,4-dihydro-2H-benzo[d][1,3]oxazin-2-one (3.1f)



Obtained at 50 °C, brown solid (29.3 mg, 63% yield; 30% yield at room temperature). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  9.66 (brs, 1H), 7.15 – 7.13 (m, 1H), 7.07 – 7.05 (m, 1H), 6.96 – 6.95 (m, 1H), 2.28 (s, 3H), 1.84 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  203.5,

152.0, 136.2, 135.6, 126.1, 124.2, 118.2, 115.3, 88.7, 25.0, 23.4; **IR** (**neat, C=O, cm<sup>-1</sup>**): v = 1717; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>11</sub>H<sub>10</sub>ClNNaO<sub>3</sub> [M + Na]<sup>+</sup> 262.0241; Found 262.0233.

# 4-Acetyl-4-methyl-7-(trifluoromethyl)-1,4-dihydro-2*H*-benzo[d][1,3]oxazin-2-one (3.1g)



Obtained at 50 °C, white solid (33.3 mg, 60% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  9.85 (brs, 1H), 7.36 – 7.35 (m, 2H), 7.18 (s, 1H), 2.31 (s, 3H), 1.89 (s, 3H); <sup>13</sup>C NMR (101

MHz, CDCl<sub>3</sub>)  $\delta$  203.3, 151.8, 135.1, 132.8 (q, J = 33.2 Hz), 125.7, 123.35 (q, J = 272.5 Hz), 123.31, 120.8 (q, J = 3.8 Hz), 112.2 (q, J = 3.9 Hz), 88.8, 25.1, 23.4; <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>)  $\delta$  -63.14; **IR (neat, C=O, cm<sup>-1</sup>)**: v = 1723; **HRMS** (ESI/TOF) *m*/*z* Calcd for C<sub>12</sub>H<sub>10</sub>F<sub>3</sub>NNaO<sub>3</sub> [M + Na]<sup>+</sup> 296.0505; Found 296.0510. Elemental analysis (duplicate): Calcd. for C<sub>12</sub>H<sub>10</sub>F<sub>3</sub>NO<sub>3</sub>: C 52.75, H 3.69, N 5.13; Found: C 52.77/53.13, H 3.44/3.50, N 5.03/5.16.

#### 4-Acetyl-4-methyl-1,4-dihydro-2H-naphtho[2,3-d][1,3]oxazin-2-one (3.1h)



White solid (32.1 mg, 63% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR [400 MHz, (CD<sub>3</sub>)<sub>2</sub>CO]  $\delta$  8.02 (s, 1H), 7.94 – 7.91 (m, 1H), 7.80 – 7.78 (m, 1H), 7.52 – 7.48 (m, 1H), 7.44 – 7.39 (m, 2H), 2.24 (s, 3H), 1.98 (s, 3H); <sup>13</sup>C NMR [101 MHz, (CD<sub>3</sub>)<sub>2</sub>CO]  $\delta$  203.9, 150.8, 135.1, 134.7, 130.9,

129.2, 128.3, 127.5, 126.1, 125.7, 122.8, 110.5, 88.6, 24.4, 22.9; **IR** (neat, C=O, cm<sup>-1</sup>): v = 1719; **HRMS** (ESI/TOF) *m*/*z* Calcd for C<sub>15</sub>H<sub>14</sub>NO<sub>3</sub> [M + H]<sup>+</sup> 256.0968; Found 256.0966.

#### 4-Acetyl-4,8-dimethyl-1,4-dihydro-2H-benzo[d][1,3]oxazin-2-one (3.1i)



White solid (22.5 mg, 51% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  8.33 (brs, 1H), 7.16 – 7.14 (m, 1H), 7.05 – 6.98 (m, 2H), 2.31 (s, 3H), 2.26 (s, 3H), 1.84 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  203.8, 151.4, 133.0, 131.6, 123.6, 123.3, 122.5, 119.8, 88.7, 25.0, 23.2, 16.8; IR (neat, C=O, cm<sup>-1</sup>): v = 1726, 1715; HRMS (ESI/TOF) *m/z* Calcd for C<sub>12</sub>H<sub>14</sub>NO<sub>3</sub> [M + H]<sup>+</sup>

220.0968; Found 220.0969. Elemental analysis (duplicate): Calcd. for C<sub>12</sub>H<sub>13</sub>NO<sub>3</sub>: C 65.74, H 5.98, N 6.39; Found: C 65.92/66.15, H 5.77/5.86, N 6.42/6.51.

# 4-Acetyl-8-methoxy-4-methyl-1,4-dihydro-2H-benzo[d][1,3]oxazin-2-one (3.1j)



Brown solid (36.2 mg, 76% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.53 (brs, 1H), 7.04 – 7.00 (m, 1H), 6.86 – 6.76 (m, 1H), 6.78 – 6.76 (m, 1H), 3.88 (s, 3H), 2.26 (s, 3H), 1.80 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  204.0, 149.8, 145.4, 124.0, 123.7, 120.2, 116.4, 111.1, 88.9,

56.1, 25.2, 23.4; **IR (neat, C=O, cm<sup>-1</sup>)**: v = 1736, 1715; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>12</sub>H<sub>14</sub>NO<sub>4</sub> [M + H]<sup>+</sup> 236.0917; Found 236.0927.

# 4-Acetyl-4-(4-chlorophenyl)-1,4-dihydro-2H-benzo[d][1,3]oxazin-2-one (3.2a)



White solid (23.2 mg, 40% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  9.07 (brs, 1H), 7.39 – 7.31 (m, 3H), 7.23 – 7.19 (m, 3H), 7.16 – 7.12 (m, 1H), 6.96 – 6.94 (m, 1H), 2.45 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  203.2, 151.7, 135.6, 135.5, 135.1, 130.6, 129.0, 128.5, 126.2, 123.9, 118.7, 115.5, 91.0, 26.8; **IR (neat, C=O, cm<sup>-1</sup>)**: v = 1717; **HRMS** 

(ESI/TOF) m/z Calcd for C<sub>16</sub>H<sub>12</sub>ClNNaO<sub>3</sub> [M + Na]<sup>+</sup> 324.0398; Found 324.0396. Elemental analysis (duplicate): Calcd. for C<sub>16</sub>H<sub>12</sub>ClNO<sub>3</sub>: C 63.69, H 4.01, N 4.64; Found: C 63.54/63.45, H 4.24/4.36, N 4.53/4.60.

# 4-Acetyl-4-benzyl-1,4-dihydro-2*H*-benzo[d][1,3]oxazin-2-one (3.2b)



Obtained at 50 °C, white solid (21.3 mg, 39% yield; 19% yield at room temperature). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  8.56 (s, 1H), 7.31 – 7.24 (m, 2H), 7.17 – 7.08 (m, 4H), 7.02 – 7.00 (m, 2H), 6.72 – 6.70 (m, 1H), 3.40 (dd, J = 18.3, 14.2 Hz, 2H), 2.26 (s, 3H); <sup>13</sup>C NMR

 $(101 \text{ MHz}, \text{CDCl}_3) \delta 204.7, 150.5, 134.8, 133.7, 130.8, 130.2, 128.3, 127.4, 125.0, 123.9, 117.1, 114.9, 91.9, 44.4, 26.7;$ **IR**(**neat, C=O, cm**<sup>-1</sup>): <math>v = 1714; **HRMS** (ESI/TOF) *m*/*z* Calcd for C<sub>17</sub>H<sub>15</sub>NNaO<sub>3</sub> [M + Na]<sup>+</sup> 304.0944; Found 304.0943.

# 4-Acetyl-4-ethyl-1,4-dihydro-2H-benzo[d][1,3]oxazin-2-one (3.2c)



Brown solid (21.2 mg, 48% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  9.43 (brs, 1H), 7.29 – 7.25 (m, 1H), 7.20 – 7.19 (m, 1H), 7.10 – 7.06 (m, 1H), 6.92 – 6.90 (m, 1H), 2.27 – 2.22 (m, 5H), 1.00 (t, *J* = 7.3 Hz, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  204.2, 152.1, 135.0, 130.1, 125.1,

124.0, 117.9, 115.1, 92.4, 30.0, 25.8, 7.5; **IR** (**neat, C=O, cm**<sup>-1</sup>): v = 1715; **HRMS** (ESI/TOF) m/z Calcd for C<sub>12</sub>H<sub>14</sub>NO<sub>3</sub> [M + H]<sup>+</sup> 220.0968; Found 220.0966.

# 4-Acetyl-4-hexyl-1,4-dihydro-2H-benzo[d][1,3]oxazin-2-one (3.2d)



130.1, 125.1, 124.0, 118.1, 115.2, 92.1, 36.9, 31.6, 29.3, 25.8, 23.0, 22.6, 14.1; **IR** (neat, C=O, cm<sup>-1</sup>): v = 1712; **HRMS** (ESI/TOF) *m*/*z* Calcd for C<sub>16</sub>H<sub>22</sub>NO<sub>3</sub> [M + H]<sup>+</sup> 276.1594; Found 276.1592.

#### 4-Acetyl-4-(but-3-en-1-yl)-1,4-dihydro-2H-benzo[d][1,3]oxazin-2-one (3.2e)



Brown solid (25.4 mg, 52% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  9.41 (s, 1H), 7.30 – 7.26 (m, 1H), 7.21 – 7.19 (m, 1H), 7.11 – 7.06 (m, 1H), 6.92 – 6.90 (m, 1H), 5.83 – 5.73 (m, 1H), 5.06 – 4.96 (m, 2H), 2.33 – 2.26 (m, 5H), 2.24 – 2.13 (m, 2H); <sup>13</sup>C NMR (101

MHz, CDCl<sub>3</sub>) δ 204.0, 151.8, 136.9, 134.8, 130.3, 125.1, 124.1, 117.8, 115.8, 115.2, 91.7, 36.1, 27.4, 25.8; **IR** (**neat, C=O, cm<sup>-1</sup>**): *v* = 1719; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>14</sub>H<sub>15</sub>NNaO<sub>3</sub> [M + Na]<sup>+</sup> 268.0944; Found 268.0945.

# 4-Acetyl-4-cyclopentyl-1,4-dihydro-2H-benzo[d][1,3]oxazin-2-one (3.2f)



Obtained at 50 °C, white solid (22.2 mg, 44% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>**H NMR** [400 MHz, (CD<sub>3</sub>)<sub>2</sub>CO]  $\delta$  9.15 (brs, 1H), 7.51 – 7.49 (m, 1H), 7.33 – 7.29 (m, 1H), 7.12 – 7.08 (m, 1H), 6.98 – 6.96 (m, 1H), 3.31 – 3.23 (m, 1H), 2.16 (s, 3H), 1.74 – 1.53 (m, 7H), 1.48 – 1.41 (m, 1H); <sup>13</sup>C NMR

[101 MHz, (CD<sub>3</sub>)<sub>2</sub>CO]  $\delta$  203.5, 150.7, 137.6, 130.6, 126.7, 123.8, 119.6, 115.1, 93.0, 44.5, 27.6, 26.9, 26.43, 26.35, 25.2; **IR** (**neat, C=O, cm<sup>-1</sup>**): v = 1718; **HRMS** (ESI/TOF) *m*/*z* Calcd for C<sub>15</sub>H<sub>18</sub>NO<sub>3</sub> [M + H]<sup>+</sup> 260.1281; Found 260.1272.

# 4-Acetyl-4-cyclohexyl-1,4-dihydro-2*H*-benzo[d][1,3]oxazin-2-one (3.2g)



Obtained at 50 °C, white solid (29.2 mg, 53% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR [400 MHz, (CD<sub>3</sub>)<sub>2</sub>CO]  $\delta$  9.18 (brs, 1H), 7.44 – 7.42 (m, 1H), 7.32 – 7.28 (m, 1H), 7.12 – 7.08 (m, 1H), 6.98 – 6.96 (m, 1H), 2.63 – 2.55 (m, 1H), 2.17 (s, 3H), 1.82 – 1.66 (m, 3H), 1.58 – 1.47 (m, 3H), 1.40 – 1.28

(m, 2H), 1.23 - 1.13 (m, 2H); <sup>13</sup>C NMR [101 MHz, (CD<sub>3</sub>)<sub>2</sub>CO]  $\delta$  204.4, 150.3, 137.8, 130.5, 126.5, 123.8, 118.0, 115.3, 94.4, 44.0, 27.1, 26.87, 26.85, 26.84, 26.81, 25.7; **IR (neat, C=O, cm<sup>-1</sup>)**: v = 1721; **HRMS** (ESI/TOF) *m*/*z* Calcd for C<sub>16</sub>H<sub>19</sub>NNaO<sub>3</sub> [M + Na]<sup>+</sup> 296.1257; Found 296.1253.

# 4-Acetyl-4-cycloheptyl-1,4-dihydro-2H-benzo[d][1,3]oxazin-2-one (3.2h)



Obtained at 60 °C, yellow solid (20.2 mg, 34% yield; 32% yield, at 50 °C). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.27 – 7.22 (m, 2H), 7.09 – 7.05 (m, 1H), 6.90 – 6.87 (m, 1H), 2.65 – 2.59 (m, 1H), 2.24 (s, 3H), 1.78 – 1.66 (m, 3H), 1.61 – 1.46 (m, 7H), 1.44 – 1.34 (m, 2H); <sup>13</sup>C

**NMR** (101 MHz, CDCl<sub>3</sub>)  $\delta$  204.7, 152.0, 135.4, 130.0, 125.4, 124.0, 117.6, 115.1, 96.7, 45.4, 28.6, 28.2, 28.1, 27.9, 27.3, 27.2, 26.4; **IR** (**neat, C=O, cm<sup>-1</sup>**): v = 1720; **HRMS** (ESI/TOF) m/z Calcd for C<sub>17</sub>H<sub>22</sub>NO<sub>3</sub> [M + H]<sup>+</sup> 288.1594; Found 288.1598.

# <u>1,1'-(4-Methyl-2-oxo-2H-benzo[d][1,3]oxazine-1,4(4H)-diyl)bis(ethan-1-one) (3.3a)</u>



Yellow solid (25.9 mg, 53% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.76 – 7.73 (m, 1H), 7.47 – 7.43 (m, 2H), 7.37 – 7.33 (m, 1H), 2.65 (s, 3H), 2.10 (s, 3H), 1.94 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$ 200.8, 170.3, 151.7, 133.9, 129.8, 127.6, 127.0, 124.8, 123.8, 87.5, 25.8, 23.9, 20.4; **IR (neat, C=O, cm<sup>-1</sup>)**: v = 1754, 1718, 1703; **HRMS** (ESI/TOF) m/z

Calcd for  $C_{13}H_{14}NO_4 [M + H]^+ 248.0917$ ; Found 248.0916.

# 4-Acetyl-4-methyl-1-(pent-4-enoyl)-1,4-dihydro-2*H*-benzo[d][1,3]oxazin-2-one (3.3b)



Yellow oil (30.7 mg, 53% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.74 – 7.71 (m, 1H), 7.46 – 7.42 (m, 2H), 7.36 – 7.32 (m, 1H), 5.93 – 5.83 (m, 1H), 5.13 – 4.99 (m, 2H), 3.35 – 3.27 (m, 1H), 3.07 – 2.98 (m, 1H), 2.53 – 2.41 (m, 2H), 2.10 (s, 3H), 1.93 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  200.9, 172.7, 151.4, 137.0, 134.0, 129.7, 127.6, 126.9,

124.7, 123.8, 115.6, 87.5, 36.5, 28.7, 23.9, 20.4; **IR** (neat, C=O, cm<sup>-1</sup>): v = 1755, 1716; **HRMS** (ESI/TOF) m/z Calcd for C<sub>16</sub>H<sub>17</sub>NNaO<sub>4</sub> [M + Na]<sup>+</sup> 310.1050; Found 310.1062.

# <u>4-Acetyl-1-(cyclopropanecarbonyl)-4-methyl-1,4-dihydro-2*H*-benzo[d][1,3]oxazin-2-one (3.3c)</u>



Brown solid (30.0 mg, 56% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.80 – 7.77 (m, 1H), 7.44 – 7.39 (m, 2H), 7.34 – 7.30 (m, 1H), 2.83 – 2.77 (m, 1H), 2.13 (s, 3H), 1.94 (s, 3H), 1.22 – 1.10 (m, 3H), 1.04 – 0.99 (m, 1H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) δ 201.1, 174.8, 151.6, 134.4, 129.8, 127.0, 126.6, 123.9, 123.7, 87.6, 24.0, 20.7, 15.6, 11.7, 11.3; **IR (neat,** 

**C=O, cm<sup>-1</sup>**): *v* = 1718; **HRMS** (ESI/TOF) *m*/*z* Calcd for C<sub>15</sub>H<sub>15</sub>NNaO<sub>4</sub> [M + Na]<sup>+</sup> 296.0893; Found 296.0904.

# <u>4-Acetyl-1-(cyclohexanecarbonyl)-4-methyl-1,4-dihydro-2*H*-benzo[d][1,3]oxazin-2-one (3.3d)</u>



Obtained at 50 °C, 72 h reaction time, yellow solid (35.8 mg, 56% yield; 49% yield at 50 °C, 24 h). Eluent hexanes/EtOAc = 3/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.68 – 7.65 (m, 1H), 7.44 – 7.39 (m, 2H), 7.33 – 7.29 (m, 1H), 3.41 – 3.36 (m, 1H), 2.23 – 2.20 (m, 1H), 2.11 (s, 3H), 1.92 (s, 3H), 1.85 – 1.70 (m, 4H), 1.55 – 1.36 (m, 4H), 1.31 – 1.23 (m, 1H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  201.0, 177.4, 151.0, 134.4, 129.8, 127.2, 126.6, 123.9, 123.8, 87.5, 45.1. 30.2, 29.5,

25.9, 25.7, 25.6, 24.1, 20.7; **IR** (**neat, C=O, cm<sup>-1</sup>**): v = 1764, 1716, 1700; **HRMS** (ESI/TOF) m/z Calcd for C<sub>18</sub>H<sub>21</sub>NNaO<sub>4</sub> [M + Na]<sup>+</sup> 338.1363; Found 338.1375.

# <u>4-Acetyl-1-(cycloheptanecarbonyl)-4-methyl-1,4-dihydro-2*H*-benzo[d][1,3]oxazin-2-one (3.3e)</u>



Obtained at 75 °C, 50 bar CO<sub>2</sub> pressure, white solid (55.8 mg, 84% yield; 42% yield at 75 °C, 20 bar CO<sub>2</sub> pressure). Eluent hexanes/EtOAc = 3/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.68 – 7.66 (m, 1H), 7.44 – 7.39 (m, 2H), 7.33 – 7.29 (m, 1H), 3.55 – 3.48 (m, 1H), 2.24 – 2.18 (m, 1H), 2.11 (s, 3H), 1.92 (s, 3H), 1.86 – 1.75 (m, 3H), 1.71 – 1.54 (m, 8H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  201.1, 178.5, 151.1, 134.5, 129.8, 127.1, 126.6, 123.9, 123.6, 87.4, 46.1, 32.0,

31.5, 28.31, 28.26, 26.8, 26.5, 24.1, 20.7; **IR** (neat, C=O, cm<sup>-1</sup>): v = 1757, 1715; **HRMS** (ESI/TOF) m/z Calcd for C<sub>19</sub>H<sub>23</sub>NNaO<sub>4</sub> [M + Na]<sup>+</sup> 352.1519; Found 352.1523.

# 4-Acetyl-1-((3r,5r,7r)-adamantane-1-carbonyl)-4-methyl-1,4-dihydro-2H-

# benzo[d][1,3]oxazin-2-one (3.3f)



Obtained at 75 °C, colorless solid (45.4 mg, 62% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.32 – 7.25 (m, 2H), 7.18 – 7.14 (m, 1H), 6.72 – 6.70 (m, 1H), 2.27 (s, 3H), 2.06 – 1.98 (m, 8H), 1.89 (s, 3H), 1.78 – 1.67 (m, 7H); <sup>13</sup>C NMR (101 MHz,

CDCl<sub>3</sub>)  $\delta$  203.1, 183.3, 149.4, 134.9, 130.2, 125.1, 124.5, 121.1, 114.5, 87.9, 47.4, 38.6, 36.3, 27.9, 24.8, 22.7; **IR** (**neat, C=O, cm<sup>-1</sup>**): v = 1726; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>22</sub>H<sub>25</sub>NNaO<sub>4</sub> [M + Na]<sup>+</sup> 390.1676; Found 390.1672.

# (3r,5r,7r)-*N*-(2-(4-methyl-5-methylene-2-oxo-1,3-dioxolan-4-yl)phenyl)adamantane-1carboxamide (3.H)



White solid (58.1 mg, 79% yield). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>**H** NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  8.00 (brs, 1H), 7.90 – 7.88 (m, 1H), 7.53 – 7.51 (m, 1H), 7.43 – 7.38 (m, 1H), 7.17 – 7.13 (m, 1H), 5.20 (d, *J* = 4.1 Hz, 1H), 4.60 (d, *J* = 4.1 Hz, 1H), 2.12 – 2.09 (m, 3H), 1.99 – 1.98 (m,

6H), 1.95 (s, 3H), 1.80 – 1.72 (m, 6H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) δ 176.4, 155.3, 150.3, 136.4, 130.6, 128.8, 127.6, 125.5, 125.1, 91.3, 88.7, 41.7, 39.2, 36.5, 28.2, 26.5; **IR** (**neat, C=O**,

cm<sup>-1</sup>): v = 1824, 1811; HRMS (ESI/TOF) m/z Calcd for C<sub>22</sub>H<sub>26</sub>NO<sub>4</sub> [M + H]<sup>+</sup> 368.1856; Found 368.1852. This compound was further characterized by X-ray crystallography.

<u>Note</u>: This 5-membered cyclic carbonate (**3.H**) was the only product isolated while attempting the synthesis of cyclic carbamate **3.3f**.

#### Analytical data for the non-targeted products

#### <u>1,3-Diphenyl-1*H*-pyrrole (3.A)</u>

Ph Yellow solid (52.9 mg, 98% yield, 0.3 mmol scale). Eluent hexanes/EtOAc = 100/1. <sup>N</sup> <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.60 – 7.57 (m, 2H), 7.46 – 7.44 (m, 4H), 7.40 – 7.35 (m, 3H), 7.29 – 7.25 (m, 1H), 7.23 – 7.19 (m, 1H), 7.14 – 7.12 (m, 1H), 6.67 – 6.66 (m, 1H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  140.7, 135.5, 129.8, 128.8, 127.1, 125.99, 125.95, 125.4, 120.52, 120.48, 116.0, 108.9.

Note: product 3.A was isolated using the conditions reported in Table 3.2, entry 2.<sup>28</sup>

#### 1,2,4-Triphenyl-1*H*-pyrrole (3.B)

Ph White solid (86.5 mg, 98% yield, 0.3 mmol scale). Eluent hexanes/EtOAc = N Ph 100/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.62 – 7.60 (m, 2H), 7.40 – 7.29 (m, 5H), 7.26 – 7.19 (m, 9H), 6.76 (d, J = 2.0 Hz, 1H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$ 140.5, 135.3, 134.9, 132.9, 129.2, 128.9, 128.5, 128.3, 126.9, 126.7, 126.0, 125.8, 125.7, 125.3, 121.0, 108.9.

Note: product **3.B** was isolated using the conditions reported in Table 3.3, entry 7.<sup>29</sup>

# 2,2'-Dimethyl-[2,2'-biindoline]-3,3'-dione (3.D)



Yellow solid (12.5 mg, 29% yield, 0.3 mmol scale). Eluent hexanes/EtOAc = 3/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.62 – 7.59 (m, 2H), 7.51 – 7.47 (m, 2H), 6.95 – 6.92 (m, 2H), 6.82 – 6.78 (m, 2H), 6.07 (brs, 2H), 1.14 (s, 6H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  204.3,

161.1, 138.3, 124.9, 120.0, 118.7, 112.4, 68.7, 18.4. This compound was further characterized by X-ray crystallography.

<u>Note</u>: product **3.D** was isolated using the conditions reported in Table 3.4, entry 7.<sup>30</sup>

<sup>&</sup>lt;sup>28</sup> A. Bunrit, S. Sawadjoon, S. Tšupova, P. J. R. Sjöberg, J. S. M. Samec, J. Org. Chem. 2016, 81, 1450– 1460.

<sup>&</sup>lt;sup>29</sup> B. B. Thompson, J. Montgomery, Org. Lett. **2011**, 13, 3289–3291.

<sup>&</sup>lt;sup>30</sup> X. Jiang, B. Zhu, C. Yu, Org. Biomol. Chem. **2019**, *17*, 2199–2203.

#### Coupling product from two molecules of 3-hydroxy-2,3-dimethylindolenine (3.F)



Yellow solid (39.4 mg, 82% yield, 0.3 mmol scale). Eluent hexanes/EtOAc = 3/1. <sup>1</sup>**H NMR** (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.24 – 7.15 (m, 3H), 6.99 – 6.94 (m, 1H), 6.78 – 6.71 (m, 3H), 6.40 – 6.38 (m, 1H), 5.02 (brs, 1H), 3.88 (brs, 1H), 3.22 (brs, 1H), 2.42 (dd, J = 15.1, 13.3

Hz, 2H), 1.52 (s, 3H), 1.41 (s, 3H), 1.33 (s, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) δ 149.0, 146.8, 134.2, 131.4, 130.0, 128.5, 124.4, 122.7, 119.5, 119.4, 110.5, 109.8, 105.9, 91.7, 78.7, 74.3, 42.2, 24.3, 22.0, 19.9. This compound was further characterized by X-ray crystallography.

Note: product **3.F** was isolated using the condition reported in Table 3.6, entry 11.<sup>31</sup>

<sup>&</sup>lt;sup>31</sup> V. Dave, E. W. Warnhoff, Canadian Journal of Chemistry, 1971, 49(11), 1911–1920.

# **3.5.6** Experimental procedures for the product diversification



To a stirred solution of **3.1a** (0.20 mmol, 1.0 equiv) in a 4:1 mixture of tetrahydrofuran and methanol (1 mL) was added NaBH<sub>4</sub> (0.22 mmol, 1.1 equiv) under an argon atmosphere at 0 °C. Then the reaction mixture was stirred for 1 h. When the starting material had disappeared (judged by TLC), the solvent was removed by evaporation and the residue was quenched by addition of a saturated ammonium chloride (5 mL) solution. Ethyl acetate (5 mL) was added to it and the aqueous layer was separated. Further extraction was carried out of the aqueous layer with ethyl acetate ( $3 \times 5$  mL). The combined organic layer was dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated in *vacuo*. The crude product **3.4a**.

#### 4-(1-Hydroxyethyl)-4-methyl-1,4-dihydro-2*H*-benzo[d][1,3]oxazin-2-one (3.4a)



White solid (40.3 mg, 94% yield, 3:2 *dr*). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>**H** NMR (400 MHz, CD<sub>3</sub>OD)  $\delta$  7.28 – 7.17 (m, 2H), 7.06 – 7.03 (m, 1H), 6.86 – 6.82 (m, 1H), 3.98 – 3.91 (m, 1H), 1.67 (s, 1.8H), 1.65 (s, 1.2H), 1.17 (t, *J* = 6.6 Hz, 3H); <sup>13</sup>C NMR (101 MHz, CD<sub>3</sub>OD)  $\delta$  154.0, 153.8, 136.44, 136.37,

130.0, 129.9, 126.7, 126.4, 124.1, 123.9, 123.7, 115.2, 115.0, 88.72, 88.70, 74.0, 73.8, 24.1, 23.4, 17.5, 17.2; **IR** (**neat, C=O, cm<sup>-1</sup>**): v = 1682; **HRMS** (ESI/TOF) *m/z* Calcd for C<sub>11</sub>H<sub>13</sub>NNaO<sub>3</sub> [M + Na]<sup>+</sup> 230.0788; Found 230.0787.



Methyl triphenylphosphonium bromide (0.24 mmol, 1.2 equiv) was dissolved in THF (0.40 mL) in a dried round bottom flask equipped with an ice water bath. Then KOt-Bu (0.24 mmol, 1.2 equiv), the yellow suspension was stirred at 0 °C for 45 min. To this suspension was added a solution of the corresponding ketone **3.1a** (0.20 mmol, 1.0 equiv) in THF (0.3 mL) dropwise and the resulting mixture was stirred for 16 h at room temperature. The mixture was concentrated under reduced pressure and filtered. The solid was washed with ethyl acetate ( $3 \times 5$  mL) and the combined organic layer was concentrated in *vacuo*. The crude product was purified by flash chromatography on silica gel to obtain the corresponding product **3.4b**.

#### 4-Methyl-4-(prop-1-en-2-yl)-1,4-dihydro-2H-benzo[d][1,3]oxazin-2-one (3.4b)



White solid (31.4 mg, 77% yield). Eluent hexanes/EtOAc = 6/1. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  8.66 (brs, 1H), 7.27 – 7.23 (m, 1H), 7.13 – 7.11 (m, 1H), 7.08 – 7.04 (m, 1H), 6.86 – 6.84 (m, 1H), 5.04 – 4.92 (m, 2H), 1.84 (s, 3H), 1.79 – 1.78 (m, 3H); <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  152.9, 145.4, 134.7, 129.3, 125.0,

124.1, 123.4, 114.7, 113.8, 86.7, 25.3, 18.8; **IR** (neat, C=O, cm<sup>-1</sup>): v = 1723; **HRMS** (ESI/TOF) m/z Calcd for C<sub>12</sub>H<sub>14</sub>NO<sub>2</sub> [M + H]<sup>+</sup> 204.1019; Found 204.1010.



To a stirred solution of hydroxylamine hydrochloride (0.40 mmol, 2.0 equiv), pyridine (0.40 mmol, 2.0 equiv) in ethanol (2.0 mL) maintained at room temperature was added the compound **3.1a** (0.20 mmol, 1.0 equiv) dissolved in ethanol (2 mL). After the substrate was completely consumed (judged by TLC), the solvent was removed under reduced pressure. To the residue was added water and the product was extracted twice with methylene chloride ( $2 \times 5$  mL) and washed with a 0.10 M HCl solution. The organic layer was dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated in *vacuo*. The crude product was purified by flash chromatography on silica gel to obtain the final product.

#### 4-(1-Hydroxyprop-1-en-2-yl)-4-methyl-1,4-dihydro-2*H*-benzo[d][1,3]oxazin-2-one (3.4c)



White solid (41.4 mg, 92% yield). Eluent hexanes/EtOAc = 6/1. <sup>1</sup>H NMR [400 MHz, (CD<sub>3</sub>)<sub>2</sub>CO]  $\delta$  10.29 (brs, 1H), 9.20 (brs, 1H), 7.31 – 7.26 (m, 1H), 7.11 – 6.99 (m, 3H), 1.83 (s, 3H), 1.76 (s, 3H); <sup>13</sup>C NMR [101 MHz, (CD<sub>3</sub>)<sub>2</sub>CO]  $\delta$  156.3, 150.8, 136.6, 130.1, 125.4, 123.9, 123.6, 115.1, 86.0, 24.9, 10.3; **IR** (neat, C=O, cm<sup>-1</sup>): v = 1719; HRMS (ESI/TOF) *m*/*z* Calcd for C<sub>11</sub>H<sub>12</sub>N<sub>2</sub>NaO<sub>3</sub>

 $[M + Na]^+$  243.0740; Found 243.0739.



To a solution of **3.2e** (0.20 mmol, 1.0 equiv) in DCM (3 mL) was added *m*-CPBA (0.30 mmol, 1.5 equiv) at 0 °C. The mixture was allowed to warm to room temperature and was stirred for an additional 16 h. The reaction mixture was washed with saturated Na<sub>2</sub>CO<sub>3</sub> ( $3 \times 5$  mL). The combined organic layer was dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated in *vacuo*. The crude product was purified by flash chromatography on silica gel to obtain the corresponding product.

#### 4-Acetyl-4-(2-(oxiran-2-yl)ethyl)-1,4-dihydro-2H-benzo[d][1,3]oxazin-2-one (3.4d)



White solid (36.4 mg, 68% yield, 1:1 *dr*). Eluent hexanes/EtOAc = 5/1. <sup>1</sup>**H** NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.29 – 7.26 (m, 1H), 7.20 – 7.17 (m, 1H), 7.10 – 7.06 (m, 1H), 6.91 – 6.89 (m, 1H), 2.95 – 2.92 (m, 1H), 2.76 – 2.72 (m, 1H), 2.47 – 2.28 (m, 3H), 2.27 (s, 1.5H), 2.26 (s, 1.5H), 1.87 – 1.71 (m, 1H), 1.60 – 1.49 (m, 1H); <sup>13</sup>**C** NMR (101 MHz, CDCl<sub>3</sub>)  $\delta$  203.55, 203.51, 151.71,

151.68, 134.9, 130.4, 125.0, 124.9, 124.21, 124.17, 117.6, 117.4, 115.3, 91.39, 91.36, 51.69, 51.67, 47.3, 47.1, 32.8, 32.7, 26.5, 26.2, 25.6, 25.5; **IR** (**neat, C=O, cm<sup>-1</sup>**): *v* = 1714; **HRMS** (ESI/TOF) *m*/*z* Calcd for C<sub>14</sub>H<sub>15</sub>NNaO<sub>4</sub> [M + Na]<sup>+</sup> 284.0893; Found 284.0893.

# 3.5.7 X-ray details

For the experimental procedure of the X-ray crystallographic analysis, please refer to Experimental Section of Chapter 2.



X-ray molecular structure for compound 3.1a. CCDC-2208331

**Crystallographic data for 3.1a**: C<sub>11</sub>H<sub>11</sub>NO<sub>3</sub>, *M*r = 205.21, monoclinic, *C*2/c, *a* = 18.365(2) Å, *b* = 7.5643(9) Å, *c* = 14.0171(17) Å,  $\alpha$  = 90°,  $\beta$  = 94.936(2)°,  $\gamma$  = 90°, *V* = 1940.0(4) Å<sup>3</sup>, *Z* = 8,  $\rho$  = 1.405 mg·M<sup>-3</sup>,  $\mu$  = 0.103 mm<sup>-1</sup>,  $\lambda$  = 0.71073 Å, *T* = 100(2) K, *F*(000) = 864,  $\theta$  (min) = 2.226°,  $\theta$  (max) = 31.013°, 11588 reflections collected, 3006 reflections unique (*R*<sub>int</sub> = 0.0268), GoF = 1.038, *R*<sub>1</sub> = 0.0406, *wR*<sub>2</sub> = 0.1076 [*I*>2 $\sigma$ (*I*)], *R*<sub>1</sub> = 0.0471, *wR*<sub>2</sub> = 0.1127 (all indices), min/max residual density = -0.477/0.490 [e·Å<sup>-3</sup>], Completeness to  $\theta$ (31.013°) = 97.2 %.



X-ray molecular structure for compound 3.D. CCDC-2208330

Crystallographic data for 3.D: C<sub>18</sub>H<sub>14</sub>N<sub>2</sub>O<sub>2</sub>, *M*r = 290.31, monoclinic, *P*2<sub>1</sub>/n, *a* = 6.0854(5) Å, *b* = 20.0396(16) Å, *c* = 6.4992(6) Å, *α* = 90°, *β* = 116.085(2)°, *γ* = 90°, *V* = 711.84(11) Å<sup>3</sup>, *Z* = 2, *ρ* = 1.354 mg·M<sup>-3</sup>, *μ* = 0.090 mm<sup>-1</sup>, *λ* = 0.71073 Å, *T* = 100(2) K, *F*(000) = 304, *θ*(min) = 2.032°, *θ*(max) = 29.994°, 12693 reflections collected, 2077 reflections unique (*R*<sub>int</sub> = 0.0277), GoF = 1.073, *R*<sub>1</sub> = 0.0745, *wR*<sub>2</sub> = 0.1970 [*I*>2 $\sigma$ (*I*)], *R*<sub>1</sub> = 0.0865, *wR*<sub>2</sub> = 0.2067 (all indices), min/max residual density = -0.329/0.951 [e·Å<sup>-3</sup>], Completeness to *θ*(29.994°) = 99.8 %.


X-ray molecular structure for compound **3.F**. CCDC-2208332

**Crystallographic data for 3.F**: C<sub>20</sub>H<sub>22</sub>N<sub>2</sub>O<sub>2</sub>, *M*r = 322.39, monoclinic, *P*2<sub>1</sub>/c, *a* = 10.04830(10) Å, *b* = 11.1949(2) Å, *c* = 15.1007(2) Å, *α* = 90°, *β* = 101.2810(10)°, *γ* = 90°, *V* = 1665.85(4) Å<sup>3</sup>, *Z* = 4 *ρ* = 1.285 mg·M<sup>-3</sup>, *μ* = 0.084 mm<sup>-1</sup>, *λ* = 0.71073 Å, *T* = 100(2) K, *F*(000) = 688,  $\theta$ (min) = 2.281°,  $\theta$ (max) = 32.122°, 24644 reflections collected, 5381 reflections unique (*R*<sub>int</sub> = 0.0193), GoF = 1.055, *R*<sub>1</sub> = 0.0371, *wR*<sub>2</sub> = 0.1014 [*I*>2 $\sigma$ (*I*)], *R*<sub>1</sub> = 0.0400, *wR*<sub>2</sub> = 0.1032 (all indices), min/max residual density = -0.241/0.449 [e·Å<sup>-3</sup>], Completeness to  $\theta$ (32.122°) = 92.0 %.



X-ray molecular structure for compound 3.H. CCDC-2208333

Crystallographic data for 3.H: C<sub>22</sub>H<sub>25</sub>NO<sub>4</sub>, Mr = 367.43, monoclinic,  $P2_1/c$ , a = 10.0439(12)Å, b = 10.1689(12) Å, c = 18.381(2) Å,  $\alpha = 90^{\circ}$ ,  $\beta = 100.450(2)^{\circ}$ ,  $\gamma = 90^{\circ}$ , V = 1846.2(4) Å<sup>3</sup>, Z = 4,  $\rho = 1.322$  mg·M<sup>-3</sup>,  $\mu = 0.091$  mm<sup>-1</sup>,  $\lambda = 0.71073$  Å, T = 100(2) K, F(000) = 784,  $\theta$  (min)  $= 2.062^{\circ}$ ,  $\theta$  (max) = 32.595°, 46385 reflections collected, 6566 reflections unique ( $R_{int} = 0.0572$ ), GoF = 1.053,  $R_1 = 0.0516$ ,  $wR_2 = 0.1332$  [ $I > 2\sigma(I)$ ],  $R_1 = 0.0719$ ,  $wR_2 = 0.1455$  (all indices), min/max residual density = -0.489/0.697 [e·Å<sup>-3</sup>], Completeness to  $\theta$ (32.595°) = 97.4 %.

## 3.5.8 Additional control experiments and data

Analysis of crude reaction mixture in the synthesis of **3.1b**:





<sup>1</sup>H NMR spectrum (400 MHz, CDCl<sub>3</sub>) of "crude" 3.1b

From the above-provided NMR spectrum with mesitylene as internal standard through signal integration we observe the following: >99% substrate conversion, about 43% NMR yield of product **3.1b** and 31% of a product (**3.1b**') that we tentatively assign to the structure at the right-hand side. At this stage, the formation pathway of **3.1b**' is not clear but it shows that parasitic conversion of this substrate is possible.



10.5 10.0

9.5 9.0

8.5 8.0 7.5

Analysis of crude reaction mixture in the synthesis of **3.2h**:



From the above complex <sup>1</sup>H NMR (CDCl<sub>3</sub>) spectrum, we were unable to identify the formed byproducts (substrate conversion was >99%).

5.0 f1 (ppm)

4.5 4.0 3.5 3.0

5.5

2.5

2.0 1.5 1.0

0.5 0.0 -0.5

6.0

7.0 6.5

## Control experiments using heterocycle-substituted propargylic substrates

## 2-(4-Aminopyrimidin-5-yl)but-3-yn-2-ol

Prepared using the optimized procedure determined for **3.1a**. At 60 °C, yellow solid (513.7 mg, 63% yield). DCM/MeOH = 100/1. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD)  $\delta$  8.38 (s, 1H), 8.30 (s, 1H), 3.23 (s, 1H), 1.78 (s, 3H); <sup>13</sup>C NMR (101 MHz, CD<sub>3</sub>OD)  $\delta$  162.4, 158.0, 152.1, 121.0, 86.2, 75.7, 68.3, 29.4; IR (neat, C=O, cm<sup>-1</sup>): 3404, 3286, 3123, 2788, 1645, 1591 cm<sup>-1</sup>. HRMS (ESI/TOF) m/z Calcd for C<sub>8</sub>H<sub>10</sub>N<sub>3</sub>O [M + H]<sup>+</sup> 164.0824; Found 164.0819.

**Result**: No product could be observed when trying to convert this substrate under the optimized conditions. In addition, upon increasing the reaction temperature to 100  $^{\circ}$ C and pressure to 50 bar no change was noted.

## 2-(3-Aminopyridin-4-yl)but-3-yn-2-ol

HO Me Prepared using the optimized procedure determined for **3.1a**. At 60 °C, pale yellow solid (461.9 mg, 57% yield). DCM/MeOH = 100/1. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>OD) δ 8.34 (brs, 1H), 7.97 (s, 1H), 7.80 (d, J = 5.21 Hz, 1H), 7.52 (d, J = 5.21 Hz, 1H), 7.34 (brs, 2H), 3.20 (s, 1H), 1.78 (s, 3H); <sup>13</sup>C NMR (101 MHz, CD<sub>3</sub>OD) δ 143.8, 138.5, 137.7, 137.0, 122.3, 86.7, 75.3, 70.2, 28.7.

**Result**: No product could be observed when trying to convert this substrate under the optimized conditions. In addition, upon increasing the reaction temperature to 100  $^{\circ}$ C and pressure to 50 bar no change was noted.

Chapter 4.

Summary and General Conclusions

The main research objective of this thesis was the development of novel catalytic cascade approaches using propargyl alcohol derivatives and CO<sub>2</sub> as principal substrates. Such procedures could amplify the use of CO<sub>2</sub> as a renewable carbon reagent in multi-step processes leading to more complex compounds that are potentially useful as fine-chemical and pharmaceutical intermediates. The typically observed chemo-selectivity in the coupling of propargylic alcohols with carbon dioxide allows to establish  $\alpha$ -alkylidene carbonates, and with the proper instalment of additional pro-nucleophilic groups (i.e., NH<sub>2</sub>, OH) intramolecular isomerization/ring expansion can be induced. In this regard, a Ag/phosphine based catalytic system plays a crucial role in these cascade processes promoting the transformation of intermediate  $\alpha$ -alkylidene carbonates to heterocyclic structures. Another key to success has been an efficient combination of a proper substrate design, reaction routes and rational ligand engineering. This allowed for a high control over the chemo-, regio-, stereo-selectivity features of the involved conversions. In the end, we have been able to design conceptually new processes by transforming propargylic alcohols into useful and functionally dense five-, six-, and seven-membered heterocycles.

In **Chapter 2**, a new Ag-catalyzed process is reported for the cascade conversion of synthetically modular alkyne-1,*n*-diols and carbon dioxide allowing for the selective formation of *keto*-functionalized cyclic carbonates. The protocol is characterized by operational simplicity, excellent scope of carbonate-based heterocycles, and mild reaction

conditions. In situ IR studies, control experiments, and detailed computational analysis of these manifolds support the intermediacy of an



 $\alpha$ -alkylidene carbonate that can be intercepted by an intramolecular alcohol pronucleophile. The inherent synthetic potential of this conceptually attractive CO<sub>2</sub> transformation is demonstrated in the preparation of larger ring carbonates and their thermal rearrangement to sterically crowded, five-membered bicyclic carbonate products.

Inspired by the work discussed in chapter 2 of this thesis, the research described in **Chapter 3** focused on a conceptually novel catalytic domino approach for the synthesis of highly functional 1,4-dihydro-2*H*-1,3-benzoxazine-2-one (i.e., *six*-membered cyclic carbamate) derivatives. Key to the chemo-selectivity is a proper design of the precursor to override thermodynamically favored parasitic cyclization processes and empower the

formation of the desired product through Thorpe–Ingold effects (i.e., angle compression in the substrate is a main driver towards product formation). The synthetic diversity of

these CO<sub>2</sub>-based heterocycles was further demonstrated through the introduction of various substituents and



functional groups at different positions in the product. The isolation of a reaction intermediate supports our unusual ring-expansion sequence from an  $\alpha$ -alkylidene, fivemembered cyclic carbonate to a six-membered cyclic carbamate by *N*-induced isomerization. Access to a wider diversity of CO<sub>2</sub>-based heterobicycles can further inspire the design of new biologically active compounds from a simple, cheap and readily available carbon reagent.

As a General Conclusion for the work presented in this thesis, the results suggest that that propargylic alcohols are highly versatile substrates for the design of multi-step synthetic sequences based on CO<sub>2</sub>. This does not only expand the repertoire of functional heterocyclic compounds, but also showcases that more complex compounds are accessible through a judicious combination of cyclizative carboxylation and intramolecular isomerization by a nucleophilic present in the intermediate species. Such tandem sequences may be helpful to create a wider pallet of novel transformations expanding from a two- to multi-step cascades thereby increasing molecular complexity. In addition, the two-step cascades demonstrated in this thesis may provide a blueprint to combine with a multi-catalyst approach allowing to create more advanced derivatives from the final products without the requirement to isolate the intermediates. Crucial to success will be to determine a suitable operational window that permits to execute all these steps without comprising the individual efficiencies of each separate conversion. With the advancements made over the year in carboxylative cyclization and isomerization chemistry, we predict a bright future for cascade transformations that build on carbon dioxide utilization.

